

# The Basis Invariant Flavor Puzzle

**Andreas Trautner**

based on:

arXiv:2308.00019 with Miguel P. **Bento** and João P. **Silva**  
arXiv:1812.02614 JHEP 1905 (2019) 208

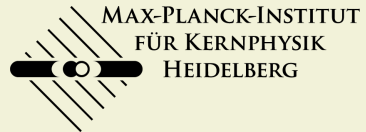
Scalars 2023  
Warsaw



13.09.23

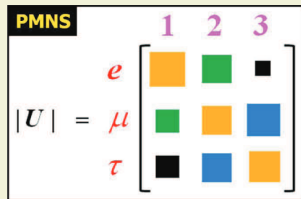
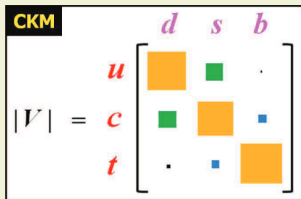
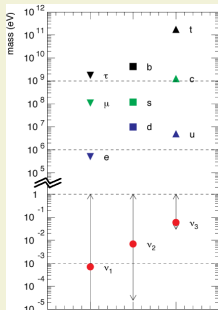


MAX-PLANCK-GESELLSCHAFT



# The Standard Model Flavor Puzzle

- **Why** *three* generations of matter Fermions?
- **Why** *hierarchical* masses of Fermions?
- **Why** *small* transition probabilities for  $q_i^{\text{up}} \rightarrow q_{j \neq i}^{\text{down}}$ ? ( $\propto |V_{ij}^{\text{CKM}}|^2$ )
- **Why** *large* transition probabilities for  $\ell_i \rightarrow \nu_j$ ? ( $\propto |U_{ij}^{\text{PMNS}}|^2$ )



- **Why** CP violation *only* in combination with *flavor violation*?

Parametrization independent measure of CP violation:

$$J_{33} = \det [M_u M_u^\dagger, M_d M_d^\dagger] \propto \text{Im} [V_{ud}^* V_{cs}^* V_{us} V_{cd}] = 3.08_{-0.13}^{+0.15} \times 10^{-5} .$$

[Greenberg '85, Jarlskog '85]

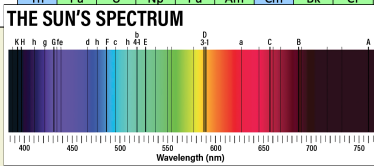
# The Standard Model Flavor Puzzle

**PERIODIC TABLE OF THE ELEMENTS**

|   |          |          |          |          |           |           |           |           |           |           |           |           |           |           |           |           |           |           |           |         |
|---|----------|----------|----------|----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|---------|
|   | 1        |          |          |          |           |           |           |           |           |           |           |           |           |           |           |           |           |           | 18        |         |
| 1 | 1<br>H   |          |          |          |           |           |           |           |           |           |           |           |           |           |           |           |           |           |           | 2<br>He |
| 2 | 3<br>Li  | 4<br>Be  |          |          |           |           |           |           |           |           |           |           | 5<br>B    | 6<br>C    | 7<br>N    | 8<br>O    | 9<br>F    | 10<br>Ne  |           |         |
| 3 | 11<br>Na | 12<br>Mg |          |          |           |           |           |           |           |           |           |           | 13<br>Al  | 14<br>Si  | 15<br>P   | 16<br>S   | 17<br>Cl  | 18<br>Ar  |           |         |
| 4 | 19<br>K  | 20<br>Ca | 21<br>Sc | 22<br>Ti | 23<br>V   | 24<br>Cr  | 25<br>Mn  | 26<br>Fe  | 27<br>Co  | 28<br>Ni  | 29<br>Cu  | 30<br>Zn  | 31<br>Ga  | 32<br>Ge  | 33<br>As  | 34<br>Se  | 35<br>Br  | 36<br>Kr  |           |         |
| 5 | 37<br>Rb | 38<br>Sr | 39<br>Y  | 40<br>Zr | 41<br>Nb  | 42<br>Mo  | 43<br>Tc  | 44<br>Ru  | 45<br>Rh  | 46<br>Pd  | 47<br>Ag  | 48<br>Cd  | 49<br>In  | 50<br>Sn  | 51<br>Sb  | 52<br>Te  | 53<br>I   | 54<br>Xe  |           |         |
| 6 | 55<br>Cs | 56<br>Ba | 57<br>La | *        | 72<br>Hf  | 73<br>Ta  | 74<br>W   | 75<br>Re  | 76<br>Os  | 77<br>Ir  | 78<br>Pt  | 79<br>Au  | 80<br>Hg  | 81<br>Tl  | 82<br>Pb  | 83<br>Bi  | 84<br>Po  | 85<br>At  | 86<br>Rn  |         |
| 7 | 87<br>Fr | 88<br>Ra | 89<br>Ac | †        | 104<br>Rf | 105<br>Db | 106<br>Sg | 107<br>Bh | 108<br>Hs | 109<br>Mt | 110<br>Ds | 111<br>Rg | 112<br>Cn | 113<br>Nh | 114<br>Fl | 115<br>Mc | 116<br>Lv | 117<br>Ts | 118<br>Og |         |

|   |          |          |          |          |          |          |          |          |          |          |           |           |           |           |
|---|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|-----------|-----------|-----------|-----------|
| * | 58<br>Ce | 59<br>Pr | 60<br>Nd | 61<br>Pm | 62<br>Sm | 63<br>Eu | 64<br>Gd | 65<br>Tb | 66<br>Dy | 67<br>Ho | 68<br>Er  | 69<br>Tm  | 70<br>Yb  | 71<br>Lu  |
| † | 90<br>Th | 91<br>Pa | 92<br>U  | 93<br>Np | 94<br>Pu | 95<br>Am | 96<br>Cm | 97<br>Bk | 98<br>Cf | 99<br>Es | 100<br>Fm | 101<br>Md | 102<br>No | 103<br>Lr |



# The Standard Model Flavor Puzzle

**NO (known)**  
**PERIODIC TABLE OF THE ELEMENTARY**  
**PARTICLES**

|   |          |          |             |           |           |           |           |           |           |           |           |
|---|----------|----------|-------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
|   | 1        |          | 2           |           | 13        | 14        | 15        | 16        | 17        | 18        |           |
| 1 | 1<br>H   |          |             |           |           |           |           |           |           | 2<br>He   |           |
| 2 | 3<br>Li  | 4<br>Be  |             |           | 5<br>B    | 6<br>C    | 7<br>N    | 8<br>O    | 9<br>F    | 10<br>Ne  |           |
| 3 | 11<br>Na | 12<br>Mg |             |           | 13<br>Al  | 14<br>Si  | 15<br>P   | 16<br>S   | 17<br>Cl  | 18<br>Ar  |           |
| 4 | 19<br>K  | 20<br>Ca | 21<br>Sc    | 22<br>Ti  | 30<br>Zn  | 31<br>Ga  | 32<br>Ge  | 33<br>As  | 34<br>Se  | 35<br>Br  | 36<br>Kr  |
| 5 | 37<br>Rb | 38<br>Sr | 39<br>Y     | 40<br>Zr  | 48<br>Cd  | 49<br>In  | 50<br>Sn  | 51<br>Sb  | 52<br>Te  | 53<br>I   | 54<br>Xe  |
| 6 | 55<br>Cs | 56<br>Ba | 57<br>La    | 72<br>Hf  | 80<br>Hg  | 81<br>Tl  | 82<br>Pb  | 83<br>Bi  | 84<br>Po  | 85<br>At  | 86<br>Rn  |
| 7 | 87<br>Fr | 88<br>Ra | 89<br>Ac    | 104<br>Rf | 112<br>Cn | 113<br>Nh | 114<br>Fl | 115<br>Mc | 116<br>Lv | 117<br>Ts | 118<br>Og |
|   |          |          | Lanthanides | 58<br>Ce  | 66<br>Dy  | 67<br>Ho  | 68<br>Er  | 69<br>Tm  | 70<br>Yb  | 71<br>Lu  |           |
|   |          |          | Actinides   | 90<br>Th  | 98<br>Cf  | 99<br>Es  | 100<br>Fm | 101<br>Md | 102<br>No | 103<br>Lr |           |

**Standard Model of Elementary Particles**

three generations of matter (fermions)      interactions / force carriers (bosons)

|         |   |   |   |  |
|---------|---|---|---|--|
|         | I   | II  | III   |  |
| QUARKS  | $m \approx 2.2 \text{ MeV}/c^2$<br>u<br>up                    | $m \approx 1.28 \text{ GeV}/c^2$<br>c<br>charm                  | $m \approx 173.3 \text{ GeV}/c^2$<br>t<br>top                   | $m \approx 80.4 \text{ GeV}/c^2$<br>g<br>gluon     |
|         | $m \approx 4.7 \text{ MeV}/c^2$<br>d<br>down                  | $m \approx 96 \text{ MeV}/c^2$<br>s<br>strange                  | $m \approx 4.18 \text{ GeV}/c^2$<br>b<br>bottom                 | $m \approx 125 \text{ GeV}/c^2$<br>H<br>higgs      |
| LEPTONS | $m \approx 0.511 \text{ MeV}/c^2$<br>e<br>electron            | $m \approx 105.66 \text{ MeV}/c^2$<br>$\mu$<br>muon             | $m \approx 1.777 \text{ GeV}/c^2$<br>$\tau$<br>tau              | $m \approx 91.187 \text{ GeV}/c^2$<br>Z<br>Z boson |
|         | $m \approx 0 \text{ MeV}/c^2$<br>$\nu_e$<br>electron neutrino | $m \approx 0.107 \text{ MeV}/c^2$<br>$\nu_\mu$<br>muon neutrino | $m \approx 1.777 \text{ GeV}/c^2$<br>$\nu_\tau$<br>tau neutrino | $m \approx 80.379 \text{ GeV}/c^2$<br>W<br>W boson |
|         |   |   |   | SCALAR BOSONS                                      |
|         |   |   |   | GAUGE BOSONS<br>VECTOR BOSONS                      |

**THE SUN'S SPECTRUM**

Wavelength (nm)

# Why use Basis Invariants (BIs)?

- Physical observables must be given as function of BIs.
- Flavor puzzle is *plagued* by **unphysical** choice of basis and parametrization.
- BI necessary and sufficient conditions for **CPV** in SM. . . . [Greenberg '85; Jarlskog '85]  
... and BSM: Multi-scalar 2/3/NHDM, 4th gen., Dirac vs. Majorana  $\nu$ 's, . . .  
[Bernabeu et al. '86], [Branco, Lavoura, Rebelo '86], [Botella, Silva '95], [Davidson, Haber '05], [Yu, Zhou '21], . . .
- BIs and their relations, incl. CP-even BIs, allow to detect symmetries in general.  
[Ivanov, Nishi, Silva, AT '19], [de Meideiros Varzielas, Ivanov '19], [Bento, Boto, Silva, AT '20]
- BI formulation simplifies RGE's, RGE running, and derivation of RGE invariants.  
[Harrison, Krishnan, Scott '10], [Feldmann, Mannel, Schwertfeger '15], [Chiu, Kuo '15], [Bednyakov '18], [Wang, Yu, Zhou '21], . . .

No quantitative BI analysis of the flavor puzzle exist.

↪ This allows an entirely new perspective on the flavor puzzle!

Why hasn't it been done? Technically challenging:

**How** to construct BI's? **When** to stop?

general answers and technique based on example of 2HDM [AT '18]

# Outline

- Motivation

I will focus entirely on the quark sector here!

- Standard Model quark sector **flavor covariants**
  - Construction of the **complete ring** of quark sector *orthogonal* **basis invariants**
  - Determine the invariants from experimental data
- ⇒ This gives an entirely basis invariant picture of the quark flavor puzzle.
- CP transformation of invariants & comments

# Standard Model Quark Sector Flavor **Covariants**

$$-\mathcal{L}_{\text{Yuk.}} = \bar{Q}_L \tilde{H} \mathbf{Y}_u u_R + \bar{Q}_L H \mathbf{Y}_d d_R + \text{h.c.},$$

---

# Standard Model Quark Sector Flavor Covariants

$$-\mathcal{L}_{\text{Yuk.}} = \bar{Q}_L \tilde{H} \mathbf{Y}_u u_R + \bar{Q}_L H \mathbf{Y}_d d_R + \text{h.c.},$$

---

$$Y_u \hat{=} (\bar{\mathbf{3}}, \mathbf{3}, \mathbf{1})$$

$$Y_d \hat{=} (\bar{\mathbf{3}}, \mathbf{1}, \mathbf{3})$$

of

$$\text{SU}(3)_{Q_L} \otimes \text{SU}(3)_{u_R} \otimes \text{SU}(3)_{d_R}$$

---



# Standard Model Quark Sector Flavor Covariants

$$-\mathcal{L}_{\text{Yuk.}} = \bar{Q}_L \tilde{H} Y_u u_R + \bar{Q}_L H Y_d d_R + \text{h.c.},$$

---

$$Y_u \hat{=} (\bar{\mathbf{3}}, \mathbf{3}, \mathbf{1})$$

$$Y_d \hat{=} (\bar{\mathbf{3}}, \mathbf{1}, \mathbf{3})$$

of

$$\text{SU}(3)_{Q_L} \otimes \text{SU}(3)_{u_R} \otimes \text{SU}(3)_{d_R}$$

---

$$H_u := Y_u Y_u^\dagger, \quad H_d := Y_d Y_d^\dagger \quad \text{both trafo as } \bar{\mathbf{3}} \otimes \mathbf{3} \quad \text{of } \text{SU}(3)_{Q_L}.$$

---

# Standard Model Quark Sector Flavor Covariants

$$-\mathcal{L}_{\text{Yuk.}} = \bar{Q}_L \tilde{H} Y_u u_R + \bar{Q}_L H Y_d d_R + \text{h.c.},$$

$$Y_u \hat{=} (\bar{\mathbf{3}}, \mathbf{3}, \mathbf{1}) \quad \text{of} \quad \text{SU}(3)_{Q_L} \otimes \text{SU}(3)_{u_R} \otimes \text{SU}(3)_{d_R}$$

$$Y_d \hat{=} (\bar{\mathbf{3}}, \mathbf{1}, \mathbf{3})$$

$$H_u := Y_u Y_u^\dagger, \quad H_d := Y_d Y_d^\dagger \quad \text{both trafo as } \bar{\mathbf{3}} \otimes \mathbf{3} \quad \text{of } \text{SU}(3)_{Q_L}.$$

$$\bar{\mathbf{3}} \otimes \mathbf{3} = \mathbf{1} \oplus \mathbf{8}.$$

The diagram shows the decomposition of the tensor product of two adjoint representations,  $\bar{\mathbf{3}} \otimes \mathbf{3}$ . On the left, a box labeled  $H_u$  has two external lines: one entering from the top left and one exiting from the bottom left. This is equal to the sum of two terms. The first term is  $\frac{1}{N}$  times a diagram with two external lines forming a loop that enters and exits the  $H_u$  box. The second term is  $\frac{1}{Tr}$  times a diagram with two external lines forming a loop with a double line (representing the octet) that enters and exits the  $H_u$  box.

The diagram shows the Gell-Mann matrix  $(t^a)^i_j$  as a vertical chain of eight circles with an arrow pointing up, labeled  $a$  at the top, and a horizontal line with an arrow pointing right, labeled  $i$  at the start and  $j$  at the end. To the right, the equation  $(H_u)_\mathbf{1} = \text{Tr} H_u$  is shown. Further right, the equation  $(H_u)_\mathbf{8} = H_u - \mathbb{1} \text{Tr} \frac{H_u}{3}$  is shown. Finally, the equation  $\mathbf{u}^a = \text{Tr} [t^a H_u]$  is shown, with a diagram of a box labeled  $H_u$  and a wavy line labeled  $a$  entering from the right.

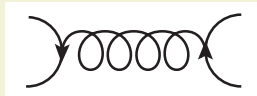
# Orthogonal Covariant Projection Operators

What does orthogonal mean here?

Orthogonality on the level of **projection operators**!



$P_{(1)}$



$P_{(8)}$



$P_{(1)} \cdot P_{(8)} = 0 \quad (\propto \text{Tr } t^a)$

Projection operators:  $P_i^2 = P_i$ ,  $\text{Tr } P_i = \dim(\mathbf{r}_i)$ ,

Orthogonality:  $P_i \cdot P_j = 0$ .

Using orthogonal **singlet** projectors we find invariants that are orthogonal to each other!

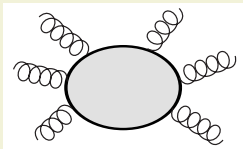
# What is necessary to construct Basis Invariants

$$\mathbf{8}_u \otimes \mathbf{8}_u \otimes \dots \mathbf{8}_d \otimes \mathbf{8}_d \otimes \dots = \mathbf{8}_u^{\otimes k} \otimes \mathbf{8}_d^{\otimes \ell} = \sum_{\oplus} \mathbf{r}_i$$

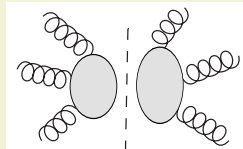
Singlet projection operators:

$$\mathbf{8}_u^{\otimes k} \otimes \mathbf{8}_d^{\otimes \ell} \supset \mathbf{1}_{(1)} \oplus \mathbf{1}_{(2)} \oplus \dots$$

Singlet projection operators are characterized by **factorization**. For example:



$$\mathbf{8}^{\otimes 3} \rightarrow \mathbf{8}^{\otimes 3}$$



$$\Leftrightarrow \mathbf{8}^{\otimes 3} \supset \mathbf{1}$$

How many **independent** singlets exist? (here: in contractions  $\mathbf{8}_u^{\otimes k} \otimes \mathbf{8}_d^{\otimes \ell}$  for all  $k, \ell$ )

## Jargon of invariant theory

- **Algebraic (in-)dependence:**

Invariants  $\mathcal{I}_1, \mathcal{I}_2, \mathcal{I}_3, \dots$  are **algebraically dependent** if and only if

$$\exists \text{ Polynomial } (\mathcal{I}_1, \mathcal{I}_2, \mathcal{I}_3, \dots) = 0 .$$

( $\Leftrightarrow \mathcal{I}_1, \mathcal{I}_2, \mathcal{I}_3, \dots$  are algebraically independent iff  $\nexists$ Pol)

## Jargon of invariant theory

- **Algebraic (in-)dependence:**

Invariants  $\mathcal{I}_1, \mathcal{I}_2, \mathcal{I}_3, \dots$  are **algebraically dependent** if and only if

$$\exists \text{ Polynomial } (\mathcal{I}_1, \mathcal{I}_2, \mathcal{I}_3, \dots) = 0 .$$

( $\Leftrightarrow \mathcal{I}_1, \mathcal{I}_2, \mathcal{I}_3, \dots$  are algebraically independent iff  $\nexists \text{Pol}$ )

- **Primary** invariants:

A maximal set of algebraically independent invariants.

# of primary invariants = # of physical parameters.

(a choice of primary invariants is *not unique*, but the number of invariants is)

## Jargon of invariant theory

- **Algebraic (in-)dependence:**

Invariants  $\mathcal{I}_1, \mathcal{I}_2, \mathcal{I}_3, \dots$  are **algebraically dependent** if and only if

$$\exists \text{ Polynomial } (\mathcal{I}_1, \mathcal{I}_2, \mathcal{I}_3, \dots) = 0 .$$

( $\Leftrightarrow \mathcal{I}_1, \mathcal{I}_2, \mathcal{I}_3, \dots$  are algebraically independent iff  $\# \text{Pol}$ )

- **Primary** invariants:

A maximal set of algebraically independent invariants.

# of primary invariants = # of physical parameters.

(a choice of primary invariants is *not unique*, but the number of invariants is)

- **Generating set** of invariants  $\equiv$  all **primary + secondary** invariants.

**Secondary invariants:** all  $\mathcal{I}$ 's that *cannot* be written as polynomial of other invariants,

$$\mathcal{I}_i \neq \text{Polynomial } (\mathcal{I}_j, \dots) .$$

$\Rightarrow$  All invariants can be written as a polynomial in the **generating set** of invariants,

$$\mathcal{I} = \text{Polynomial } (\mathcal{I}_1, \mathcal{I}_2, \dots) .$$

## Number and structure of invariants

- **How to find the number of primary / secondary invariants?**
- **How to find their structure in terms of covariants?**



## Number and structure of invariants

- How to find the number of primary / secondary invariants?
- How to find their structure in terms of covariants?

Answer: *Hilbert series (HS)* and *Plethystic Logarithm (PL)*.

## Number and structure of invariants

- How to find the number of primary / secondary invariants?
- How to find their structure in terms of covariants?

Answer: *Hilbert series (HS)* and *Plethystic Logarithm (PL)*.

The HS/PL combination is a powerful vehicle.

[Noether 1916; Getzler & Kapranov '94]

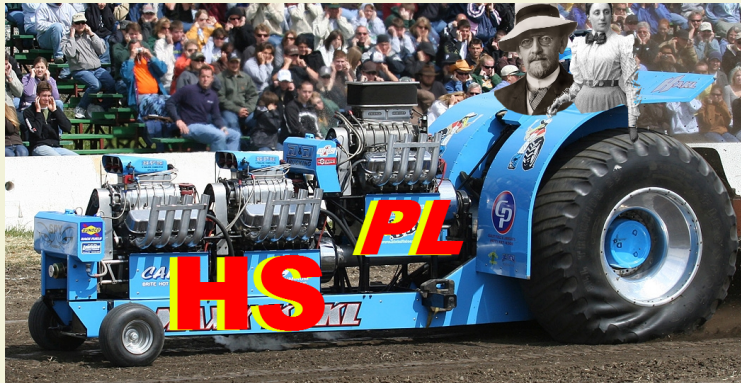
# Number and structure of invariants

- How to find the number of primary / secondary invariants?
- How to find their structure in terms of covariants?

Answer: *Hilbert series (HS)* and *Plethystic Logarithm (PL)*.

The HS/PL combination is a powerful vehicle.

[Noether 1916; Getzler & Kapranov '94]



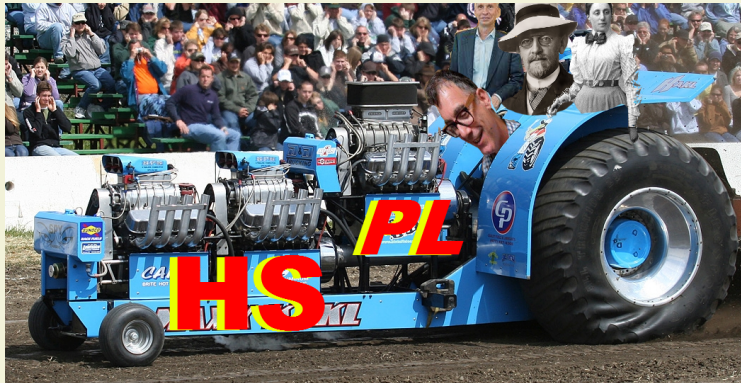
## Number and structure of invariants

- How to find the number of primary / secondary invariants?
- How to find their structure in terms of covariants?

Answer: *Hilbert series (HS)* and *Plethystic Logarithm (PL)*.

The HS/PL combination is a powerful vehicle.

[Noether 1916; Getzler & Kapranov '94]



## Number and structure of invariants

- How to find the number of primary / secondary invariants?
- How to find their structure in terms of covariants?

Answer: *Hilbert series (HS)* and *Plethystic Logarithm (PL)*.

- HS/PL input: covariants are  $\mathfrak{8}_u$  and  $\mathfrak{8}_d$  of  $SU(3)$ .

↪ HS/PL output:

[Jenkins & Manohar '09]

- # of primary invariants and their sub-structure (covariant content):

$$\begin{array}{l} (u) \quad (d) \\ u^2 \quad d^2 \quad ud \\ u^3 \quad d^3 \quad u^2d \quad ud^2 \\ u^2d^2 \end{array}$$

(10 primary invariants  $\hat{=}$  10 physical parameters).

- 1 secondary invariant of structure:  $u^3d^3$ .
- Relation (**Syzygy**) of order  $u^6d^6$  between primaries and the secondary.

## Projection operators

Note: The HS/PL does **not** tell us how to construct the invariants or the relations.

## Projection operators

Note: The HS/PL does **not** tell us how to construct the invariants or the relations.

For this we use ***orthogonal projection operators***. (here in adjoint space of  $SU(3)_{Q_L}$ )

[AT '18]

Those can be constructed via ***birdtrack*** diagrams

[Cvitanovic '76 '08, Keppeler and Sjødahl '13]

## Projection operators

Note: The HS/PL does **not** tell us how to construct the invariants or the relations.

For this we use **orthogonal projection operators**. (here in adjoint space of  $SU(3)_{Q_L}$ )

[AT '18]

Those can be constructed via **birdtrack** diagrams

[Cvitanovic '76 '08, Keppeler and Sjödal '13]

- $\mathbf{8}^{\otimes 2} \rightarrow \mathbf{1}$

$$\delta^{ab} = \text{[diagram of a closed loop with 10 segments]} .$$



# Projection operators

Note: The HS/PL does **not** tell us how to construct the invariants or the relations.

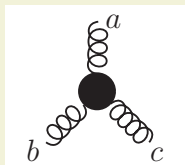
For this we use **orthogonal projection operators**. (here in adjoint space of  $SU(3)_{Q_L}$ )

[AT '18]

Those can be constructed via **birdtrack** diagrams

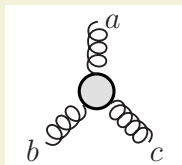
[Cvitanovic '76 '08, Keppeler and Sjödal '13]

- $\mathbf{8}^{\otimes 2} \rightarrow \mathbf{1}$
- $\mathbf{8}^{\otimes 3} \rightarrow \mathbf{1}$



$$= i f^{abc}$$

and



$$= d^{abc} .$$

$$f^{abc} = \frac{1}{i T_r} \text{Tr} \left( [t^a, t^b] t^c \right)$$

$$d^{abc} = \frac{1}{T_r} \text{Tr} \left( \{t^a, t^b\} t^c \right)$$

# Projection operators

Note: The HS/PL does **not** tell us how to construct the invariants or the relations.

For this we use **orthogonal projection operators**. (here in adjoint space of  $SU(3)_{Q_L}$ )

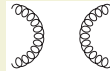
[AT '18]

Those can be constructed via **birdtrack** diagrams

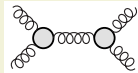
[Cvitanovic '76 '08, Keppeler and Sjødahl '13]

- $\mathbf{8}^{\otimes 2} \rightarrow \mathbf{1}$
- $\mathbf{8}^{\otimes 3} \rightarrow \mathbf{1}$
- $\mathbf{8}^{\otimes 4} \rightarrow \mathbf{1}$

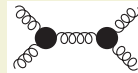
1 :



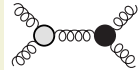
$\mathbf{8}_S$  :



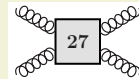
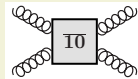
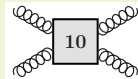
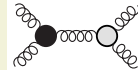
$\mathbf{8}_A$  :



$\mathbf{8}_{A \rightarrow S}$  :



$\mathbf{8}_{S \rightarrow A}$  :



Can understand the different contraction channels from

$$\mathbf{8}^{\otimes 2} = \mathbf{1} \oplus \mathbf{8}_S \oplus \mathbf{8}_A \oplus \mathbf{10} \oplus \overline{\mathbf{10}} \oplus \mathbf{27} .$$

# Projection operators

Note: The HS/PL does **not** tell us how to construct the invariants or the relations.

For this we use **orthogonal projection operators**. (here in adjoint space of  $SU(3)_{Q_L}$ )

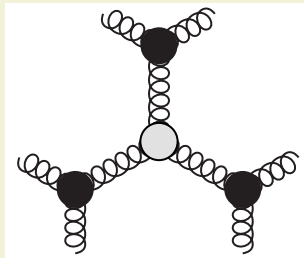
[AT '18]

Those can be constructed via **birdtrack** diagrams

[Cvitanovic '76 '08, Keppeler and Sjö Dahl '13]

- $\mathfrak{8}^{\otimes 2} \rightarrow \mathbf{1}$
- $\mathfrak{8}^{\otimes 3} \rightarrow \mathbf{1}$
- $\mathfrak{8}^{\otimes 4} \rightarrow \mathbf{1}$
- $\mathfrak{8}^{\otimes 6} \rightarrow \mathbf{1}$

many operators exist in  $\mathfrak{8}^{\otimes 6} \rightarrow \mathbf{1}$ , we only need one:



# Projection operators

Note: The HS/PL does **not** tell us how to construct the invariants or the relations.

For this we use **orthogonal projection operators**. (here in adjoint space of  $SU(3)_{QL}$ )

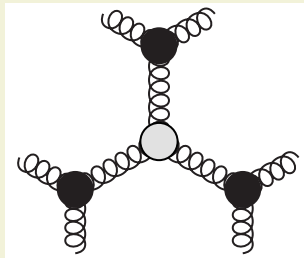
[AT '18]

Those can be constructed via **birdtrack** diagrams

[Cvitanovic '76 '08, Keppeler and Sjö Dahl '13]

- $\mathfrak{8}^{\otimes 2} \rightarrow \mathbf{1}$
- $\mathfrak{8}^{\otimes 3} \rightarrow \mathbf{1}$
- $\mathfrak{8}^{\otimes 4} \rightarrow \mathbf{1}$
- $\mathfrak{8}^{\otimes 6} \rightarrow \mathbf{1}$

many operators exist in  $\mathfrak{8}^{\otimes 6} \rightarrow \mathbf{1}$ , we only need one:



**All** of these operators are **orthogonal** to each other.  
We now use them to construct the orthogonal invariants.

## Orthogonal Invariants

The 10 algebraically independent and orthogonal invariants are given by:

$$I_{10} \propto \left[ \begin{array}{c} \curvearrowright \\ H_u \end{array} \right] \quad \text{and} \quad I_{01} \propto \left[ \begin{array}{c} \curvearrowright \\ H_d \end{array} \right] .$$

# Orthogonal Invariants

The 10 algebraically independent and orthogonal invariants are given by:

$$I_{10} \propto \text{tr}(H_u^2) \quad \text{and} \quad I_{01} \propto \text{tr}(H_d^2)$$

$$I_{20} \propto \text{tr}(H_u^3)$$

$$I_{02} \propto \text{tr}(H_d^3)$$

$$I_{11} \propto \text{tr}(H_u H_d)$$

$$I_{30} \propto \text{tr}(H_u^3)$$

$$I_{03} \propto \text{tr}(H_d^3)$$

$$I_{21} \propto \text{tr}(H_u^2 H_d)$$

$$I_{12} \propto \text{tr}(H_u H_d^2)$$

# Orthogonal Invariants

The 10 algebraically independent and orthogonal invariants are given by:

$$I_{10} \propto \text{tr}(H_u) \quad \text{and} \quad I_{01} \propto \text{tr}(H_d)$$

$$I_{20} \propto \text{tr}(H_u^2)$$

$$I_{02} \propto \text{tr}(H_d^2)$$

$$I_{11} \propto \text{tr}(H_u H_d)$$

$$I_{30} \propto \text{tr}(H_u^3)$$

$$I_{03} \propto \text{tr}(H_d^3)$$

$$I_{21} \propto \text{tr}(H_u^2 H_d)$$

$$I_{12} \propto \text{tr}(H_u H_d^2)$$

$$I_{22} \propto \text{tr}(H_u^2 H_d^2)$$

# Orthogonal Invariants

The 10 algebraically independent and orthogonal invariants are given by:

$$I_{10} \propto \text{tr}(H_u) \quad \text{and} \quad I_{01} \propto \text{tr}(H_d)$$

$$I_{20} \propto \text{tr}(H_u^2) \quad I_{02} \propto \text{tr}(H_d^2) \quad I_{11} \propto \text{tr}(H_u H_d)$$

$$I_{30} \propto \text{tr}(H_u^3) \quad I_{03} \propto \text{tr}(H_d^3) \quad I_{21} \propto \text{tr}(H_u^2 H_d) \quad I_{12} \propto \text{tr}(H_u H_d^2)$$

$$I_{22} \propto \text{tr}(H_u H_d H_u H_d)$$

Secondary invariant:

$$J_{33} \propto \text{tr}(H_u^3 H_d^3)$$



# Orthogonal Invariants

The 10 algebraically independent and orthogonal invariants are given by:

$$I_{10} := \text{Tr } \tilde{H}_u \quad \text{and} \quad I_{01} := \text{Tr } \tilde{H}_d .$$

$$I_{20} := \text{Tr}(H_u^2), \quad I_{02} := \text{Tr}(H_d^2), \quad I_{11} := \text{Tr}(H_u H_d),$$

$$I_{30} := \text{Tr}(H_u^3), \quad I_{03} := \text{Tr}(H_d^3), \quad I_{21} := \text{Tr}(H_u^2 H_d), \quad I_{12} := \text{Tr}(H_u H_d^2),$$

$$I_{22} := 3 \text{Tr}(H_u^2 H_d^2) - \text{Tr}(H_u^2) \text{Tr}(H_d^2) .$$

Secondary invariant: exactly the Jarlskog invariant,

$$J_{33} := \text{Tr}(H_u^2 H_d^2 H_u H_d) - \text{Tr}(H_d^2 H_u^2 H_d H_u) \equiv \frac{1}{3} \text{Tr} [H_u, H_d]^3 .$$

Note: Here  $\tilde{H}_u \equiv Y_u Y_u^\dagger$ ,  $\tilde{H}_d \equiv Y_d Y_d^\dagger$ , and  $H_{u,d} \equiv \tilde{H}_{u,d} - \mathbb{1} \text{Tr} \frac{\tilde{H}_{u,d}}{3}$ .

**“Traces of traceless matrices”**

# The Syzygy

With our orthogonal invariants, the syzygy is given by

$$\begin{aligned}(J_{33})^2 = & -\frac{4}{27}I_{22}^3 + \frac{1}{9}I_{22}^2I_{11}^2 + \frac{1}{9}I_{22}^2I_{02}I_{20} + \frac{2}{3}I_{22}I_{30}I_{03}I_{11} - \frac{2}{3}I_{22}I_{21}I_{12}I_{11} - \frac{1}{9}I_{22}I_{11}^2I_{20}I_{02} \\ & + \frac{2}{3}I_{22}I_{21}^2I_{02} + \frac{2}{3}I_{22}I_{12}^2I_{20} - \frac{2}{3}I_{22}I_{30}I_{12}I_{02} - \frac{2}{3}I_{22}I_{03}I_{21}I_{20} \\ & - \frac{1}{3}I_{30}^2I_{03}^2 + I_{21}^2I_{12}^2 + 2I_{30}I_{03}I_{21}I_{12} - \frac{4}{9}I_{30}I_{03}I_{11}^3 \\ & + \frac{1}{18}I_{30}^2I_{02}^3 + \frac{1}{18}I_{03}^2I_{20}^3 - \frac{4}{3}I_{30}I_{12}^2 - \frac{4}{3}I_{03}I_{21}^2 \\ & - \frac{1}{3}I_{30}I_{21}I_{11}I_{02}^2 - \frac{1}{3}I_{03}I_{12}I_{11}I_{20}^2 + \frac{2}{3}I_{30}I_{12}I_{11}^2I_{02} + \frac{2}{3}I_{03}I_{21}I_{11}^2I_{20} \\ & - \frac{2}{3}I_{21}I_{12}I_{20}I_{02}I_{11} - \frac{1}{108}I_{20}^3I_{02}^3 + \frac{1}{36}I_{20}^2I_{02}^2I_{11}^2 + \frac{1}{6}I_{21}^2I_{20}I_{02}^2 + \frac{1}{6}I_{12}^2I_{02}I_{20}^2.\end{aligned}$$

This is the **shortest relation ever** expressed for the SM quark flavor ring and has 27 terms. (this should be compared to result of [\[Jenkins&Manohar'09\]](#) with 241 terms using non-orthogonal invariants).

# Measuring the Invariants

In order to evaluate the invariants, one can use *any* parametrization. We use PDG:

$$\begin{aligned}\tilde{H}_u &= \text{diag}(y_u^2, y_c^2, y_t^2) \\ \text{and } \tilde{H}_d &= V_{\text{CKM}} \text{diag}(y_d^2, y_s^2, y_b^2) V_{\text{CKM}}^\dagger,\end{aligned}$$

1. **Explore the *possible* parameter space:** scan  $\mathcal{O}(10^7)$  uniform random points

- $s_{12}, s_{13}, s_{23} \in [-1, 1]$  and  $\delta \in [-\pi, \pi]$  together with:

A) Linear measure:  $y_{u,c} \in [0, 1]y_t, y_{d,s} \in [0, 1]y_b$ .

B) Log measure:  $(m_{u,c}/\text{MeV}) \in 10^{[-1, \log(m_t/\text{MeV})]}, (m_{d,s}/\text{MeV}) \in 10^{[-1, \log(m_b/\text{MeV})]}.$

2. **“Measure” the parameter point realized in Nature.**

We use PDG data and errors and evaluate at the EW scale  $\mu = M_Z$ .

see e.g. [Huang, Zhou '21]

# Measuring the Invariants

In order to evaluate the invariants, one can use *any* parametrization. We use PDG:

$$\tilde{H}_u = \text{diag}(y_u^2, y_c^2, y_t^2)$$

and

$$\tilde{H}_d = V_{\text{CKM}} \text{diag}(y_d^2, y_s^2, y_b^2) V_{\text{CKM}}^\dagger,$$

1. **Explore the *possible* parameter space:** scan  $\mathcal{O}(10^7)$  uniform random points

- $s_{12}, s_{13}, s_{23} \in [-1, 1]$  and  $\delta \in [-\pi, \pi]$  together with:

A) Linear measure:  $y_{u,c} \in [0, 1]y_t, y_{d,s} \in [0, 1]y_b$ .

B) Log measure:  $(m_{u,c}/\text{MeV}) \in 10^{[-1, \log(m_t/\text{MeV})]}, (m_{d,s}/\text{MeV}) \in 10^{[-1, \log(m_b/\text{MeV})]}.$

2. **“Measure” the parameter point realized in Nature.**

We use PDG data and errors and evaluate at the EW scale  $\mu = M_Z$ .

see e.g. [Huang, Zhou '21]

For convenience of the presentation we normalize the invariants as

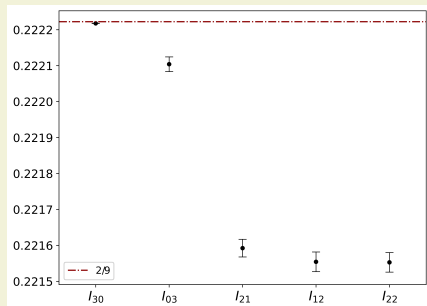
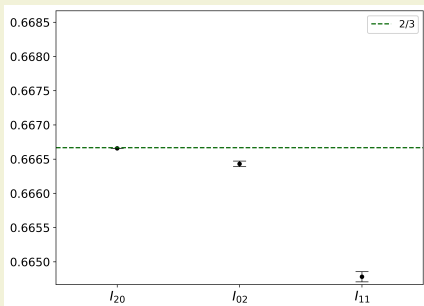
$$\hat{I}_{ij} := \frac{I_{ij}}{(y_t^2)^i (y_b^2)^j}.$$

## Experimental values

$$\hat{I}_{11} \approx \hat{I}_{20} \approx \hat{I}_{02} \approx \frac{2}{3},$$

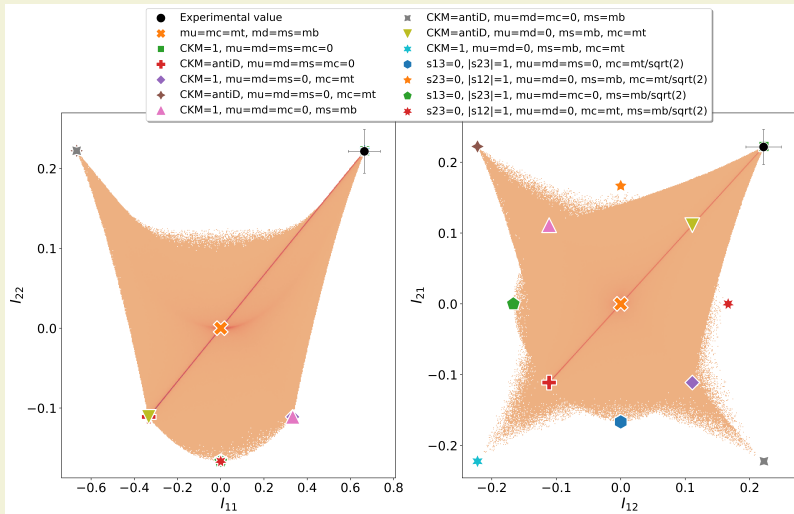
$$\hat{I}_{30} \approx \hat{I}_{03} \approx \hat{I}_{21} \approx \hat{I}_{12} \approx \hat{I}_{22} \approx \frac{2}{9}.$$

$$\left( \hat{I}_{ij} := \frac{I_{ij}}{(y_t^2)^i (y_b^2)^j} \right)$$



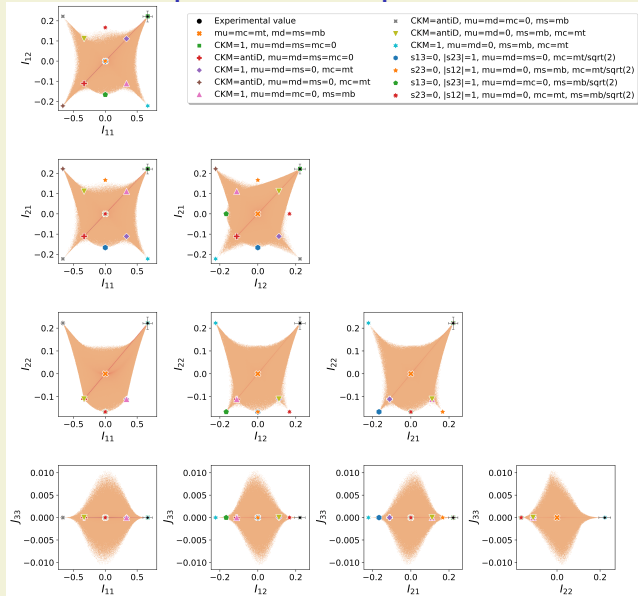
- Deviations from maximal values are significant.
- Deviations from each other, e.g.  $\hat{I}_{21} - \hat{I}_{12} \neq 0$  and  $\hat{I}_{12} - \hat{I}_{22} \neq 0$ , are significant.

# Parameter space and experimental values



Error bars:  $1\sigma \times 1000$

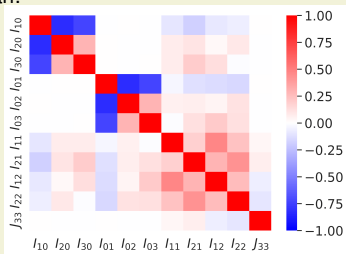
# Parameter space and experimental values



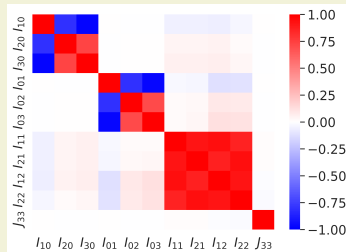
# Results and empirics

- Observed primary invariants are *very close to maximal* – with small but significant deviations.
- Explaining the value of the invariants and their misalignment from maximal point amounts to solving the flavor puzzle in the language of invariants.
- Exact maximization would correspond to  $SU(2)_{Q_L}$  flavor symmetry.
- Small deviations from max. correspond to 1/2nd gen. masses and mixings.
- The invariants are ***strongly correlated*** (for the observed hierarchical parameters).

linear scan:



log scan:



This is **not** true for anarchical parameters, or points with increased symmetry.



# Comments

- $I_{01}, I_{02}, I_{03}, I_{10}, I_{20}, I_{30}$  correspond to masses.
- CP-even  $I_{11}, I_{21}, I_{12}, I_{22}$  correspond to mixings.
- CPV requires interplay of 8 CP-even primary invariants (all besides the “trivial” invariants  $I_{10}, I_{01}$ ).
- Non-trivial  $\hat{I}_{ij}$ ’s being close to maximal forces the Jarlskog invariant to be **small**.
- **Any** explanation of the flavor structure will have to explain the value of the invariants.
- Any reduction of # of parameters corresponds to relation between invariants.
- **All** flavor observables can be expressed as

$$\mathcal{O}_{\text{flavor}} = \text{Polynomial}_1(I_{ij}) + J_{33} \times \text{Polynomial}_2(I_{ij}).$$

This is guaranteed since our primary and secondary invariants form a “Hironaka decomposition” of this ring.

# CP transformation of covariants and invariants

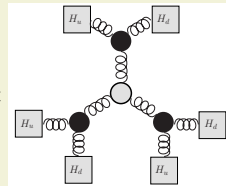
CP is trafo under  $\text{Out}(SU(N)) = \mathbb{Z}_2$ .

Covariants:

$$\mathbf{u}^a \mapsto -R^{ab} \mathbf{u}^b,$$

$$\mathbf{d}^a \mapsto -R^{ab} \mathbf{d}^b,$$

$\Rightarrow$  Only CP-odd in SM:  $J_{33} \propto$



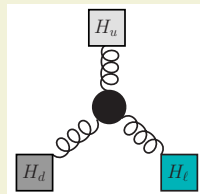
SU(3) tensors (projection ops.):

$$f^{abc} \mapsto R^{aa'} R^{bb'} R^{cc'} f^{a'b'c'} = f^{abc},$$

$$d^{abc} \mapsto R^{aa'} R^{bb'} R^{cc'} d^{a'b'c'} = -d^{abc}.$$

BSM: CPV at order 3 ?

$R = \text{diag}(-1, +1, -1, -1, +1, -1, +1, -1)$  (GM basis).



$$i f^{abc} \text{Tr}[t^a H_u] \text{Tr}[t^b H_d] \text{Tr}[t^c H_\ell]$$

CP trafo of invariants is easily read-off:

Invariants are **CP even** / **CP odd** iff their projection operator contains and **even** / **odd # of  $f$  tensors**.

# Outlook

- Ambiguity in choice of  $I_{22}$  needs to be clarified. Contributions to different contraction channels could be very relevant to decipher flavor puzzle.
- Relative alignments of 8-plet covariants are in 1:1 relation with invariant relations.  
see other examples [Merle, Zwicky '12], [Bento, Boto, Silva, AT '20]
- Maximization and strong correlation of invariants could point to possible **information theoretic** argument to set parameters!  
see e.g. [Carena, Low, Wagner, Xiao '23] and talk by Carena.
- Extension to lepton sector with **orthogonal** invariants.  
for HS/PL and non-orthogonal invariants see [Hanany, Jenkins, Manhoar, Torri '10], [Wang, Yu, Zhou '21], [Yu, Zhou '21].
- Our invariants provide easy targets for fits of any BSM model to SM flavor structure.
- Our procedure is *completely general*, can be applied to all BSM scenarios.

# Conclusion

- We have for the first time obtained a quantitative analysis of the flavor puzzle in terms of basis invariants.
- This uncovers an entirely new angle on the flavor puzzle that must (and will) be explored in the future.
- The (quark) flavor puzzle in invariants amounts to explaining:
  - **Why** are the invariants very close to maximal?
  - **What** explains their tiny deviations from the maximal values?
  - **Why** are the (*orthogonal, a priori independent*) invariants so strongly correlated?
- **Any** explanation of the flavor structure will have to answer these questions.

This is just the beginning of an entirely new exploration of the flavor puzzle.



**Thank You!**

# Backup slides

# General Procedure / Algorithm

for the construction of basis invariants.

Three steps:

1. Construction of *basis covariant* objects: “building blocks”.
  - Determine CP transformation behavior of the building blocks.
2. Derive Hilbert series & Plethystic logarithm.
  - ⇒ # and order of primary invariants.
  - ⇒ # and structure of generating set of invariants.
  - ⇒ interrelations between invariants ( $\equiv$  syzygies).
3. Construct all invariants and interrelations explicitly.

Application here:

Characterize SM flavor sector invariants.

# Hilbert Series and Plethystic Logarithm

Covariant building blocks as **input** for the ring:

$$\mathfrak{8}_u \hat{=} u, \quad \mathfrak{8}_d \hat{=} d.$$

From input, compute Hilbert series (HS) and Plethystic logarithm (PL):

$$\mathfrak{H}(u, d) = \int_{\text{SU}(3)} d\mu_{\text{SU}(3)} \text{PE} [z_1, z_2; u; \mathfrak{8}] \text{PE} [z_1, z_2; d; \mathfrak{8}],$$
$$\text{PL} [\mathfrak{H}(u, d)] := \sum_{k=1}^{\infty} \frac{\mu(k) \ln \mathfrak{H}(u^k, d^k)}{k}.$$

introduced in math: [Getzler, Kapranov '94], physics [Benvenuti, Feng, Hanany, He '06]

$$\mathfrak{H}(u, d) = \frac{1 + u^3 d^3}{(1 - u^2)(1 - d^2)(1 - ud)(1 - u^3)(1 - d^3)(1 - ud^2)(1 - u^2 d)(1 - u^2 d^2)}.$$

$$\text{PL} [\mathfrak{H}(u, d)] = u^2 + ud + d^2 + u^3 + d^3 + u^2 d + ud^2 + u^2 d^2 + u^3 d^3 - u^6 d^6.$$



# CKM in PDG parametrization

$V_{\text{CKM}} := V_{u,L}^\dagger V_{d,L}$  is the Cabibbo-Kobayashi-Maskawa (CKM) matrix. In PDG parametrization

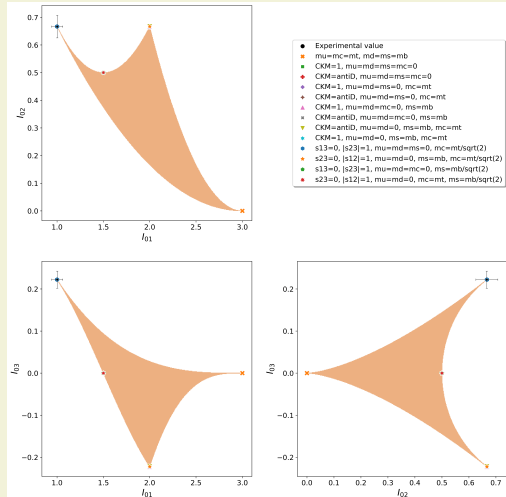
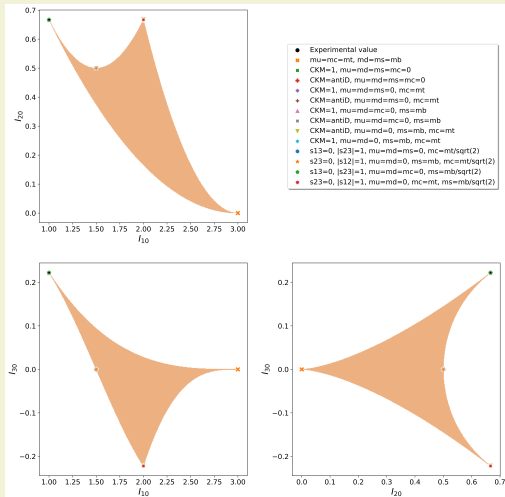
$$V_{\text{CKM}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix},$$

## Experimental values of the invariants

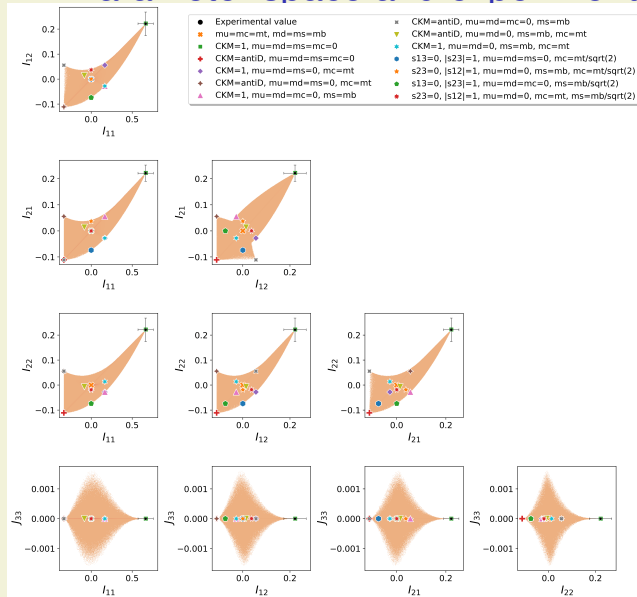
| Invariant | best fit and error                         | Normalized invariant | best fit and error                         |
|-----------|--|----------------------|--|
| $I_{10}$  | $0.9340(83)$                               | $\hat{I}_{10}$       | $1.00001358^{(+85)}_{(-88)}$               |
| $I_{01}$  | $2.660(49) \times 10^{-4}$                 | $\hat{I}_{01}$       | $1.000351^{(+63)}_{(-71)}$                 |
| $I_{20}$  | $0.582(10)$                                | $\hat{I}_{20}$       | $0.66665761^{(+59)}_{(-57)}$               |
| $I_{02}$  | $4.71(17) \times 10^{-8}$                  | $\hat{I}_{02}$       | $0.666432^{(+47)}_{(-42)}$                 |
| $I_{11}$  | $1.651(45) \times 10^{-4}$                 | $\hat{I}_{11}$       | $0.664783^{(+91)}_{(-87)}$                 |
| $I_{30}$  | $0.1811(48)$                               | $\hat{I}_{30}$       | $0.22221769^{(+29)}_{(-28)}$               |
| $I_{03}$  | $4.18(23) \times 10^{-12}$                 | $\hat{I}_{03}$       | $0.222105^{(+24)}_{(-21)}$                 |
| $I_{21}$  | $5.14^{(+18)}_{(-19)} \times 10^{-5}$      | $\hat{I}_{21}$       | $0.221593^{(+30)}_{(-29)}$                 |
| $I_{12}$  | $1.463^{(+65)}_{(-68)} \times 10^{-8}$     | $\hat{I}_{12}$       | $0.221555^{(+38)}_{(-36)}$                 |
| $I_{22}$  | $1.366^{(+73)}_{(-76)} \times 10^{-8}$     | $\hat{I}_{22}$       | $0.221554^{(+38)}_{(-36)}$                 |
| $J_{33}$  | $4.47^{(+1.23)}_{(-1.58)} \times 10^{-24}$ | $\hat{J}_{33}$       | $2.92^{(+0.74)}_{(-0.93)} \times 10^{-13}$ |
| $J$       | $3.08^{(+0.16)}_{(-0.19)} \times 10^{-5}$  |                      |  |

**Table:** Experimental values of the quark sector basis invariants evaluated using PDG data. Uncertainties are  $1\sigma$ . Left: orthogonal invariants at face value. Right: the same invariants normalized to the largest Yukawa

# Correlation of “mass” invariants $I_{10}, I_{20}, I_{30}, I_{01}, I_{02}, I_{03}$



# Parameter space and experimental values



Arguably even “more basis invariant” alternative choice of normalization:

$$\hat{I}_{ij}^{\text{alt}} := \frac{I_{ij}}{I_{10}^i I_{01}^j}.$$

# RGE running of invariants

$$\mathcal{D} := 16\pi^2 \mu \frac{d}{d\mu},$$

$$a_\Delta := -8g_s^2 - \frac{9}{4}g^2 - \frac{17}{12}g'^2,$$

$$a_\Gamma := -8g_s^2 - \frac{9}{4}g^2 - \frac{5}{12}g'^2,$$

$$a_\Pi := -\frac{9}{4}g^2 - \frac{15}{4}g'^2,$$

$$t_{udl} := 3 \text{Tr} \tilde{H}_u + 3 \text{Tr} \tilde{H}_d + \text{Tr} \tilde{H}_\ell.$$

$$\mathcal{D}\tilde{H}_u = 2(a_\Delta + t_{udl}) \tilde{H}_u + 3\tilde{H}_u^2 - \frac{3}{2}(\tilde{H}_d\tilde{H}_u + \tilde{H}_u\tilde{H}_d),$$

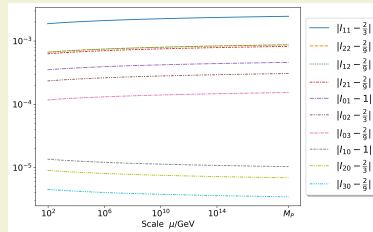
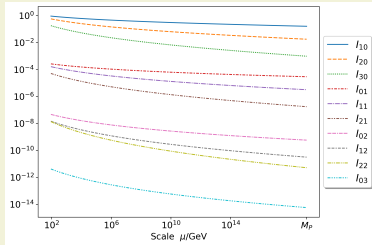
$$\mathcal{D}\tilde{H}_d = 2(a_\Gamma + t_{udl}) \tilde{H}_d + 3\tilde{H}_d^2 - \frac{3}{2}(\tilde{H}_d\tilde{H}_u + \tilde{H}_u\tilde{H}_d),$$

$$\mathcal{D}\tilde{H}_\ell = 2(a_\Pi + t_{udl}) \tilde{H}_\ell + 3\tilde{H}_\ell^2,$$

$$\mathcal{D}g_s = -7g_s^3,$$

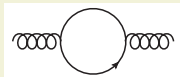
$$\mathcal{D}g = -\frac{19}{6}g^3,$$

$$\mathcal{D}g' = \frac{41}{6}g'^3.$$



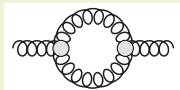
# Birdtrack Identities

We mostly use the conventions of [Keppeler '17] with the following identities



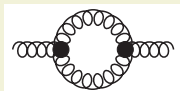
$$= T_r \text{ } \langle \text{gluon line} \rangle$$

with  $T_r \delta^{ab} = \text{Tr}[t^a t^b]$ ,




$$= C_D \text{ } \langle \text{gluon line} \rangle$$

with  $C_D = \frac{N^2 - 4}{N}$ ,



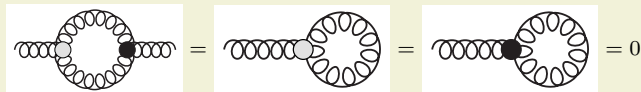
$$= C_A \text{ } \langle \text{gluon line} \rangle$$

with  $C_A = 2T_r N$ .



$$= C_F \text{ } \langle \text{gluon line} \rangle$$

with  $C_F = T_r \frac{N^2 - 1}{N}$ ,



$$= 0$$