



NATURAL LFU VIOLATION

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Outline

- Introduction
- The model
- Explaining the B -anomalies
- Experimental constraints
- Concluding remarks

Based on:

E. Megias, G. Panico, O. Pujolas, MQ, 1608.02362

E. Megias, MQ, L. Salas, 1703.06019; 1707.08014

See also: “Instant workshop in B-meson anomalies” (CERN, 17-19 May 2017) and 2018 Zurich Phenomenology Workshop “Flavours: light, heavy and dark” (Zurich, 15-17 Jan 2018)

Introduction

- The LHCb Collaboration has determined the ratios for $\bar{B} \rightarrow \bar{K} \ell \ell$ ($\ell = \mu, e$) for muons over electrons for $1 < q^2/\text{GeV}^2 < 6$ (central bin) yielding

$$R_K = \frac{\mathcal{B}(\bar{B} \rightarrow \bar{K} \mu \mu)}{\mathcal{B}(\bar{B} \rightarrow \bar{K} e e)} = 0.745_{-0.074}^{+0.090} \pm 0.032$$

- As the SM result is

$$R_K^{\text{SM}} \simeq 1$$

this result departs from the SM prediction by ~ 2.6

- This suggests a Lepton Flavor Universality (LFU) Violation in the process

$$b \rightarrow s \ell \ell$$

- Very recently the same tendency has been confirmed for the ratio

$$R_{K^*} = \frac{\mathcal{B}(\bar{B} \rightarrow \bar{K}^* \mu \mu)}{\mathcal{B}(\bar{B} \rightarrow \bar{K}^* e e)} = \begin{cases} 0.660_{-0.070}^{+0.110} \pm 0.024, & 0.045 < q^2/\text{GeV}^2 < 1.1 \\ 0.685_{-0.069}^{+0.113} \pm 0.047, & 1.1 < q^2/\text{GeV}^2 < 6 \end{cases}$$

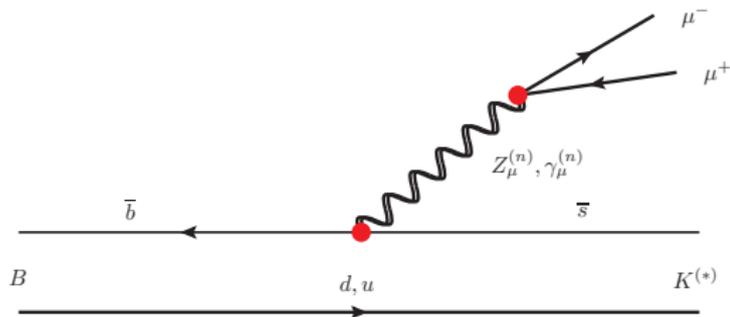
which departs from the SM prediction $\sim 2.5\sigma$ and also suggests LFU Violation in the process

$$b \rightarrow s ll$$

- As in the Standard Model $R_{K^{(*)}} \simeq 1$ (central bin), this would imply

NEW PHYSICS COUPLED TO b AND/OR μ (NOT e) SECTOR

- A solution to this problem can be provided by vector bosons which couple strongly to bottoms and/or muons but not to electrons
- A typical new physics diagram



gives rise to effective operators

$$\begin{aligned} \mathcal{O}_9^\ell &= (\bar{s}_L \gamma_\mu b_L)(\bar{\ell} \gamma^\mu \ell), & \mathcal{O}_{10}^\ell &= (\bar{s}_L \gamma_\mu b_L)(\bar{\ell} \gamma^\mu \gamma_5 \ell), \\ \mathcal{O}'_9 &= (\bar{s}_R \gamma_\mu b_R)(\bar{\ell} \gamma^\mu \ell), & \mathcal{O}'_{10} &= (\bar{s}_R \gamma_\mu b_R)(\bar{\ell} \gamma^\mu \gamma_5 \ell). \end{aligned}$$

- The charged current decays $\bar{B} \rightarrow D^{(*)}\tau^{-}\bar{\nu}_{\tau}$ have been measured by the BaBar, Belle and LHCb collaborations which provide

$$R_{D^{(*)}} \equiv \frac{\mathcal{B}(\bar{B} \rightarrow D^{(*)}\tau^{-}\bar{\nu}_{\tau})}{\mathcal{B}(\bar{B} \rightarrow D^{(*)}\ell^{-}\bar{\nu}_{\ell})}, \quad (\ell = \mu \text{ or } e)$$

- The averaged experimental results

$$R_D = 0.403 \pm 0.047, \quad R_{D^*} = 0.310 \pm 0.017$$

again depart from the Standard Model predictions

$$R_D = 0.300 \pm 0.011, \quad R_{D^*} = 0.254 \pm 0.004$$

by 2.2σ and 3.3σ , although the combined deviation is $\sim 4\sigma$

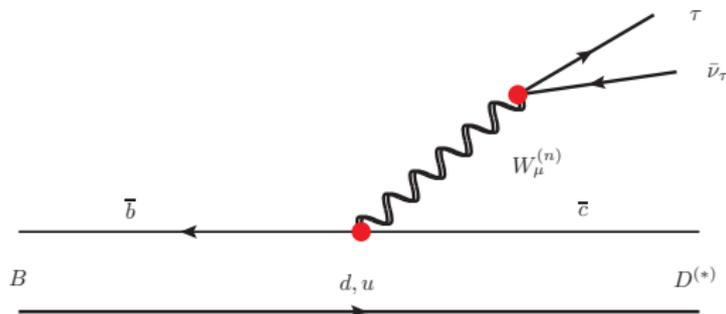
- This suggests Lepton Flavor Universality (LFU) Violation in the process

$$b \rightarrow c\tau\nu_{\tau}$$

- This would lead to

NEW PHYSICS MAINLY COUPLED TO THE b AND τ SECTORS

- A solution to this problem can be given by charged vector bosons which couple to taus much more strongly than to muons and electrons
- A new physics diagram



gives rise to the effective operator

$$\mathcal{O}^\ell = (\bar{c}\gamma^\nu P_L b)(\bar{\ell}\gamma_\nu \nu_\ell), \quad (\ell = \tau)$$

The model (solving the naturalness problem)

- I will present a warped model where those ideas can be realized
- A 5D model with two branes at $y = 0$ (UV) and $y = y_1$ (IR), and metric $A(y)$

$$ds^2 = e^{-2A(y)} \eta_{\mu\nu} dx^\mu dx^\nu + dy^2, \quad A(y_1) \simeq 35 \text{ (hierarchy problem)}$$

- We are using a soft-wall (SW) metric with a singularity beyond the IR

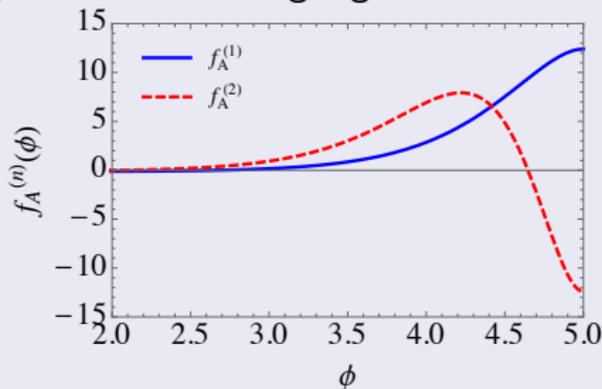
$$\lim_{y \rightarrow y_s} A(y) = \infty, \quad y_s > y_1$$

- This can be easily achieved by a stabilizing bulk field ϕ with an exponential (super)potential, as e.g.

$$W(\phi) = 6k \left(1 + e^{a\phi}\right)^b \quad \text{e.g. } b = 2, a = 0.15 \text{ (RS is } b = 0)$$

- All SM fields propagate in the bulk: gauge vectors, Higgs, fermions
- Every field has the **lowest** mode and the **Kaluza-Klein** (KK) excitations
- The **Higgs** zero mode is localized towards the **IR** to solve the **hierarchy problem**
- All **KK** excitations are localized towards the **IR** brane

For instance, $n = 1, 2$ KK modes of gauge bosons:



- **KK** modes of gauge bosons interact **strongly** (**weakly**) with **IR** (**UV**) localized fields

- The SM fermion $f_{L,R}$ is the zero mode of the 5D fermion $\Psi(y, x)$ with appropriate boundary conditions and a Dirac mass term

$$\mathcal{L}_5 = M_{f_{L,R}}(y) \bar{\Psi} \Psi, \quad M_{f_{L,R}}(y) = \mp c_{f_{L,R}} W(\phi)$$

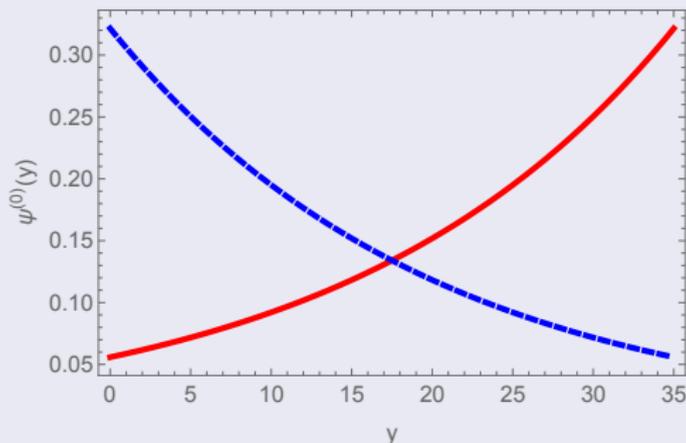
- Explicitly the zero mode (in flat coordinates) is given by

$$\psi_{L,R}^{(0)}(y, x) = \frac{e^{(1/2 - c_{L,R})A(y)}}{\left(\int dy e^{A(1 - 2c_{L,R})} \right)^{1/2}} f_{L,R}(x)$$

where $f_{L,R}(x)$ are SM fermions

- Fermions with $c < 0.5$ ($c > 0.5$) are localized towards the IR (UV) brane.

- For example the profile of fermions with $c = 0.45$ (solid red) and $c = 0.55$ (dashed blue) are

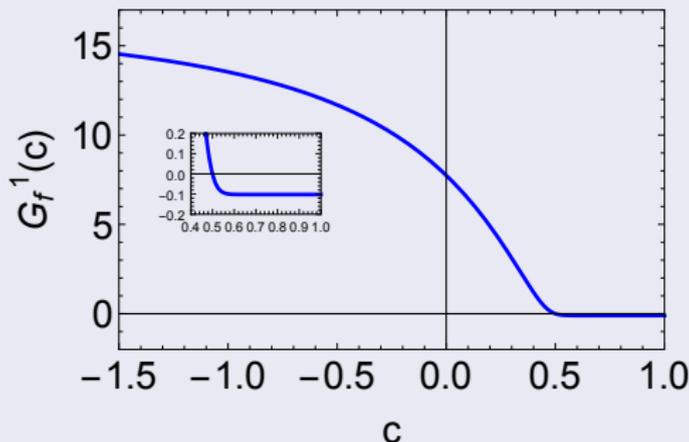


- Fermions with $c < 0.5$ ($c > 0.5$) are interpreted as partly **composite** (**elementary**) in the dual holographic theory

The coupling with fermions is

$$G_f^n(c_{L,R}) g_{f_{L,R}}^{SM} A_\mu^n \bar{f}_{L,R} \gamma^\mu f_{L,R}$$

- The interaction of gauge KK modes with leptons is **Lepton Flavor Non-Universal**, depending on the values of $c_{\ell,L,R}$ ($\ell = \tau, \mu, e$)



- The coupling with **IR** localized (**composite**) fermions is **stronger** than the coupling with **UV** localized (**elementary**) fermions

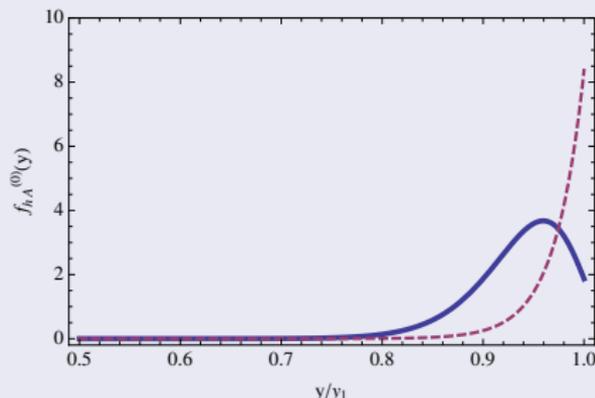
- We can understand the improvement from electroweak constraints in the SW model by the different behaviour of the Higgs profile at the IR brane location y_1
- In fact the normalized physical Higgs wave function is defined as

$$f_{hA}^{(0)}(y) = N_0 e^{-A(y)} h(y)$$

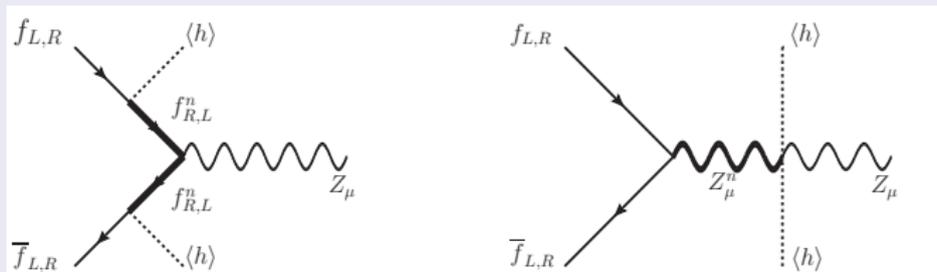
$$h(y) = e^{bky}, \quad b \geq 2$$

- As KK-modes are localized towards the IR brane their contribution to the electroweak observables T and S is smaller than in RS

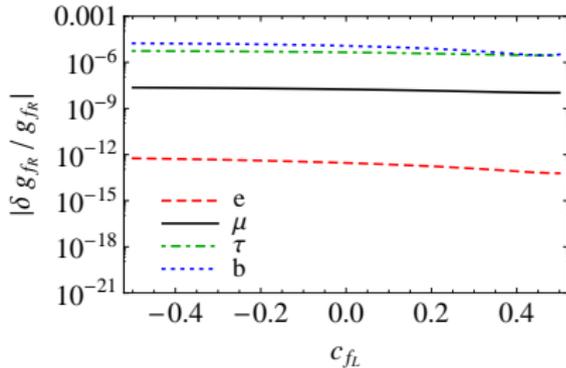
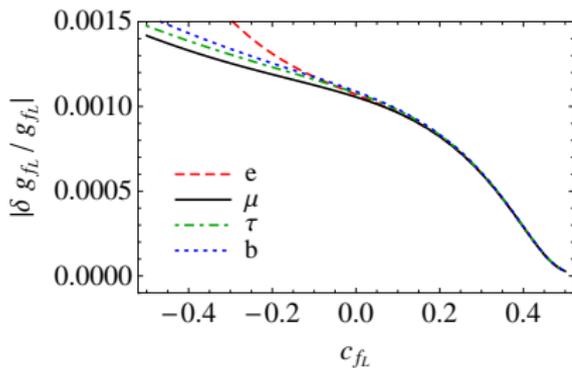
Solid=SW, dashed=RS



The most constraining observable is the $Z\bar{f}f$ coupling from the diagrams

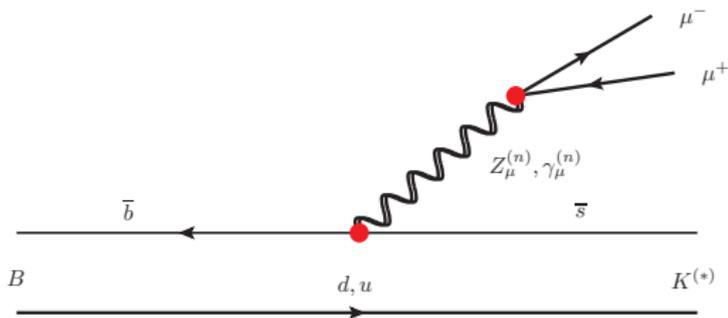


yielding



The B -anomalies

- The SM departure for $R_{K^{(*)}}$ is generated by the diagram



- The FCNC current ($\bar{b}\gamma^\mu s$) is generated from

$$\left(V_d^\dagger G_d^n V_d \right)_{32}$$

$$G_d^n = \text{diag}(G_d^n(c_d), G_s^n(c_s), G_b^n(c_b)) \neq G^n(c)\mathbf{1}_3$$

- Where $V_{d,L,R}$ is the unitary matrix diagonalizing the *down* mass matrix

- In the absence of a general (UV) theory providing the 5D Yukawa couplings, we will just consider the general form for these matrices by assuming that they reproduce the physical CKM matrix V , i.e. they satisfy the condition $V \equiv V_{u_L}^\dagger V_{d_L}$
- Given the hierarchical structure of the quark mass spectrum and mixing angles, we can then assume for the matrices V , V_{d_L} and V_{u_L} Wolfenstein-like parametrization

$$V_{d_L} = \begin{pmatrix} 1 - \frac{1}{2}\lambda_0^2 & \lambda_0 & (V_{d_L})_{13} \\ -\lambda_0 & 1 - \frac{1}{2}\lambda_0^2 & -A\lambda^2(r-1) \\ (V_{d_L})_{31} & A\lambda^2(r-1) & 1 \end{pmatrix}$$

$$(V_{d_L})_{13} = -A\lambda^2\lambda_0(r-1)(\rho_0 - i\eta_0)$$

and

$$(V_{d_L})_{31} = -A\lambda^2\lambda_0(r-1)(1 - \rho_0 - i\eta_0)$$

- They give rise to the Wilson coefficients

$$\Delta C_9^{(\prime)\ell} = (r-1) \sum_{X=Z,\gamma} \sum_n \frac{2\pi g^2 g_{\ell V}^{X_n} (g_{b_{L(R)}}^{X_n} - g_{s_{L(R)}}^{X_n})}{\sqrt{2} G_F \alpha c_W^2 M_n^2}$$

$$\Delta C_{10}^{(\prime)\ell} = -(r-1) \sum_{X=Z,\gamma} \sum_n \frac{2\pi g^2 g_{\ell A}^{X_n} (g_{b_{L(R)}}^{X_n} - g_{s_{L(R)}}^{X_n})}{\sqrt{2} G_F \alpha c_W^2 M_n^2}$$

where

$$g_{f_{L,R}}^{X_n} = g_{f_{L,R}}^X G_{f_{L,R}}^n, \quad X = Z, \gamma$$

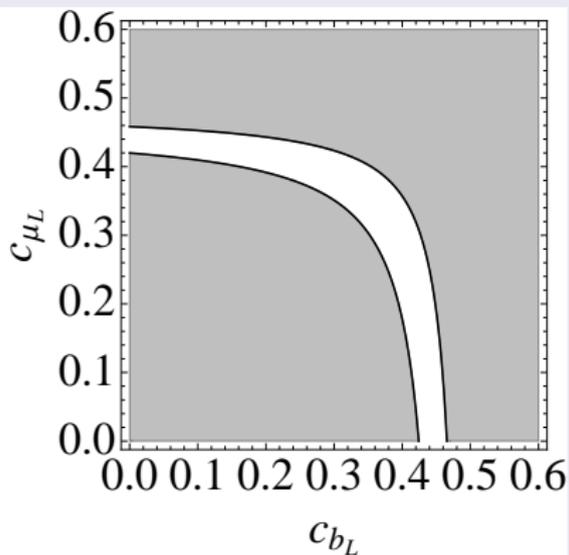
$$g_f^Z = T_{3f} - Q_f s_W^2, \quad g_f^\gamma = Q_f s_W c_W$$

- Different qualitative behaviors for [$M_{KK} = 2 \text{ TeV}$]

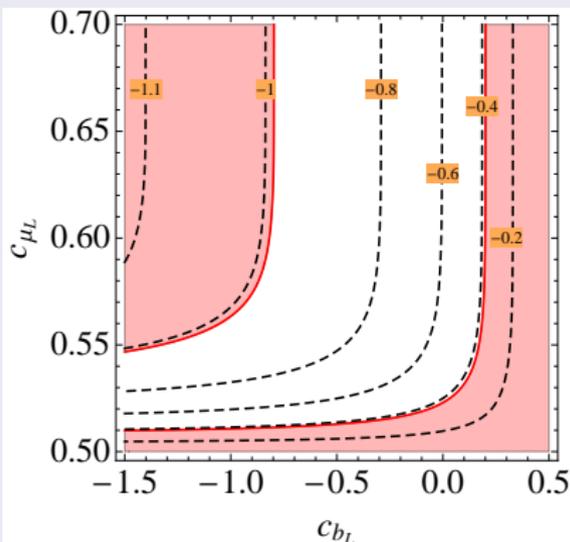
$$r < 1 \ \& \ r > 1$$

- The region allowed by $b \rightarrow sll$ data (fit of ΔC_9^μ) is

$$r < 1: r = 0.75, c_{eL} = 0.5$$



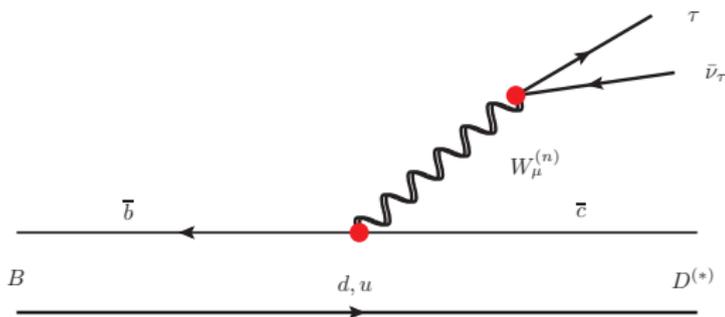
$$r > 1: r = 2.3, c_{eL} = 0.5$$



- So

b_L is **composite** and μ_L is **composite** (elementary) for $r < 1$ ($r > 1$)

- The SM departure for $R_{D^{(*)}}$ is generated by the diagram



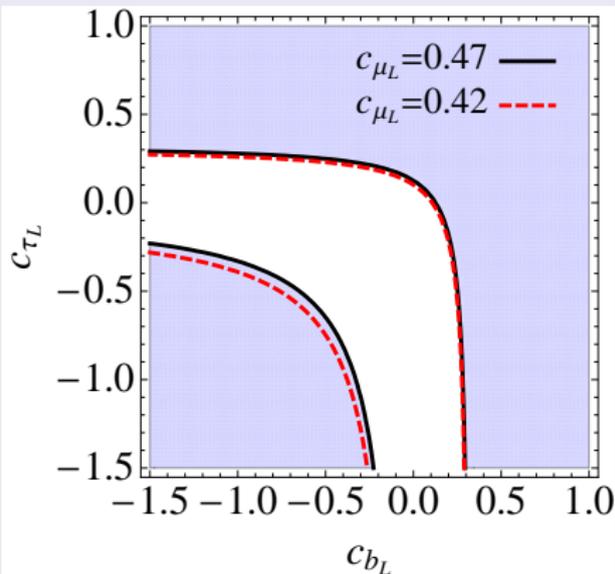
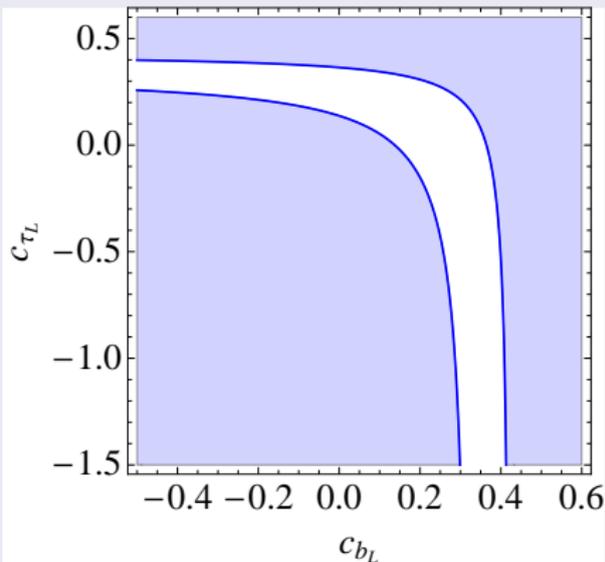
- The FC charged current ($\bar{b}_L \gamma^\mu c_L$) is generated from

$$\left(V_{d_L}^\dagger G_{d_L}^n V_{u_L} \right)_{32}$$

$$G_{d_L}^n = \text{diag}(G_{d_L}^n(c_{d_L}), G_{s_L}^n(c_{s_L}), G_{b_L}^n(c_{b_L})), \quad c_{u_L} = c_{d_L}$$

- Where $V_{u_{L,R}}$ is the unitary matrix diagonalizing the up mass matrix
- We have considered a parametrization such that $V_{d_L}^\dagger V_{u_L} = V_{CKM}$

- The relevant parameters here are c_{b_L}, c_{τ_L}
- The region allowed by $R_{D^{(*)}}$ data is the white region

 $r = 0.75$

 $r = 2.3$


For $r < 1$ τ_L and b_L are more **composite** than for $r > 1$

Constraints

The main constraints are those from

- The experimental value of the coupling $g_{\tau L}^Z$ ^a

^aS. Schael et al. (SLD Electroweak Group, DELPHI, ALEPH, SLD, SLD Heavy Flavour Group, OPAL, LEP Electroweak Working Group, L3), Phys. Rept. 427, 257 (2006)

- LFU tests, as e.g. $\tau \rightarrow \mu\nu\bar{\nu}$ Vs $\mu \rightarrow e\nu\bar{\nu}$ ^a

^aF. Feruglio, P. Paradisi, and A. Pattori, Phys. Rev. Lett. 118, 011801 (2017); F. Feruglio, P. Paradisi, and A. Pattori (2017), 1705.00929; A. Pich, Prog. Part. Nucl. Phys. 75, 41 (2014)

- Constraints from flavor physics ^a

^aG. Isidori, Flavour Physics and Implication for New Phenomena, Adv. Ser. Direct. High Energy Phys. 26 (2016) 339-355, [1507.00867]

The coupling $g_{\tau L}^Z$

- We also obtain the effective Lagrangian

$$\mathcal{L}_{\text{eff}} = \frac{C_n^{t\ell}}{M_n^2} (\bar{t}_L \gamma_\mu t) (\ell_L \gamma^\mu \ell_L)$$

$$C_n^{t\ell} = -\frac{g^2}{c_W^2} \left(g_{u_L}^{Z_n} g_{\ell_L}^{Z_n} + g_{u_L}^{\gamma_n} g_{\ell_L}^{\gamma_n} \right)$$

- $g_{\tau L}^Z$ receives leading loop corrections proportional to h_t^2

$$\Delta g_{\ell L}^Z \simeq \frac{v^2}{M_n^2} \frac{1}{16\pi^2} \left(3h_t^2 C_n^{t\ell} \log \frac{M_n}{m_t} + \mathcal{O}(g^4) \right)$$

- Experimentally

$$g_{\tau L}^Z = -0.26930 \pm 0.00058$$

LFU tests

- The value of $R_{D^{(*)}}$ also has to agree with flavor universality tests in tau decays. In particular the observables

$$R_{\tau}^{\tau/\ell} = \frac{\mathcal{B}(\tau \rightarrow \ell \nu \bar{\nu})/\mathcal{B}(\tau \rightarrow \ell \nu \bar{\nu})_{\text{SM}}}{\mathcal{B}(\mu \rightarrow e \nu \bar{\nu})/\mathcal{B}(\mu \rightarrow e \nu \bar{\nu})_{\text{SM}}}, \quad (\ell = \mu, e)$$

- Subject to the 95% CL experimental bounds

$$R_{\tau}^{\tau/\mu} \in [0.996, 1.008], \quad R_{\tau}^{\tau/e} \in [1.000, 1.012]$$

- In our model, fixing $c_{eL} = 0.5$ implies that $R_{\tau}^{\tau/e} = 1$
- Including one-loop radiative corrections

$$R_{\tau}^{\tau/\mu} = 1 + 2 \frac{m_W^2}{M_n^2} G_{\tau L}^n (G_{\mu L}^n - 0.065 G_{b_L}^n)$$

Flavor observables

- New physics contributions to $\Delta F = 2$ processes come from the exchange of gluon KK modes in **flavor** observables
- Integrating out the massive KK gluons gives rise to

$q = d, u$

$$\mathcal{L}_{\Delta F=2} = \frac{C_{qij}^{LL(n)}}{M_n^2} (\bar{q}_{iL} \gamma^\mu q_{jL}) (\bar{q}_{iL} \gamma_\mu q_{jL}) + \frac{C_{qij}^{RR(n)}}{M_n^2} (\bar{q}_{iR} \gamma^\mu q_{jR}) (\bar{q}_{iR} \gamma_\mu q_{jR})$$

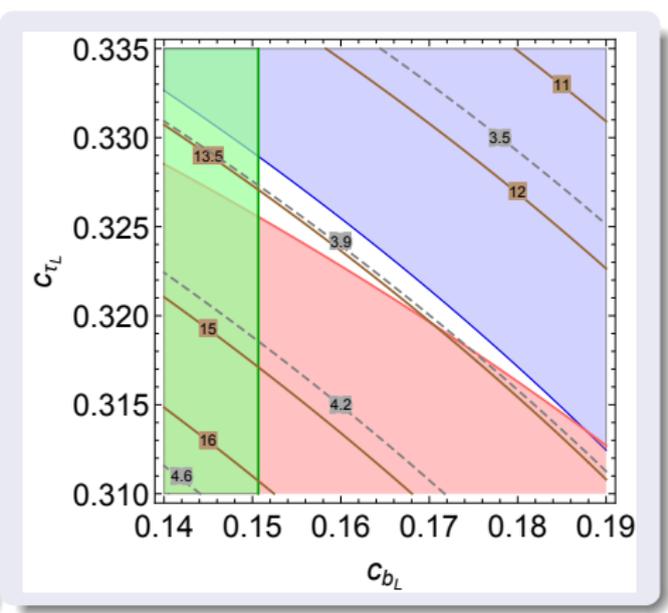
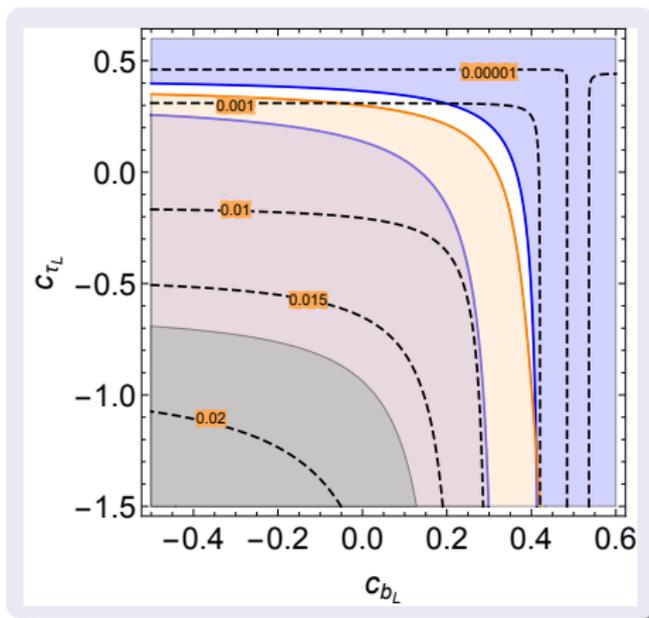
$$+ \frac{C_{qij}^{LR(n)}}{M_n^2} (\bar{q}_{iR} q_{jL}) (\bar{q}_{iL} q_{jR})$$

$$C_{dij}^{LL,RR(n)} = \frac{g_s^2}{6} [(V_{d_L}^*)_{3i} (V_{d_L})_{3j}]^2 (G_{b_{L,R}}^n - G_{q_{L,R}}^n)^2,$$

$$C_{dij}^{LR(n)} = g_s^2 (V_{d_L}^*)_{3i} (V_{d_L})_{3j} (V_{d_R}^*)_{3i} (V_{d_R})_{3j} (G_{b_L}^n - G_{q_L}^n) (G_{b_R}^n - G_{q_R}^n)$$

- Main constraints from Δm_K and ϵ_K

- The constraints considerably reduce the available space left by experimental data: case $r > 1$ favored (for $r = 2.3$)



Left panel: Blue: $R_{D^{(*)}}$; Orange: g_{τ}^Z ; Brown: $bb \rightarrow Z^{(n)}/\gamma^{(n)} \rightarrow \tau\tau$

Right panel: Red: $R_{\tau}^{T/\mu}$; Green: flavor

Concluding remarks

- To prevent strong bounds from

$$\mu \rightarrow e\gamma, \tau \rightarrow \mu\gamma, \dots$$

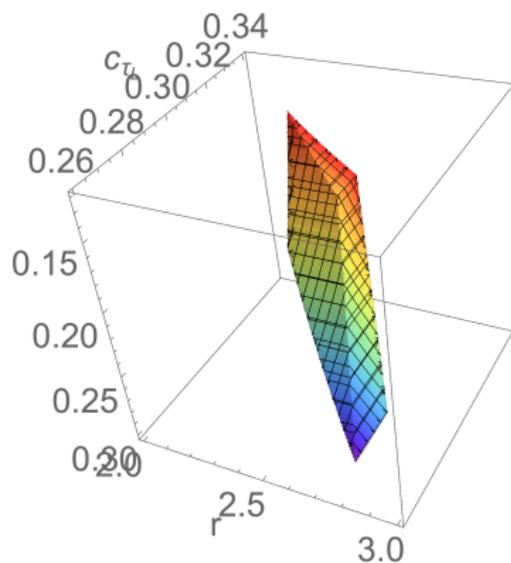
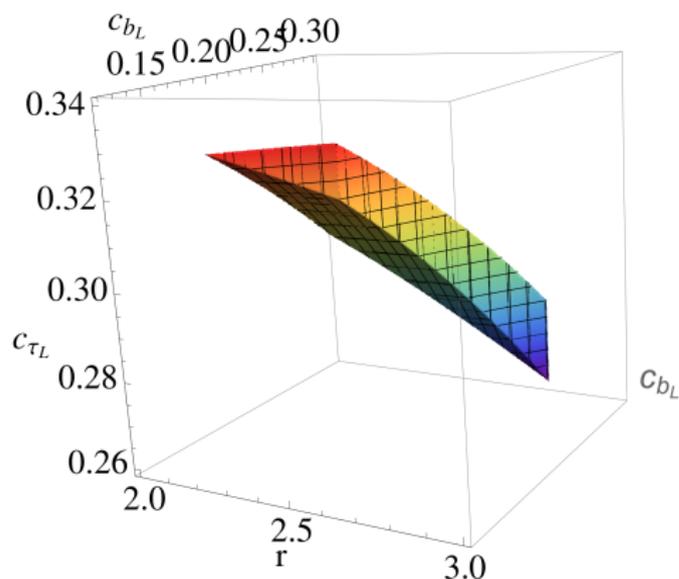
we have assumed no Lepton Flavor Violation (LFV)

- We are assuming that the 5D Yukawa couplings are such that the charged leptons are diagonal in the weak basis, so that $V_{\ell_{L,R}} \simeq 1$
- The required alignment in the lepton sector depends on the UV completion of the theory.
- This can be obtained by imposing a

$$U(1)^3$$

flavor symmetry in the lepton sector broken only by the tiny effects due to the neutrino masses

The available 3D volume in the space (r, c_{b_L}, c_{τ_L})



The range of possible values of r consistent with all experimental data

$$2.2 < r < 2.8$$

- We find agreement with $R_{K^{(*)}}$ and $R_{D^{(*)}}$ data at 95% CL, provided the third generation of left-handed fermions is **composite**, as

$$0.14 < c_{b_L} < 0.28, \quad \& \quad 0.265 < c_{\tau_L} < 0.33$$

- First and second generations of quarks and leptons are **elementary**
- We obtain the absolute limits from **experimental constraints**

$$R_{K^{(*)}} > 0.79 \quad \& \quad R_{D^{(*)}}/R_{D^{(*)}}^{\text{SM}} < 1.13$$

as compared with the experimental data (at 1σ)

$$0.664 < R_K < 0.841, \quad 0.601 < R_{K^*} < 0.807$$

$$1.20 < R_D/R_D^{\text{SM}} < 1.54, \quad 1.20 < R_{D^*}/R_{D^*}^{\text{SM}} < 1.36$$

- Finally our model predicts, for any value of the parameters the absolute range at **95% CL** for the branching ratio $\mathcal{B}(B \rightarrow K^{(*)}\nu\bar{\nu})$

$$1.14 \times 10^{-5} \lesssim \mathcal{B}(B \rightarrow K\nu\bar{\nu}) \lesssim 2.55 \times 10^{-5}$$

$$2.70 \times 10^{-5} \lesssim \mathcal{B}(B \rightarrow K^*\nu\bar{\nu}) \lesssim 5.79 \times 10^{-5}$$

much larger than the SM prediction

$$\mathcal{B}(B \rightarrow K\nu\bar{\nu})_{\text{SM}} = (3.98 \pm 0.47) \times 10^{-6}$$

as compared with experimental bounds (at **90% CL**) from Belle

$$\mathcal{B}(B \rightarrow K\nu\bar{\nu}) < 1.6 \times 10^{-5}$$

$$\mathcal{B}(B \rightarrow K^*\nu\bar{\nu}) < 2.7 \times 10^{-5}$$

- Therefore...

... **on the verge of experimental discovery/exclusion!!**

- A similar analysis can be done with the branching ratio $\mathcal{B}(B \rightarrow K\tau\tau)$, as measured by the BaBar Collaboration providing the 90% CL bound,

$$\mathcal{B}(B \rightarrow K\tau\tau) < 2.25 \times 10^{-3}$$

much larger than the SM prediction

$$\mathcal{B}(B \rightarrow K\tau\tau)_{\text{SM}} = (1.44 \pm 0.15) \times 10^{-7}$$

- The model predicts, for any value of the parameters the absolute range at 95% CL

$$1.9 \times 10^{-6} \lesssim \mathcal{B}(B \rightarrow K\tau\tau) \lesssim 2.0 \times 10^{-6}$$

much larger than the SM prediction but still far from experimental bounds!