

# **Higgs- $R^2$ inflation and cosmological collider physics**

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Based mainly on [2002.11739](#), [2102.12501](#), [2309.xxxxx](#)

with R. Jinno, K. Nakayama, K. Mukaida, J. van de Vis, S. Verner

See also [1609.05209](#), [1701.07665](#), [1907.00993](#) and [2008.01096](#).

# Outline

1. Higgs inflation, unitarity and  $R^2$
2. Cosmological collider signatures
3. Summary

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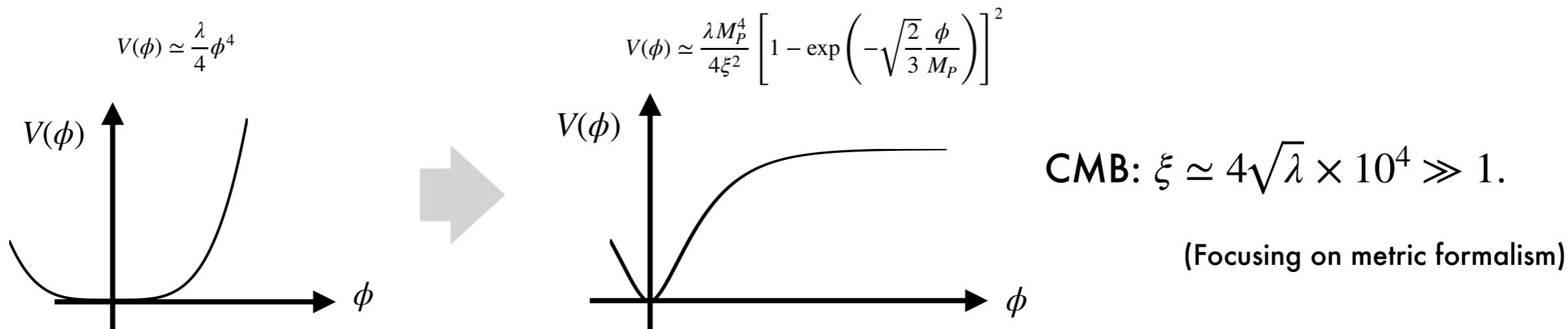
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# Higgs inflation

- Higgs inflation: standard model Higgs = inflaton. [Bezrukov, Shaposhnikov 07; ...]

$$\mathcal{L} = \frac{M_P^2}{2} R + |D_\mu \Phi|^2 + \underline{\xi |\Phi|^2 R} - \lambda |\Phi|^4 \text{ with } \Phi: \text{Higgs doublet.}$$

- Non-minimal Higgs-gravity coupling “ $\xi$ ” flattens the potential.



- Inflationary predictions:  $n_s = 1 - \frac{2}{N_e}$ ,  $r = \frac{12}{N_e^2}$ .

Reheating determines  $N_e$ , essential for inflationary prediction,

e.g. to distinguish between Higgs and  $R^2$  inflation.

# Unitarity issue

- Higgs inflation is not consistent for  $E > M_P/\xi \sim 10^{14} \text{ GeV}$ . [Burgess+ 09,10; Barbon+09, Hertzberg10; Bezrukov+10]

$$i\mathcal{M} = \begin{array}{c} \text{Higgs} \quad \text{Higgs} \\ \diagdown \quad \diagup \\ \text{graviton} \\ \diagup \quad \diagdown \end{array} \sim \frac{\xi^2 E^2}{M_P^2}, \quad |\mathcal{M}|^2 \sim (\text{scattering probability}) > 1 \text{ for } E > M_P/\xi.$$

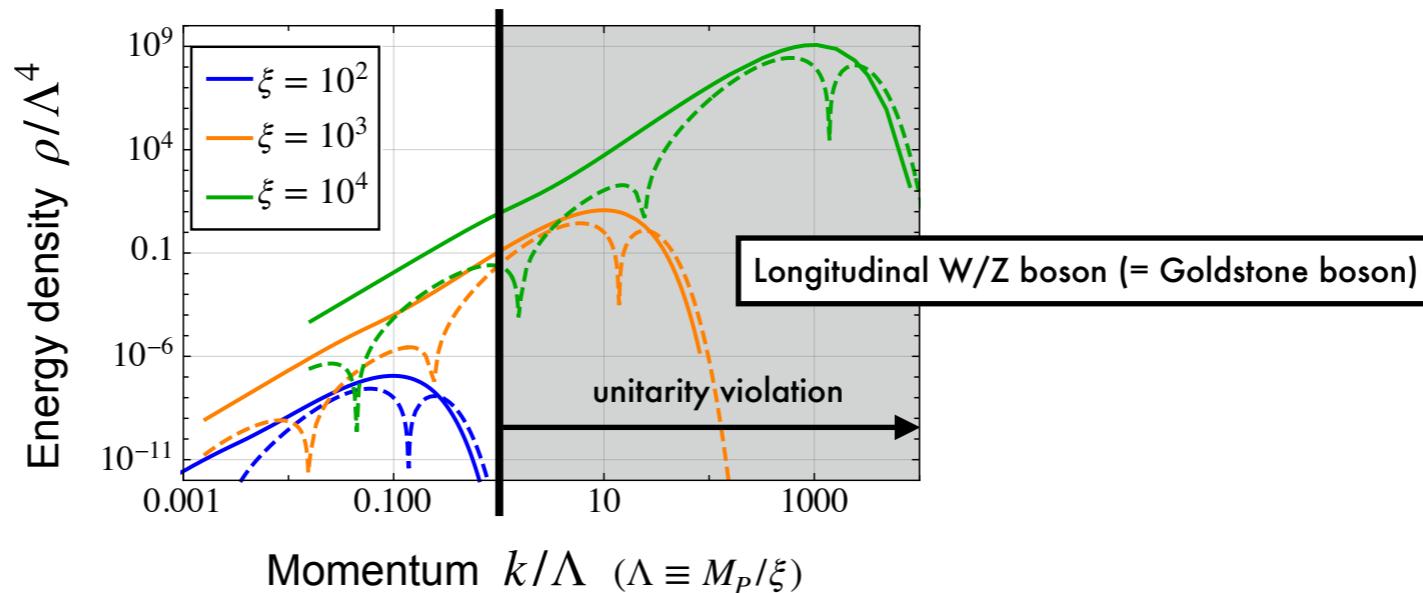
- Inflation energy scale exceeds this scale

$$\frac{M_P}{\xi} \sim 10^{14} \text{ GeV} < V_{\text{inf}}^{1/4} \sim 10^{16} \text{ GeV} \text{ (fixed from CMB),}$$

but still debates on validity during inflation.

- Higgs inflation indeed violates unitarity **during (p)reheating**.

[YE, Jinno, Mukaida, Nakayama 16; Sfakianakis+ 18; YE, Jinno, Nakayama, van de Vis 21]



→ theoretically inconsistent, UV completion necessary to determine  $T_R$ .

# Higgs- $R^2$ inflation

- Unitarity issue of Higgs inflation healed by  $R^2$  term : “Higgs- $R^2$  inflation”.

[YE 17, 19; Gorbunov+ 18]

$$\mathcal{L} = \frac{M_P^2}{2}R + \alpha R^2 + |D_\mu \Phi|^2 + \xi |\Phi|^2 R - \lambda |\Phi|^4.$$

$R^2 \sim (\partial^2 g)^2$  introduces new degree of freedom “scalarmon.”  $\begin{cases} \partial^2 \phi = 0 \rightarrow 2 \text{ initial conditions,} \\ \partial^4 \phi = 0 \rightarrow 4 \text{ initial conditions.} \end{cases}$   
 (no ghost here)

$$i\mathcal{M}_{\text{Higgs}} = \cancel{\text{Higgs loop}} \sim \frac{\xi^2 E^2}{M_P^2} > 1 \text{ for } E > M_P/\xi : \text{unitarity violation.}$$

$$i\mathcal{M}_{\text{Higgs+}R^2} = \cancel{\text{Higgs loop}} + \text{scalarmon} \sim \frac{\xi^2 m_s^2}{M_P^2} \frac{E^2}{m_s^2 - E^2} < 1 : \text{unitarity always conserved}$$

where scalaron mass  $m_s^2 = \frac{M_P^2}{12\alpha} \lesssim \frac{M_P^2}{\xi^2}$ , or  $\alpha \gtrsim \xi^2$ , needed.

- Higgs- $R^2$  inflation: no other operators generated below  $M_P$  (except for Higgs mass and CC).

[YE 19; YE, Mukaida, van de Vis 20]

$$\mathcal{L}_{\text{Higgs}} \xrightarrow{\text{quantum correction}} \mathcal{L}_{\text{Higgs+}R^2} \quad \text{but} \quad \mathcal{L}_{\text{Higgs+}R^2} \xrightarrow{\text{quantum correction}} \mathcal{L}_{\text{Higgs+}R^2}.$$

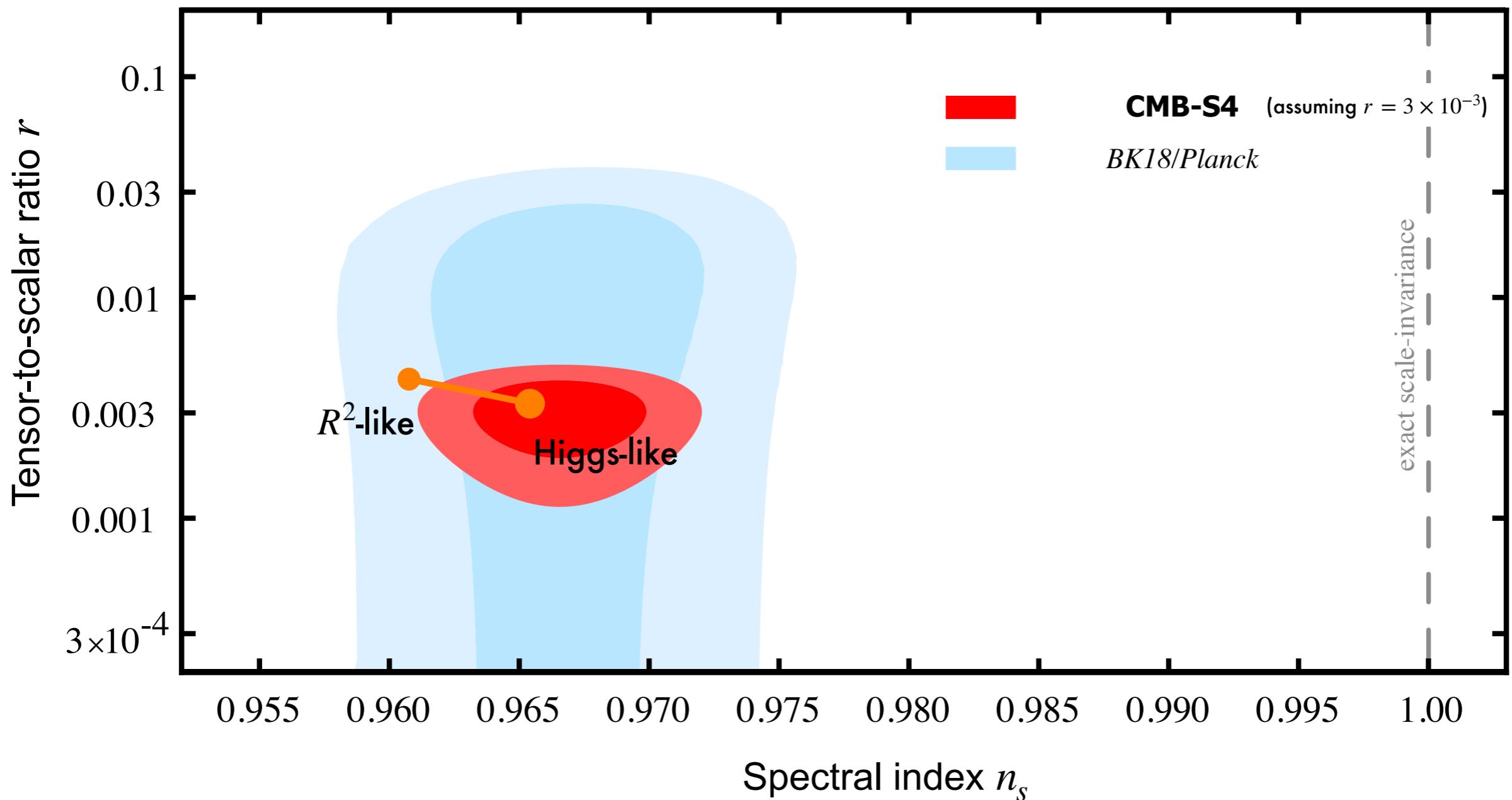
( $M_P \rightarrow \infty$  with  $M_P/\xi$  fixed, renormalizability of (spin-0 part of) quadratic gravity)

# Higgs- $R^2$ inflation: prediction

CMB requires  $\frac{\xi^2}{\lambda} + 4\alpha \simeq 2 \times 10^9$ .

$$\left\{ \begin{array}{l} \xi^2/\lambda \gg \alpha \rightarrow \text{inflaton: Higgs-like,} \\ \xi^2/\lambda \ll \alpha \rightarrow \text{inflaton: scalaron-like.} \end{array} \right.$$

[Snowmass2021 Cosmic Frontier: CMB Measurements White Paper] (adapted by YE)



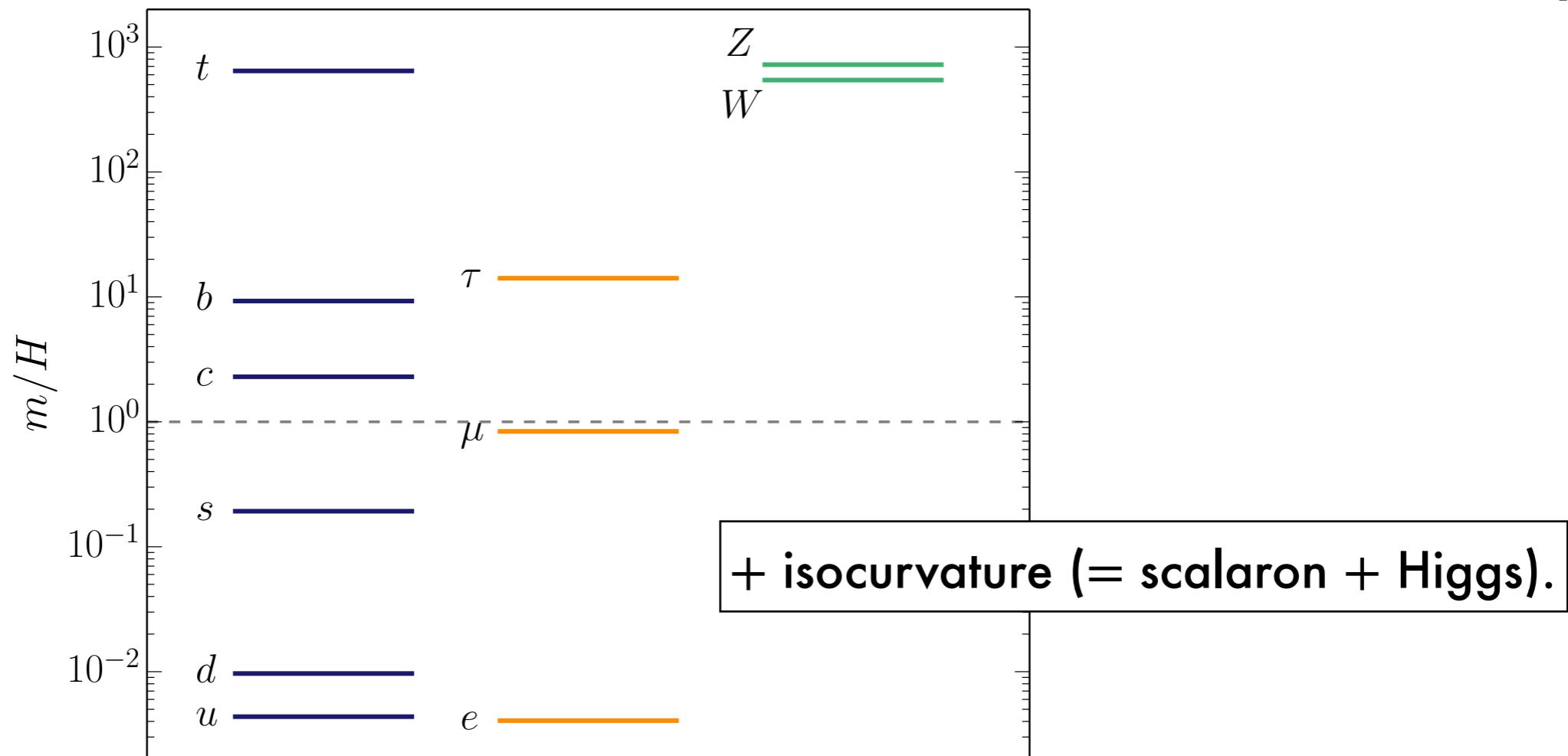
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2. Cosmological collider signatures
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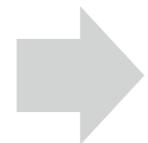
# SM mass spectrum

- SM mass spectrum rich and some as light as  $H$  even though  $h_0 \gg H$  during inflation.

[Chen+ 16]



- Inflaton couples to SM particles through Higgs/conformal factor in Higgs- $R^2$  inflation.



Any observable cosmological collider signatures?

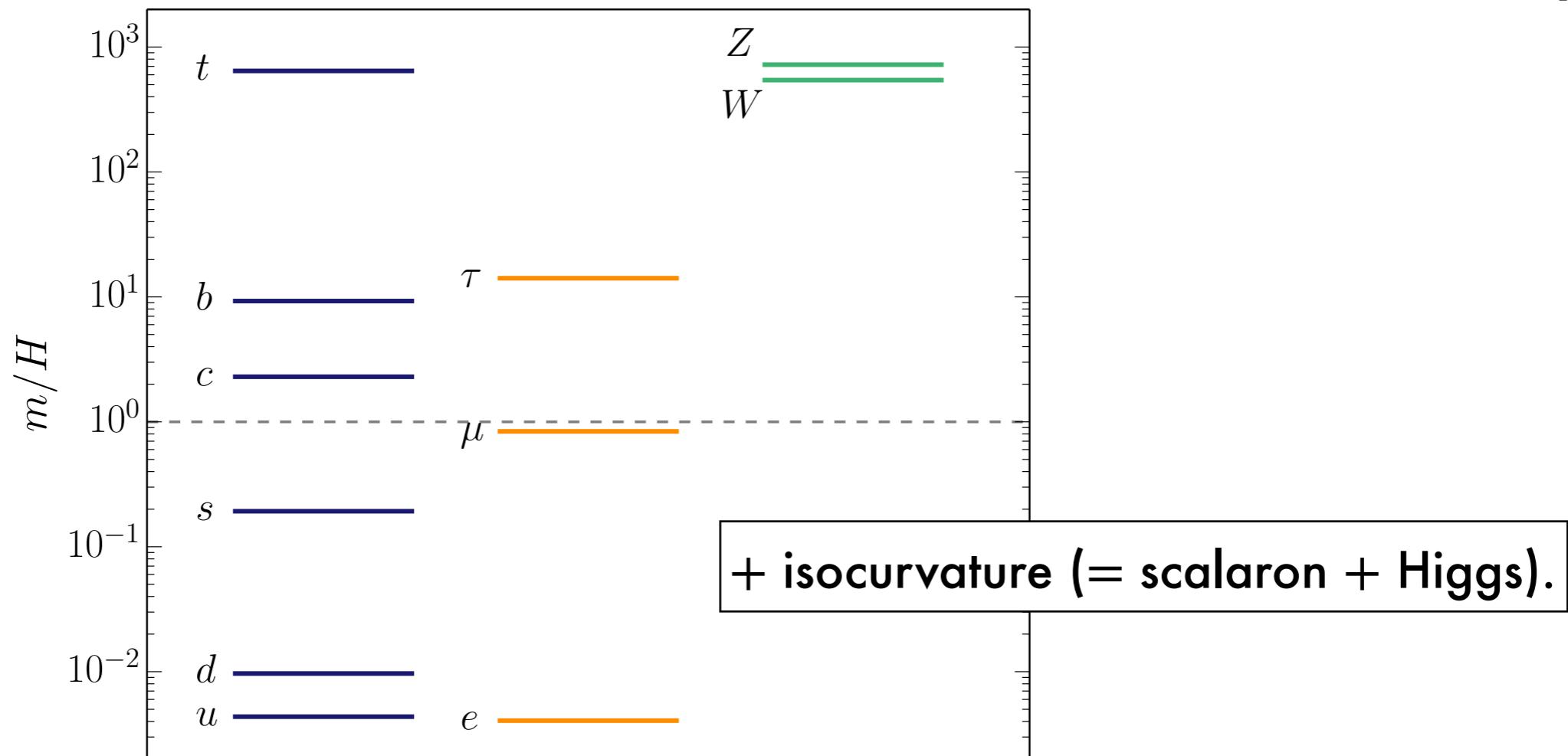
SPOILER ALERT!

Yes, but only in somewhat a corner of parameter space.

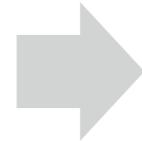
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SPOILER ALERT!

Yes, but only in somewhat a corner of parameter space.

# Fermion and gauge boson

- Inflaton couples to SM fermion and gauge boson through the mass term:

$$S_{\text{int}} = \int d^4x \sqrt{-g} \mathcal{O}_{\text{SM}} \left[ 1 + c_1 \frac{\varphi}{N_e M_P} + c_2 \frac{\varphi^2}{2M_P^2} \right], \quad \mathcal{O}_{\text{SM}} = -m \bar{\psi} \psi, \quad \frac{m^2}{2} g^{\mu\nu} A_\mu A_\nu,$$

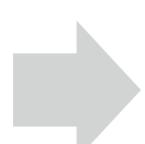
with  $(c_1, c_2) = (\sqrt{6}/16, 1/6)$  for  $\psi$  and  $(\sqrt{6}/8, 1/3)$  for  $A_\mu$ .

- Cosmological collider signatures diagrammatically given by

$$\langle \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \zeta_{\vec{k}_3} \rangle' = \begin{array}{c} \text{---} \\ | \quad | \\ k_1 \quad k_2 \\ \backslash \quad / \\ \text{---} \end{array} \equiv (2\pi)^4 \frac{P_\zeta^2}{k_1^2 k_2^2 k_3^2} \times S_{\text{NG}}.$$

- Squeezed limit given by

$$S_{\text{NG}} = \begin{cases} -\frac{3c_1c_2H\dot{\phi}_0}{8\pi^4 N_e M_P^3} \frac{m^2}{H^2} C_{1/2}(\nu_-) \left(\frac{k_3}{k_1}\right)^{4-2\nu_-} & + (\nu_- \rightarrow \nu_+) : \text{ fermion}, \\ -\frac{3c_1c_2}{8\pi^4} \frac{H\dot{\phi}_0}{N_e M_P^3} \frac{m^4}{H^4} C_1(\nu) \left(\frac{k_3}{k_1}\right)^{2-2\nu} & + (\nu \rightarrow -\nu) : \text{ gauge boson}. \end{cases}$$



$S_{\text{NG}} \lesssim \frac{H\dot{\phi}_0}{(2\pi)^4 N_e M_P^3} \sim 10^{-17}$ : far too small to be observable.

[YE, Verner 23]

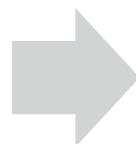
# Isocurvature mode

- Action of adiabatic mode  $\zeta$  and isocurvature mode  $\chi$  given by

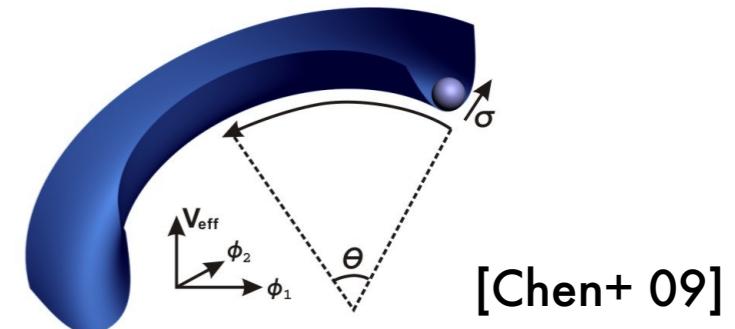
$$S = \int dt d^3x a^3 \left[ \frac{1}{2} \frac{\dot{\phi}_0^2}{H^2} \left( \dot{\zeta}^2 - \frac{1}{a^2} (\partial_i \zeta)^2 \right) + \frac{1}{2} \left( \dot{\chi}^2 - \frac{1}{a^2} (\partial_i \chi)^2 - m_\chi^2 \chi^2 \right) - \frac{2\dot{\theta}\dot{\phi}_0}{H} \dot{\zeta} \chi \right]$$

where  $m_\chi^2 \simeq \frac{\xi(24\lambda\alpha + \xi(1 + 6\xi))}{\lambda\alpha} H^2 > 24\xi H^2$ ,  $\frac{\dot{\theta}}{H} \simeq \sqrt{\frac{3\xi}{2(4\lambda\alpha + \xi^2)}}$ .

- Isocurvature mode heavy in “standard” case  $\xi \gg 1$  but light for  $\xi \sim \lambda\alpha \lesssim \mathcal{O}(0.1)$ .



Realizing the idea of “quasi-single field inflation”:



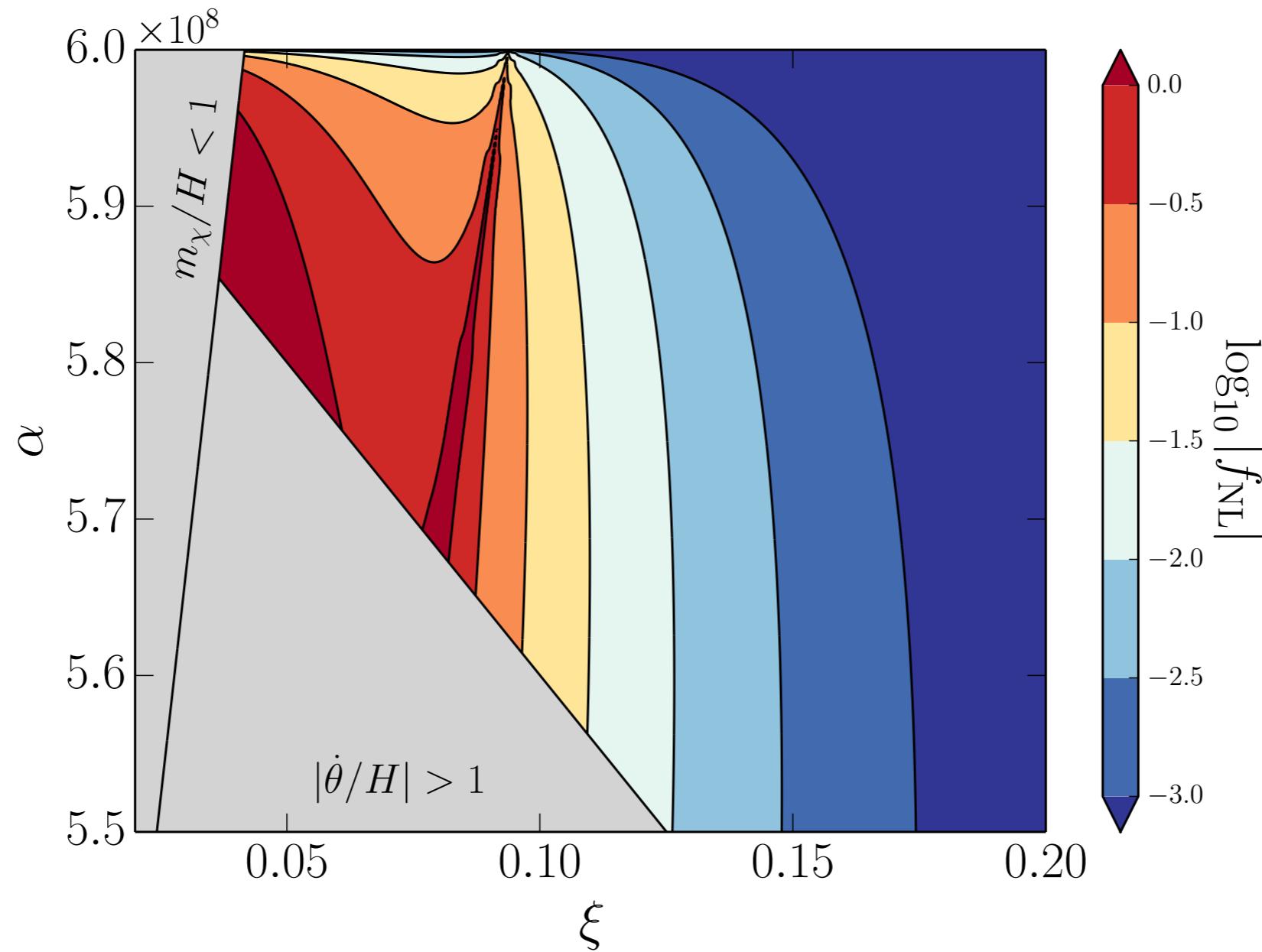
- Three point couplings from potential dominate:

$$S_{\text{cubic}} = \int d\tau d^3x a^4 \left[ -\frac{1}{6} V_{N^3} \chi^3 - \frac{1}{2} V_{T^2 N} \varphi^2 \chi \right], \quad V_{N^3} \sim V_{T^2 N} \sim \frac{H}{\sqrt{\alpha}} \quad (\text{for } \xi \sim \lambda\alpha \sim \mathcal{O}(1)).$$

# Signal of isocurvature mode

$$-\frac{1}{6}V_{N^3}\chi^3 - \frac{1}{2}V_{T^2N}\varphi^2\chi$$

$$\langle \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \zeta_{\vec{k}_3} \rangle' = \text{diagram with 3 vertices} + \left[ \text{diagram with 2 vertices} + (\text{perms.}) \right] \sim P_\zeta^{-1/2} \frac{\dot{\theta}^3}{H^3} \frac{V_{N^3}}{H}, \quad P_\zeta^{-1/2} \frac{\dot{\theta}}{H} \frac{V_{T^2N}}{H}$$



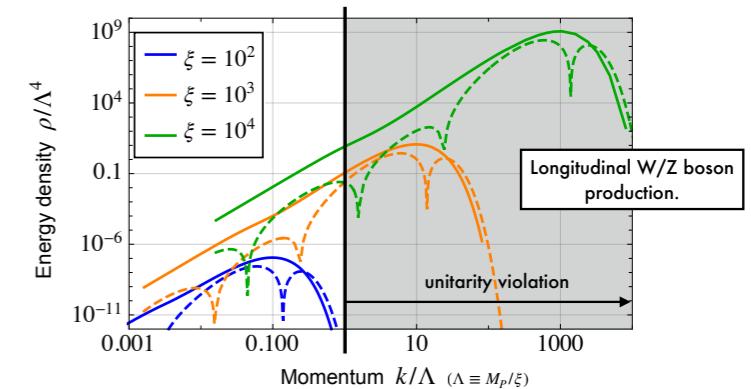
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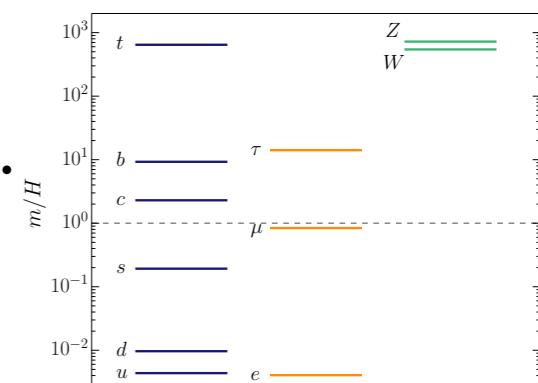
- Higgs inflation is appealing at first sight, as Higgs is the only scalar field within SM.

BUT unitarity violated during reheating:

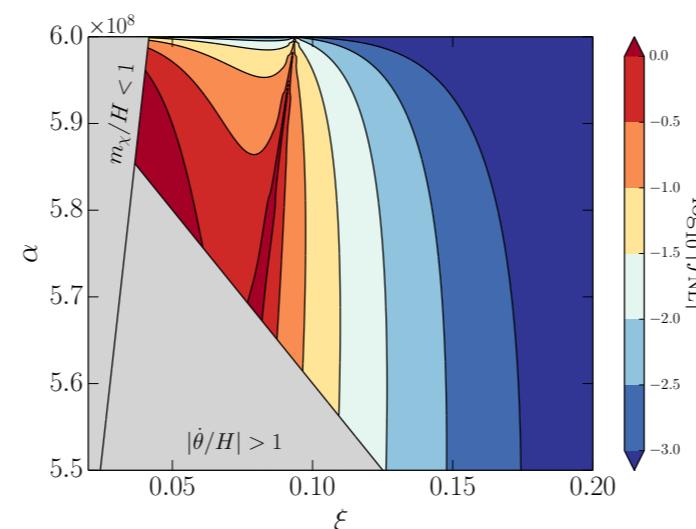


- Higgs- $R^2$  inflation UV-completes, with scalaron playing the role of “ $\sigma$ -meson”.

- Rich SM mass spectrum and inflaton naturally couples to SM particles.



- Cosmological collider signature from the isocurvature mode can be sizable:

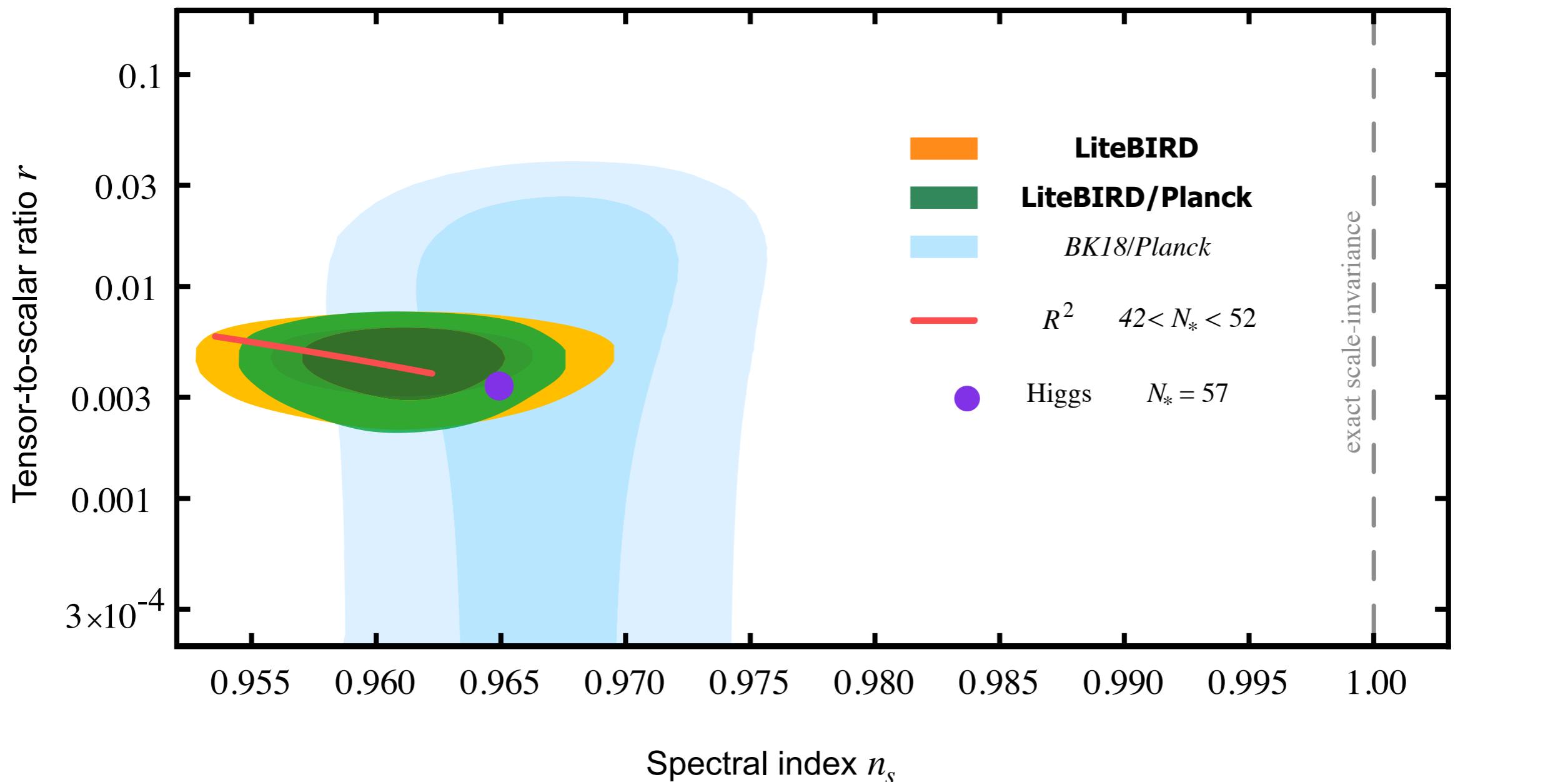


# **Back up**

# LiteBIRD

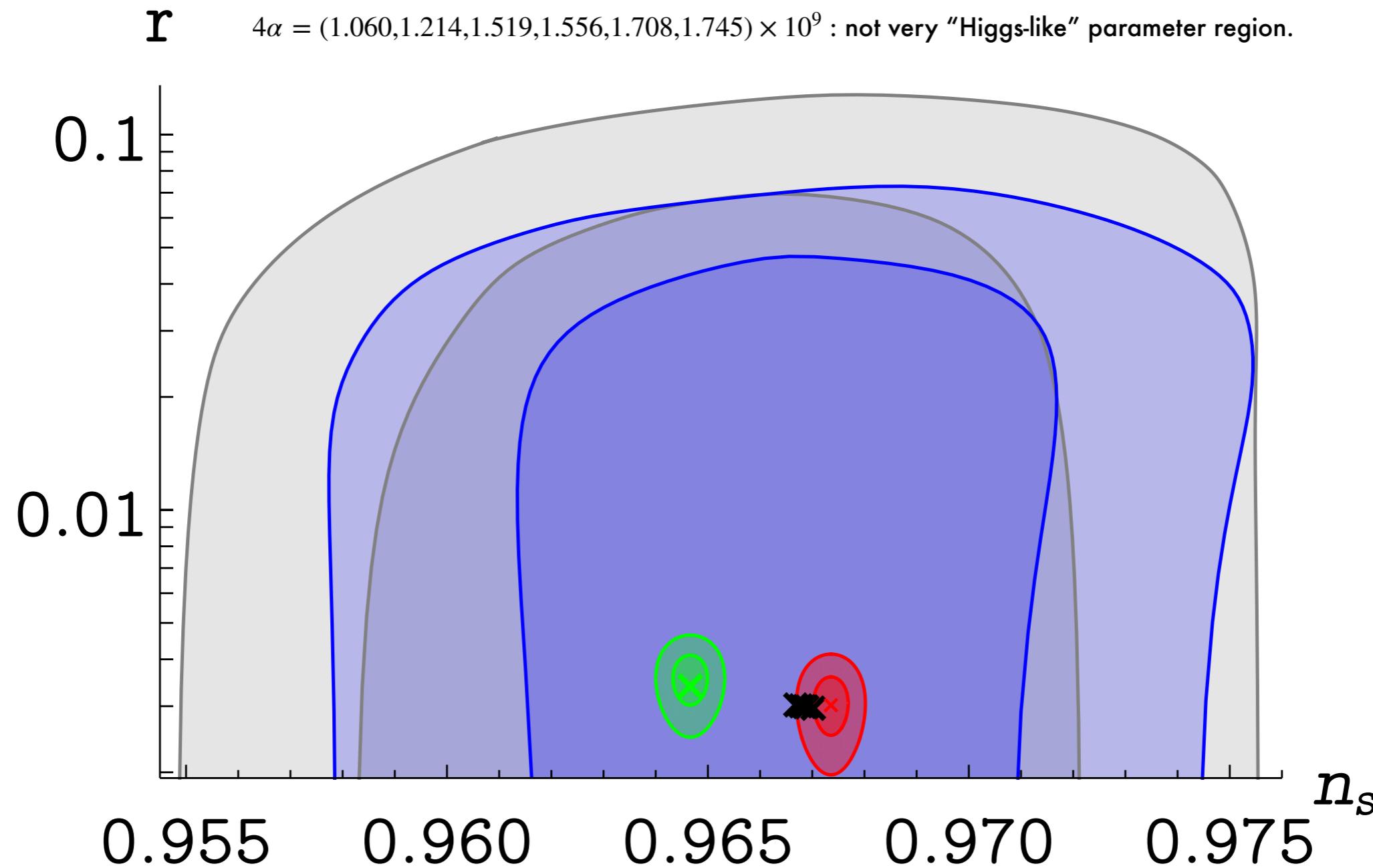
True values:  $n_s = 0.961$ ,  $r = 0.0046$  assumed.

[LiteBIRD Collaboration 22] (adapted by YE)



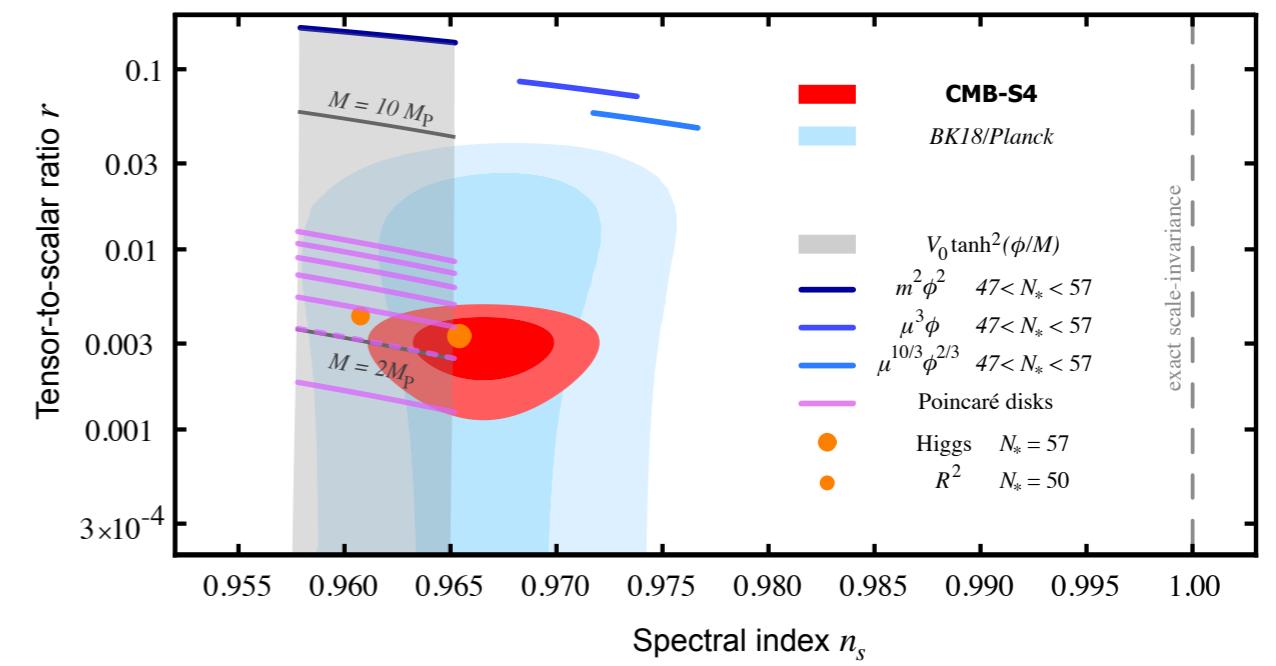
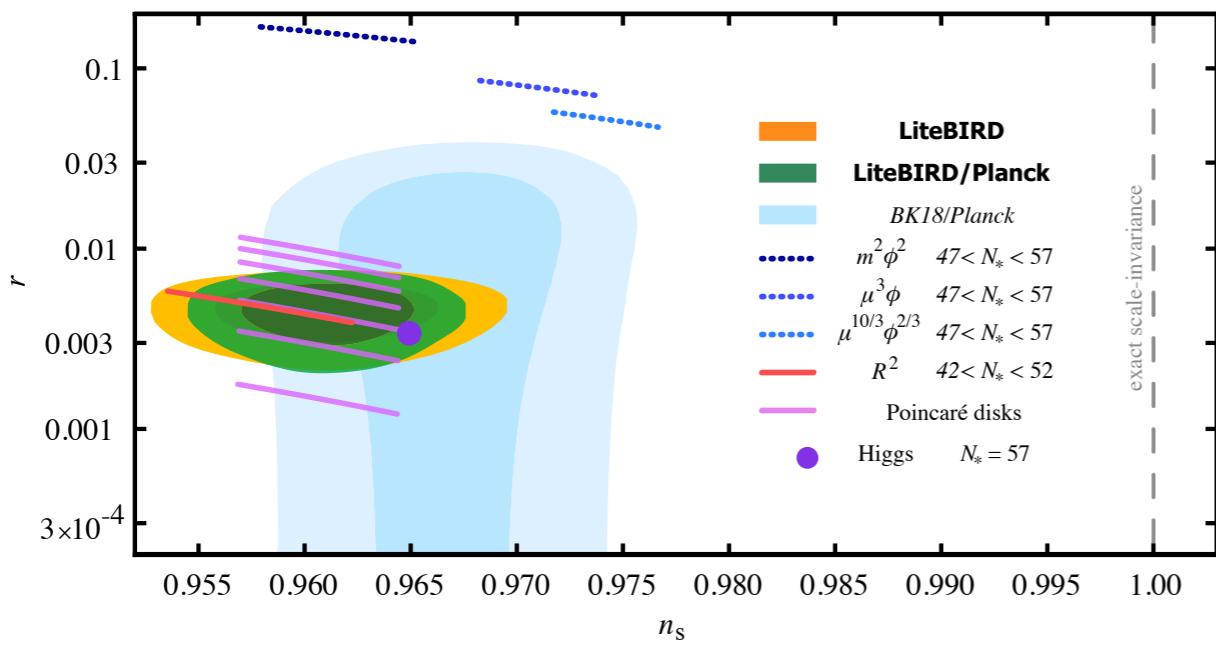
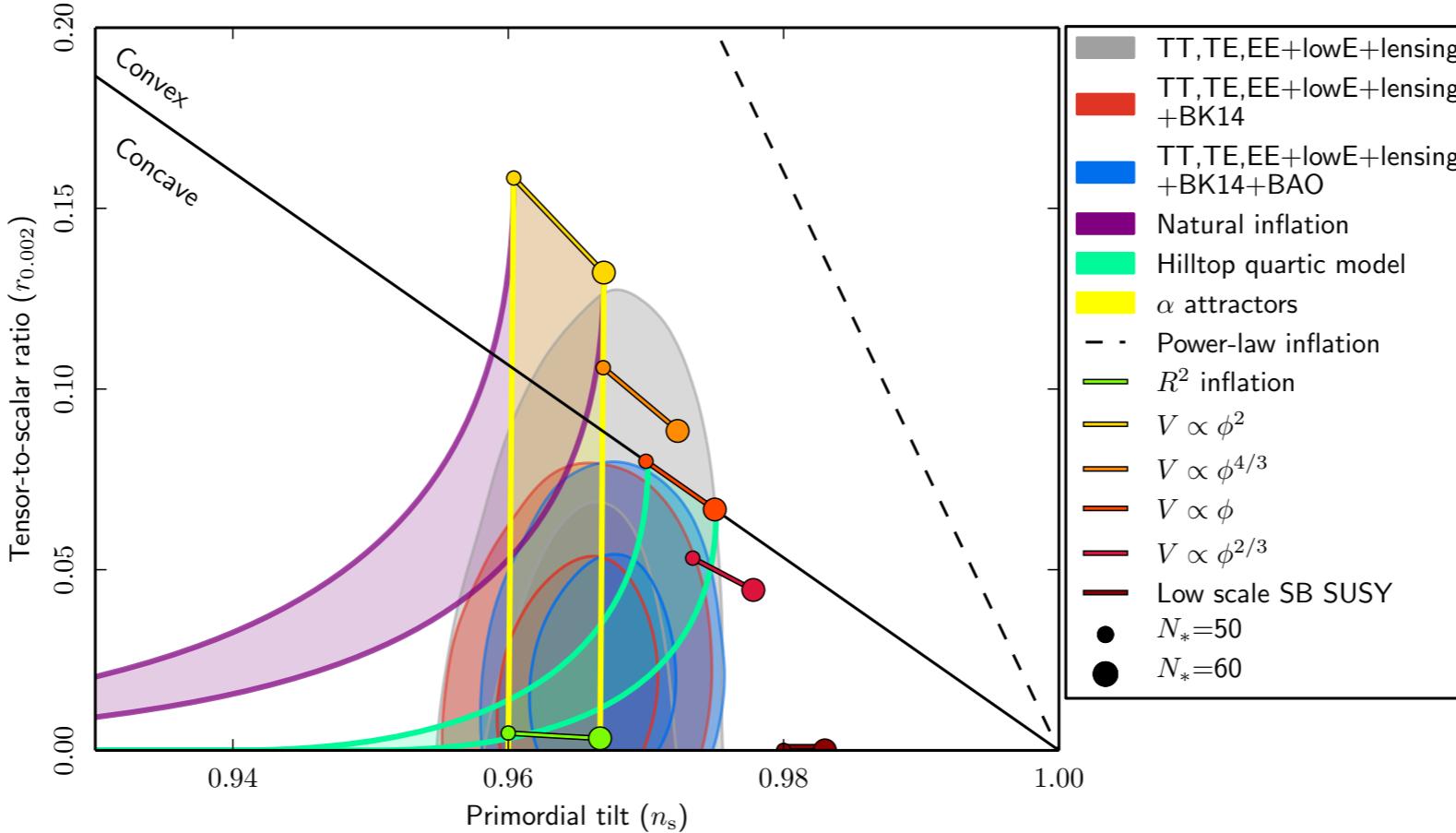
# Higgs- $R^2$ inflation: reheating

Reheating tends to be efficient  $\rightarrow$  predicts large  $N_e$ .

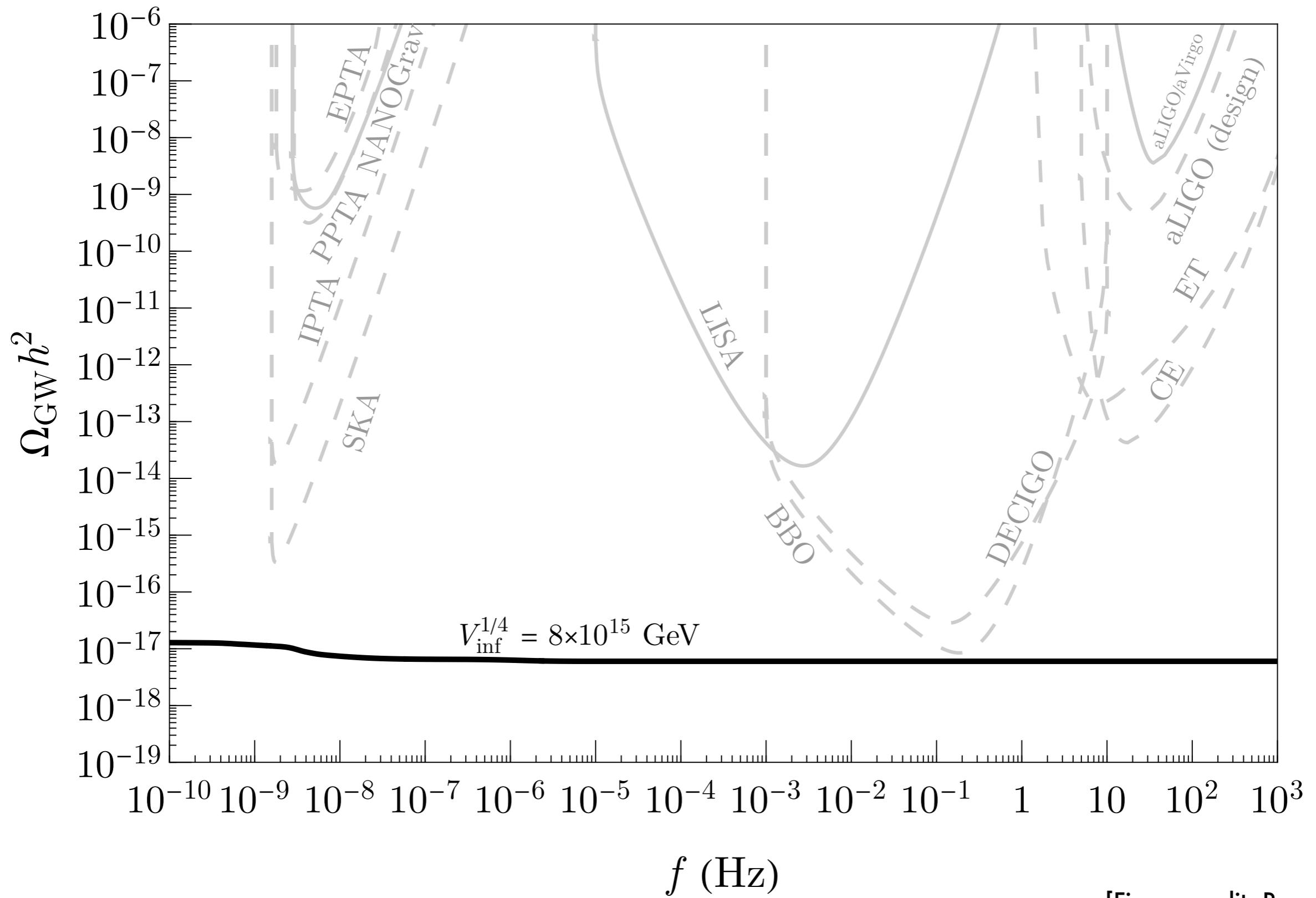


[Figure from Bezrukov, Sheperd 20]

# Other inflation models

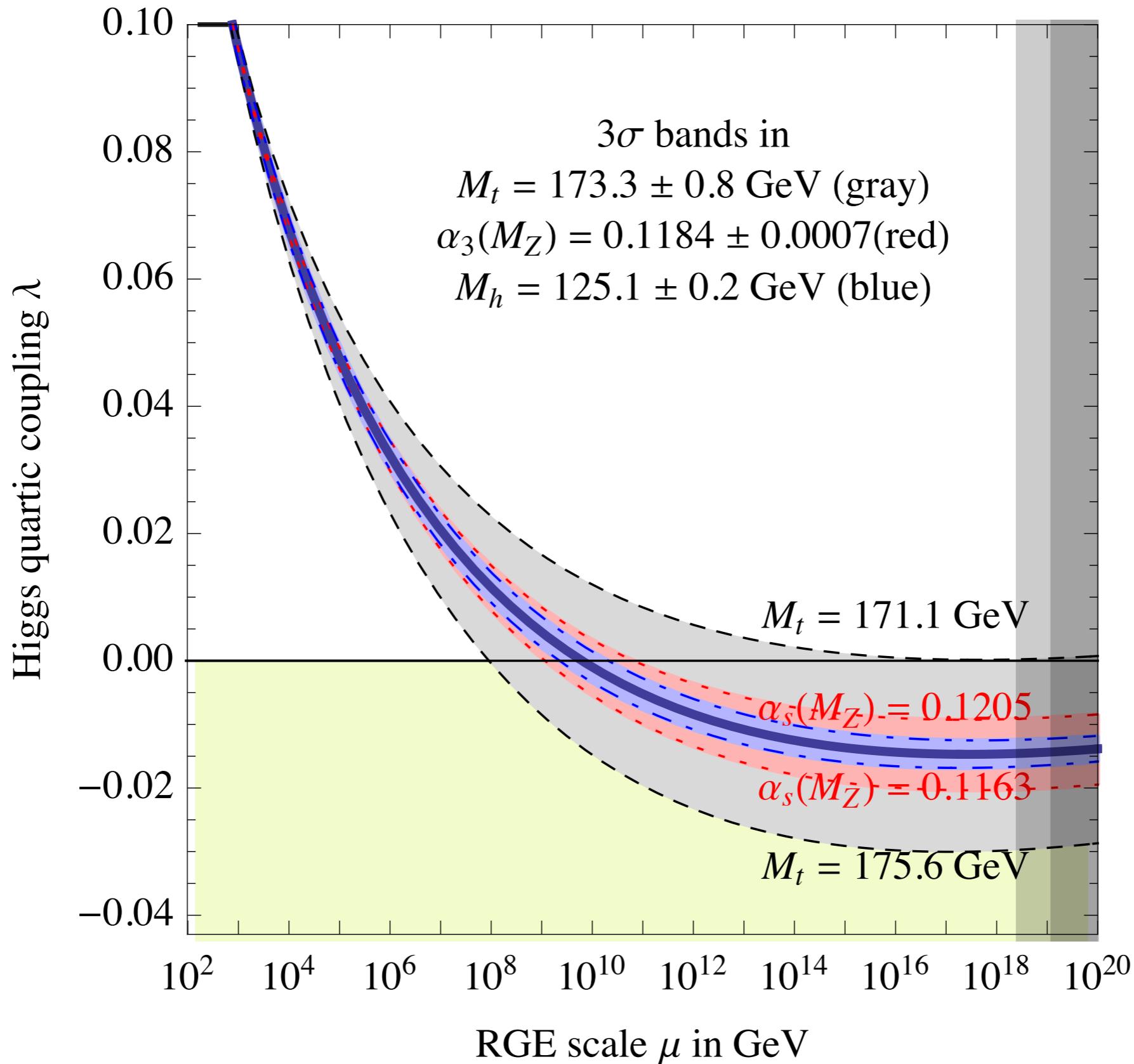


# Gravitational wave experiments



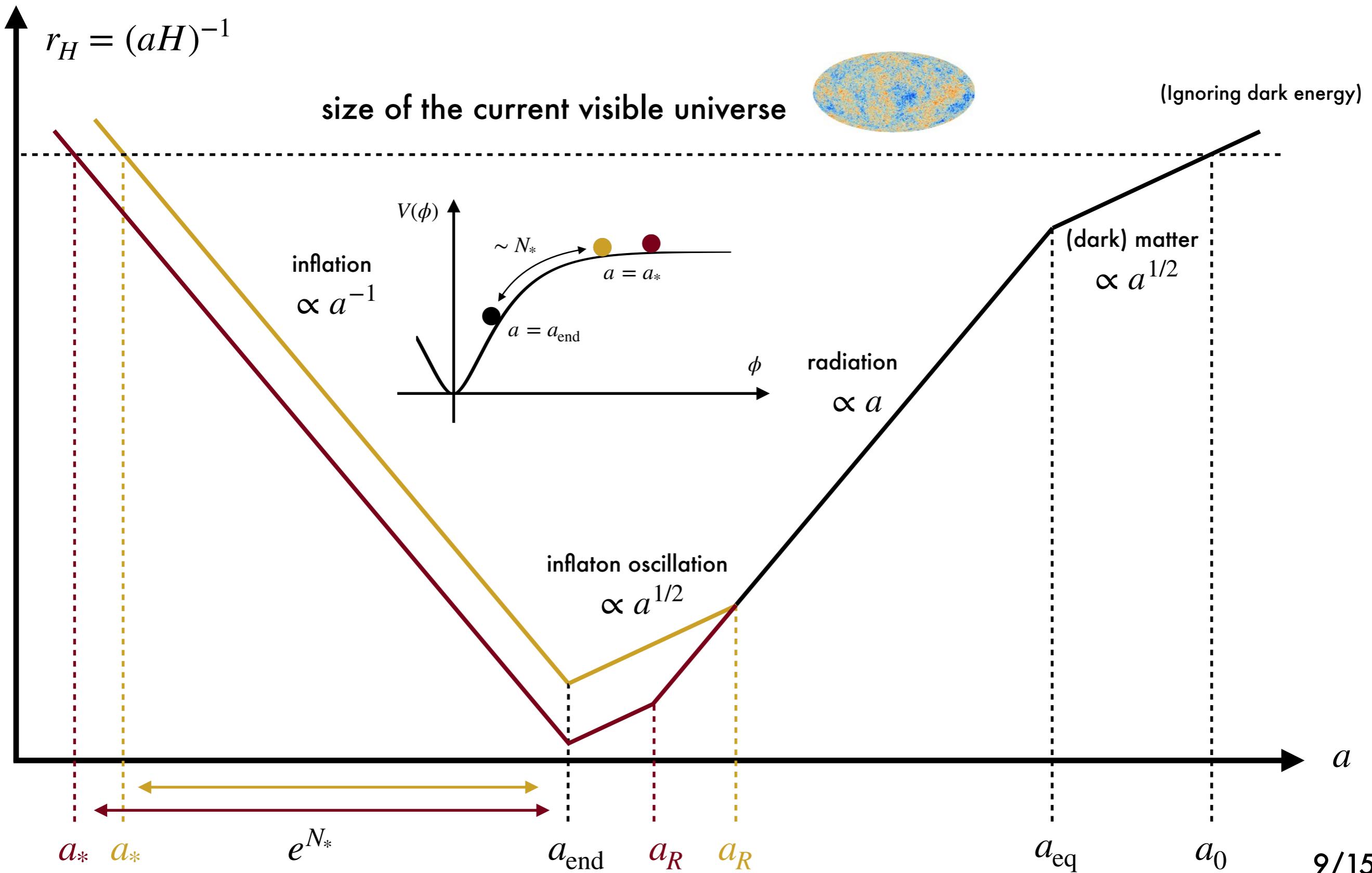
[Figure credit: Raymond Co]

# Running of Higgs quartic coupling



# Reheating

Cosmological perturbation depends on reheating temperature  $T_R$  through  $N_*$ .



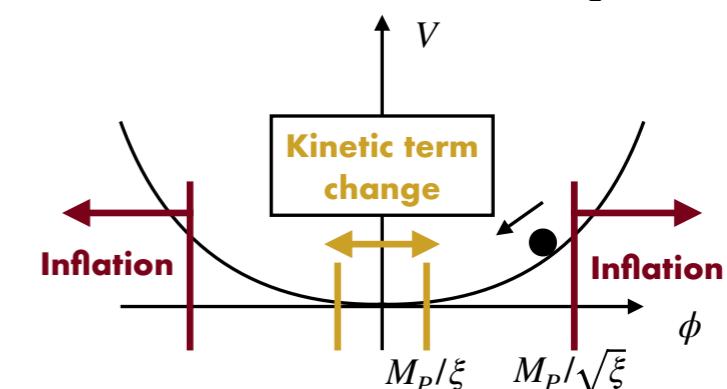
# Spiky oscillation after inflation

- Higgs fields have a non-trivial target space in Einstein frame:

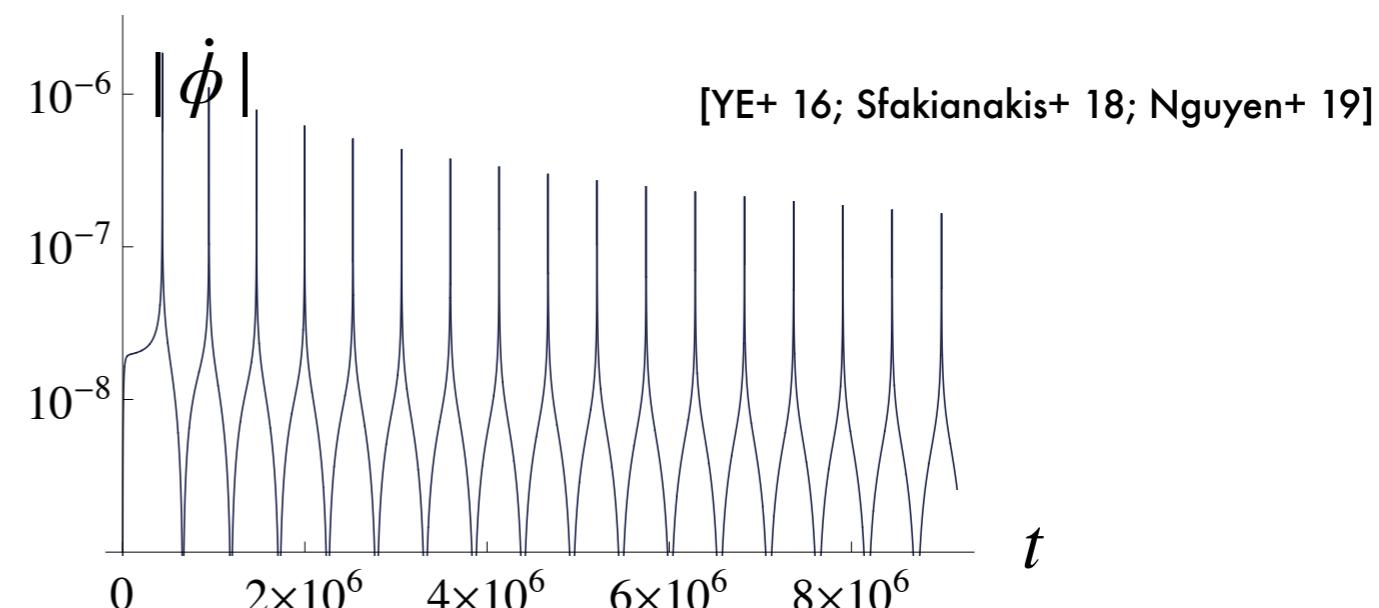
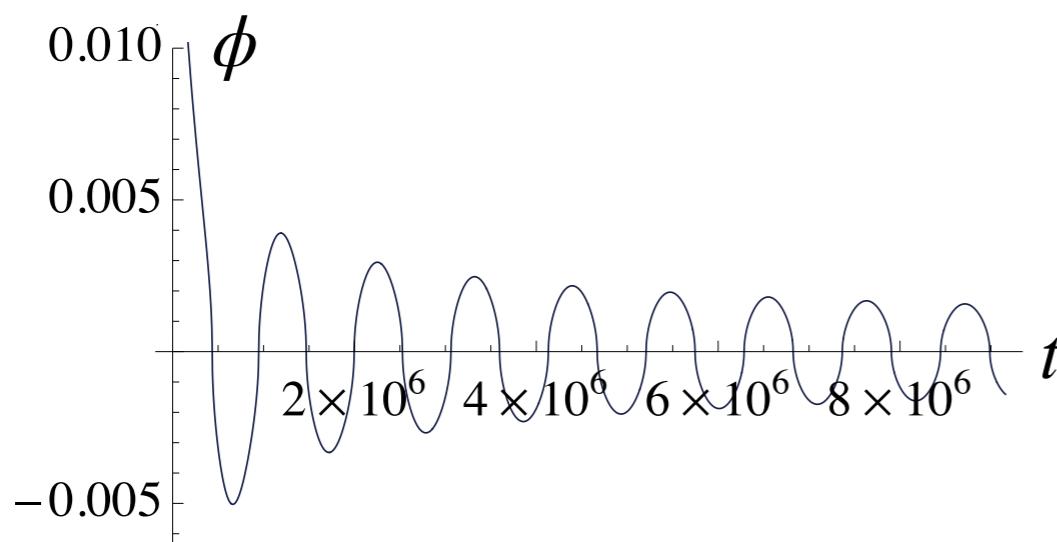
$$S = \int d^4x \sqrt{-g} \left[ \frac{M_P^2}{2} R + \frac{1}{2} h_{ab} g^{\mu\nu} \partial_\mu \phi^a \partial_\nu \phi^b - V(\phi) \right]$$

with  $h_{ab} = \frac{1}{\Omega^4} \begin{pmatrix} \Omega^2 + \frac{6\xi^2\phi^2}{M_P^2} & \frac{6\xi^2\phi\chi}{M_P^2} \\ \frac{6\xi^2\phi\chi}{M_P^2} & \Omega^2 + \frac{6\xi^2\chi^2}{M_P^2} \end{pmatrix}$  with  $\chi$ : NG mode(s) and  $\Omega^2 = 1 + \xi \frac{\phi^2 + \chi^2}{M_P^2}$  for HI.

- Kinetic term drastically changes for  $|\phi| \lesssim M_P/\xi$ ,



→ a “spiky” feature for  $|\phi| \lesssim M_P/\xi$ , causing unitarity violation.



# Target space and unitarity

An easy-to-use condition of unitarity violation from target space

[YE, Jinno, Nakayama, van de Vis 21]

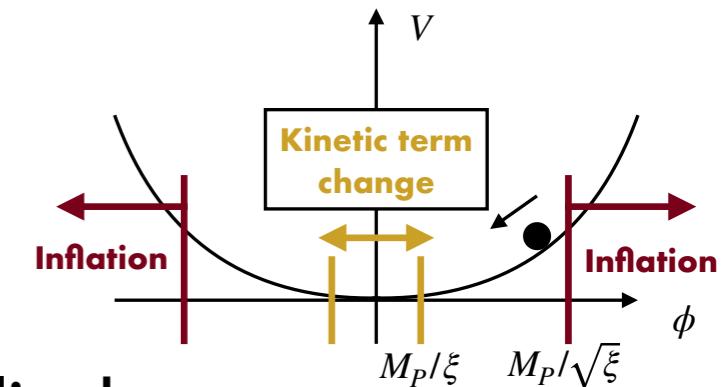
- NG boson has mass from target space curvature  $\rightarrow$  feels spikes:

$$m_\chi^2 = \nabla^\chi V_\chi - \dot{\phi}^2 R^\chi_{\phi\phi\chi}, \quad \text{e.g. } \left(1 + \frac{\chi^2}{\Lambda^2}\right) (\partial\phi)^2 \rightarrow m_\chi^2 = -\frac{\dot{\phi}^2}{\Lambda^2}.$$

- Inflaton motion changes for  $|\phi| \lesssim \Lambda$  with curvature  $R[h] \sim \Lambda^{-2}$  ( $\Lambda \sim M_P/\xi$  for HI).



typical momentum scale:  $k_{\text{spike}} \sim (\Lambda/\dot{\phi}_{\text{origin}})^{-1}$ .



- Cut-off also  $\sim \Lambda$  since the curvature affects e.g. scattering amplitudes.

- With energy cons.  $\dot{\phi}_{\text{origin}}^2 \sim V_{\text{inf}}$ , unitarity violation  $k_{\text{spike}} \gtrsim \Lambda$  translates to

$V_{\text{inf}} \gtrsim \Lambda^4$ : simply compare inflation energy scale and cut-off.

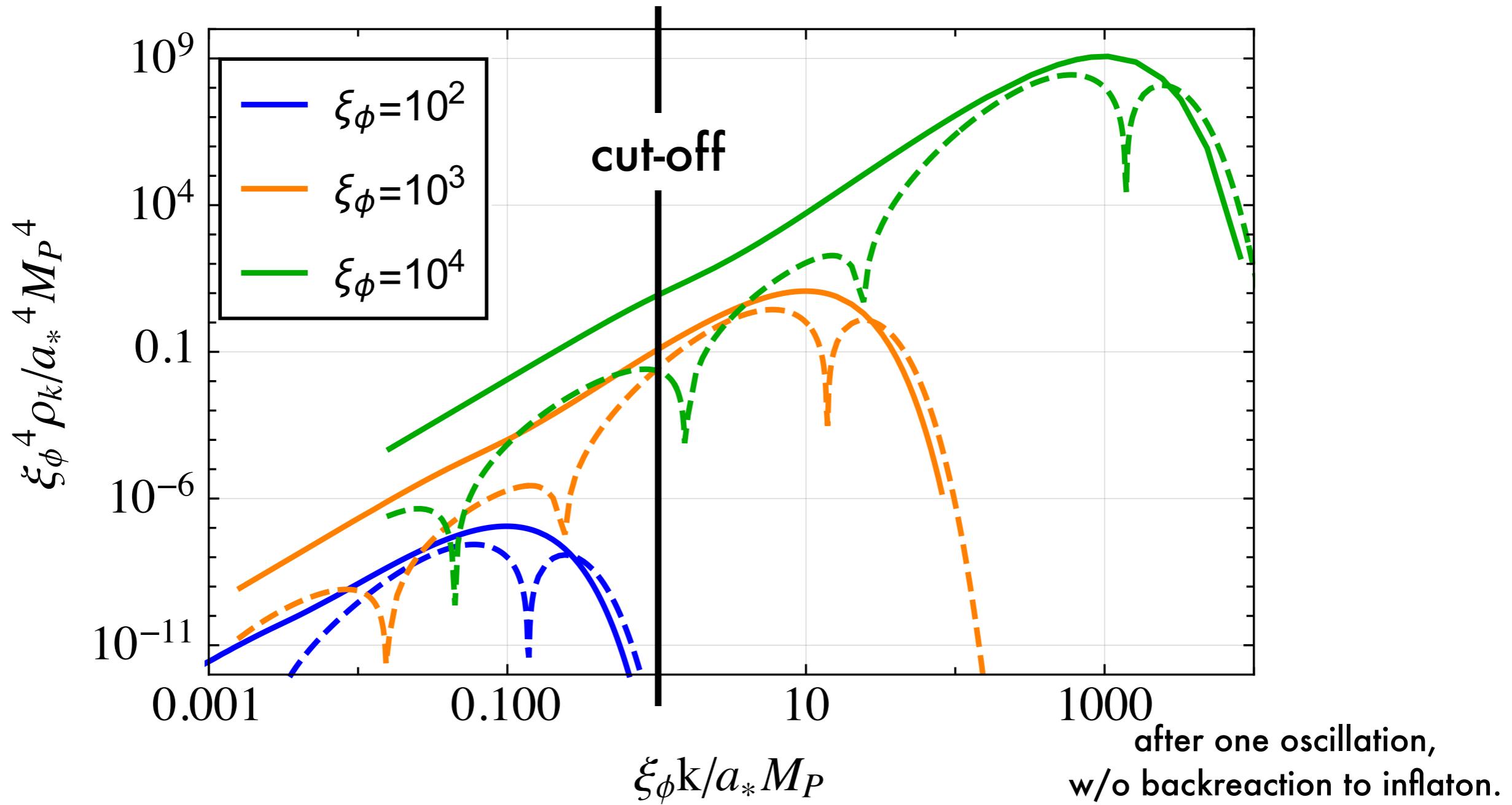
e.g.  $V_{\text{inf}}/\Lambda^4 \sim \lambda\xi^2 \sim 10^{-9}\xi^4$  for HI  $\rightarrow$  unitarity violation for  $\xi \gtrsim 10^2$ .

- Applicable to other inflation models (can see e.g. running kinetic inflation violates unitarity).

# Numerical result

Numerical result confirms the previous estimation.

[YE, Jinno, Nakayama, van de Vis 21]



$\rho_\chi \sim k_{\text{spike}}^4 \sim V_{\text{inf}}^2 / \Lambda^4 > V_{\text{inf}}$  for  $V_{\text{inf}} > \Lambda^4 \rightarrow$  this production is fatal.

# Linear $\sigma$ -model

[YE, Mukaida, van de Vis 20]

$$\mathcal{L} = \frac{M_P^2}{2} \left( 1 + \frac{\xi \phi_i^2}{M_P^2} \right) R + \alpha R^2 + \frac{1}{2} (\partial \phi_i)^2 - \frac{\lambda}{4} \phi_i^4.$$

Auxiliary field introduction + Weyl transformation

$$\mathcal{L} = \frac{M_P^2}{2} \left( 1 - \frac{\sigma^2}{6M_P^2} - \frac{\phi_i^2}{6M_P^2} \right) R + \frac{1}{2} [(\partial \phi_i)^2 + (\partial \sigma)^2] - \frac{\lambda}{4} \phi_i^4 - \frac{1}{144\alpha} \left[ \frac{3M_P^2}{2} - \left( \sigma + \sqrt{\frac{3}{2}} M_P \right)^2 - \frac{6\xi + 1}{2} \phi_i^2 \right]^2.$$

Flat kinetic term in the conformal frame!

O( $N$ ) NLSM

pions  $\pi_i$

target space:

$$\pi_i^2 + h^2 = v^2, \quad (\pi_i, h) \in \mathbb{R}^{(N+1)}$$

sigma meson  $\sigma$

Higgs inflation

Higgs fields  $\phi_i$ ,  
conformal mode of metric  $\Phi$

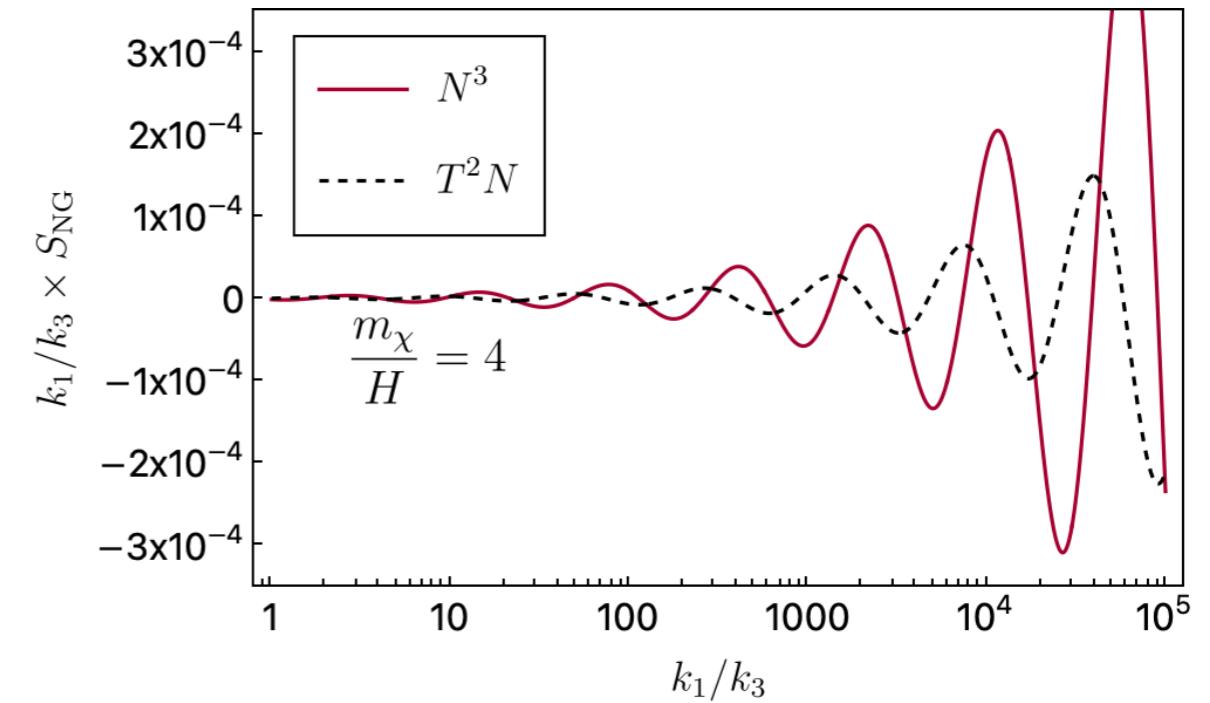
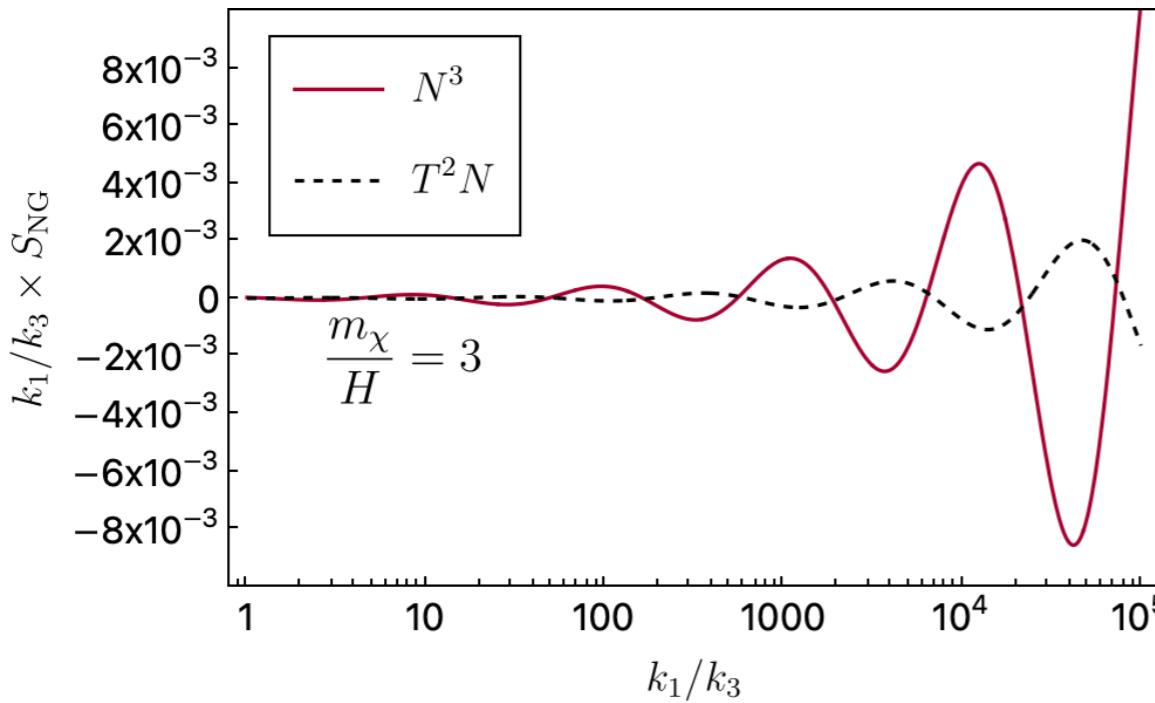
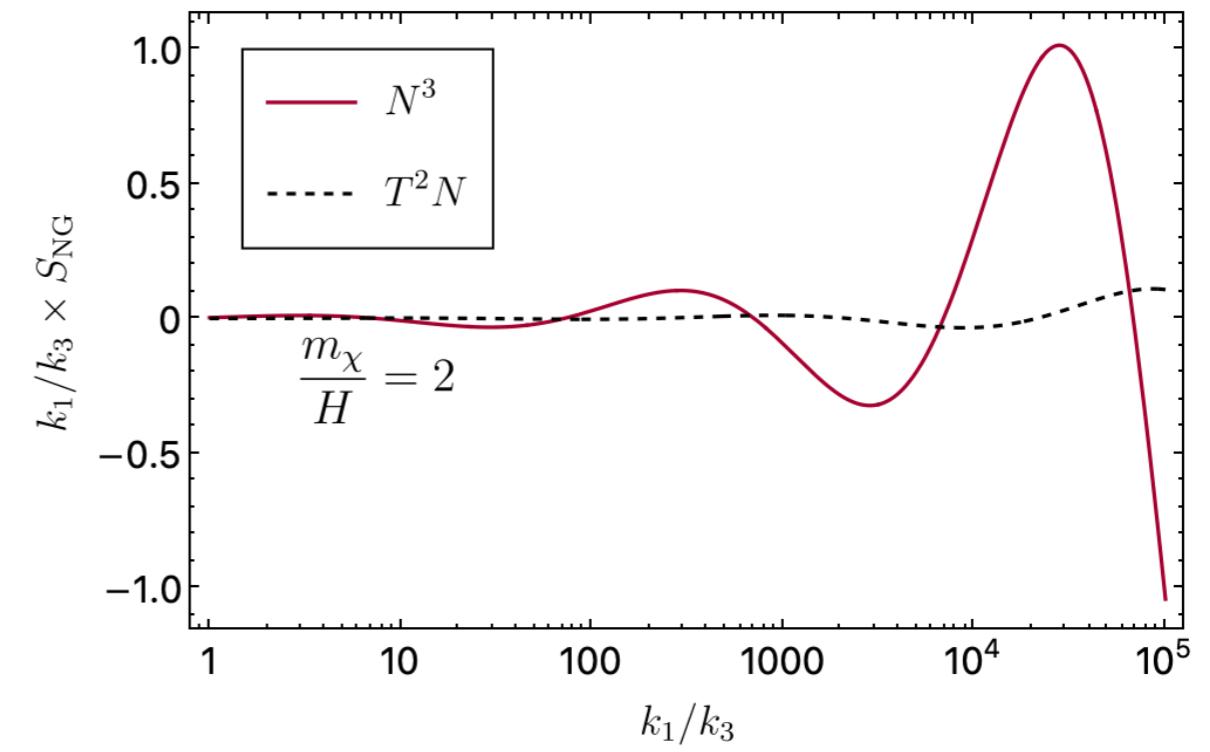
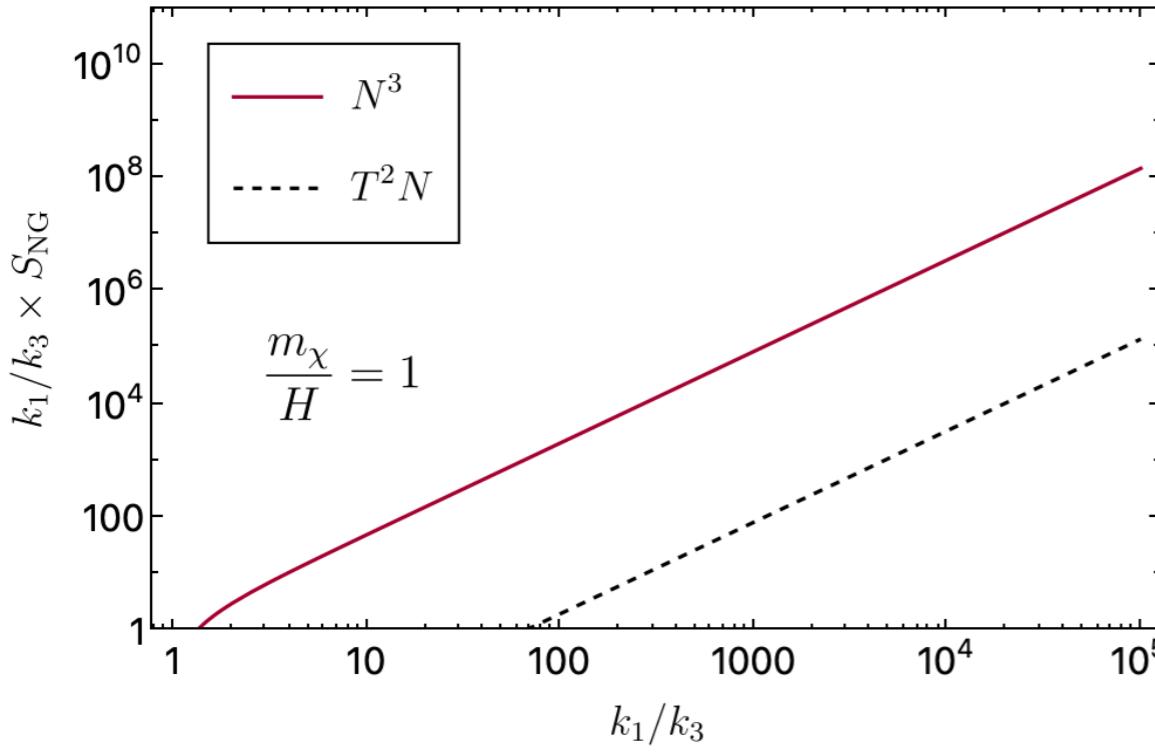
target space:

$$\frac{6\xi + 1}{2} \phi_i^2 + \left( h + \frac{\Phi}{2} \right)^2 = \frac{\Phi^2}{4}, \quad (\Phi, \phi_i, h) \in \mathbb{R}^{(1, N+1)}$$

scalaron  $\sigma$

# Cosmo collider signatures

[YE, Verner 23]



# Renormalizability of LSM

[YE, Mukaida, van de Vis 20]

- The LSM with the Higgs mass and the cosmological constant is renormalizable.  
(= renormalizability of (spin-0 part of) quadratic gravity)

→ One can compute the RGEs without any ambiguity!

$$\beta_{g_1}^{(1)} = \frac{41}{10} g_1^3, \quad \beta_{g_2}^{(1)} = -\frac{19}{6} g_2^3, \quad \beta_{g_3}^{(1)} = -7 g_3^3,$$

$$\beta_{y_t}^{(1)} = y_t \left[ \frac{9 y_t^2}{2} - \frac{17}{20} g_1^2 - \frac{9}{4} g_2^2 - 8 g_3^2 \right],$$

$$\beta_\lambda^{(1)} = (8 \bar{\xi}^2 - 8 \bar{\xi} + 2) \bar{\xi}^2 \lambda_\alpha^2 + 24 \bar{\xi}^2 \lambda \lambda_\alpha + 24 \lambda^2 - 6 y_t^4 + \frac{27 g_1^4}{200} + \frac{9 g_2^4}{8} + \frac{9}{20} g_1^2 g_2^2 + \left[ 12 y_t^2 - \frac{9 g_1^2}{5} - 9 g_2^2 \right] \lambda,$$

1-loop:

$$\beta_{\lambda_m}^{(1)} = 2 \bar{\xi} (2 \bar{\xi} - 1) \lambda_\alpha^2 - 8 \bar{\xi} \lambda_m^2 + \lambda_m \left[ 4 \bar{\xi}^2 \lambda_\alpha + 8 \bar{\xi} \lambda_\alpha - 3 \lambda_\alpha + 12 \lambda + 6 y_t^2 - \frac{9 g_1^2}{10} - \frac{9 g_2^2}{2} \right],$$

$$\beta_{\bar{\xi}}^{(1)} = \bar{\xi} \left[ (4 \bar{\xi}^2 + 4 \bar{\xi} - 3) \lambda_\alpha + 12 \lambda + 6 y_t^2 - \frac{9}{10} g_1^2 - \frac{9}{2} g_2^2 \right],$$

$$\beta_{\lambda_\alpha}^{(1)} = (8 \bar{\xi}^2 + 5) \lambda_\alpha^2,$$

$$\beta_{\lambda_\Lambda}^{(1)} = \frac{\lambda_\alpha^2}{2} - 2 \lambda_\alpha \lambda_\Lambda - 16 \bar{\xi} \lambda_\Lambda \lambda_m + 2 \lambda_m^2.$$

\* See 2008.01096 for an explicit form up to 2-loop.

- The Higgs mass and the CC are naturally at the scalaron mass scale = hierarchy problem.  
→ They do not affect inflationary dynamics, but (p)reheating??
- EW scale parameters can be related to inflationary scale parameters (with  $\xi$  and  $\alpha$ ).

# Spin-two sector

- Vacuum pol. diagrams contain divergences.

→ Renormalized by  $\mathcal{L}_{\text{c.t.}} = \alpha R^2 + \alpha_2 \left( R_{\mu\nu}R^{\mu\nu} - \frac{1}{3}R^2 \right)$ .

\* We have no choice but including these terms.

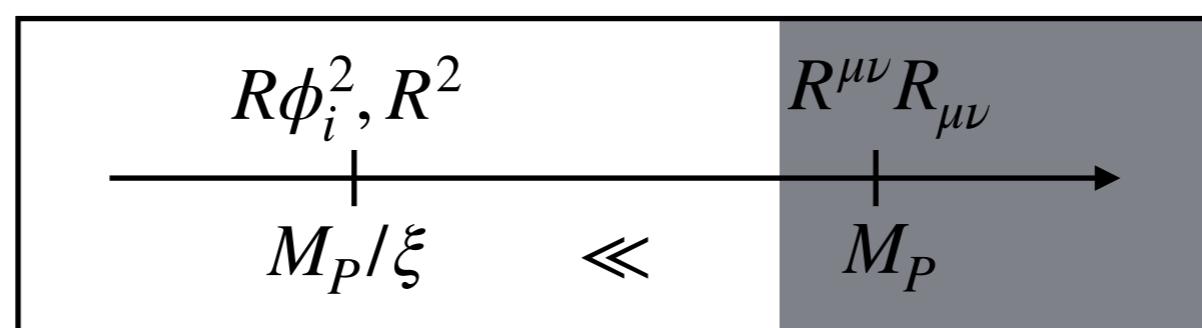
- Renormalization group equations:

$$\beta_\alpha \equiv \frac{d\alpha}{d \ln \mu} = -\frac{N_s}{1152\pi^2} (1+6\xi)^2, \quad \beta_{\alpha_2} \equiv \frac{d\alpha_2}{d \ln \mu} = -\frac{N_s}{960\pi^2}.$$

The hierarchy  $\alpha \sim \mathcal{O}(\xi^2) \gg \alpha_2 \sim \mathcal{O}(1)$  naturally exits.

- Alternatively, the coupling for the spin-2 is suppressed:

$$T_{\mu\nu} \ni \xi \left( \partial_\mu \partial_\nu - \eta_{\mu\nu} \square \right) \phi_i^2 \rightarrow h_{\mu\nu}^\perp T^{\mu\nu}: \text{independent of } \xi.$$



# Frame-independent target space

[YE, Mukaida, van de Vis 20]

- Naive definition solely by scalar fields is frame-dependent.

$$\left\{ \begin{array}{l} \mathcal{L}_J = \frac{M_P^2}{2} \Omega^2 R + \underbrace{\frac{1}{2} (\partial\phi_i)^2}_{\mathcal{L}_E} + \dots, \quad \Omega^2 = 1 + \frac{\xi\phi_i^2}{M_P^2}, \\ \mathcal{L}_E = \frac{M_P^2}{2} R + \underbrace{\frac{1}{2\Omega^4} \left( \Omega^2 \delta_{ij} + \frac{6\xi^2 \phi_i \phi_j}{M_P^2} \right) \partial\phi_i \partial\phi_j}_{\mathcal{L}_J} + \dots . \end{array} \right.$$

Physics is frame-independent  $\rightarrow$  a frame-independent definition is desirable.

- Frame-independent definition by including the conformal mode.

Metric decomposition:  $g_{\mu\nu} = e^{2\varphi} \tilde{g}_{\mu\nu}$ ,  $\text{Det} [\tilde{g}_{\mu\nu}] = -1$ .

$\Phi = \sqrt{6} M_P e^\varphi$ : conformal mode.



Target space defined by  $(\phi_i, \Phi)$ : frame-independent!

$\therefore$  Weyl transformation = redefinition of  $\Phi$  = coordinate transf. of target space.

# Higgs inflation as NLSM

[YE, Mukaida, van de Vis 20]

- Focus on the conformal mode of the metric as  $g_{\mu\nu} = e^{2\phi}\eta_{\mu\nu}$ .

$$\rightarrow S = \int d^4x \left[ -\frac{1}{2}(\partial\Phi)^2 + \frac{1}{2}(\partial\phi_i)^2 + \frac{6\xi+1}{2} \left( \frac{\square\Phi}{\Phi} \right) \phi_i^2 - \frac{\lambda}{4}\phi_i^4 \right].$$

- Can be simplified by field redefinitions as

$$S = \int d^4x \left[ -\frac{1}{2}(\partial\Phi)^2 + \frac{1}{2}(\partial\phi_i)^2 + \frac{1}{2}(\partial h)^2 - \frac{\lambda}{4}\phi_i^4 \right],$$

$$\text{where } h(\Phi, \phi_i) = \frac{1}{2} \left[ \sqrt{\Phi^2 - 2(6\xi+1)\phi_i^2} - \Phi \right].$$

$\rightarrow$  Interpreted frame-independently as NLSM.

- $\Phi$  is ghost-like but harmless.

\* Similar to  $A_0$  of U(1) gauge boson in the Lorentz gauge  $\partial_\mu A^\mu = 0$ :

$$\mathcal{L} = -\frac{1}{4}F^{\mu\nu}F_{\mu\nu} = -\frac{1}{2}\eta^{\alpha\beta}\partial^\mu A_\alpha\partial_\mu A_\beta = -\frac{1}{2}(\partial A_0)^2 + \frac{1}{2}(\partial A_i)^2.$$

# Scalaron as $\sigma$ -meson

- Higgs inflation as NLSM:

[YE, Mukaida, van de Vis 20]

$$\mathcal{L}_{\text{NLSM}} = -\frac{1}{2}(\partial\Phi)^2 + \frac{1}{2}(\partial\phi_i)^2 + \frac{1}{2}(\partial h)^2 - \frac{\lambda}{4}\phi_i^4, \quad h = \frac{1}{2} \left[ \sqrt{\Phi^2 - 2(6\xi + 1)\phi_i^2} - \Phi \right].$$



Naturally imply  $\sigma$ -meson that linearizes the NLSM:

$$\mathcal{L}_{\text{LSM}} = -\frac{1}{2}(\partial\Phi)^2 + \frac{1}{2}(\partial\phi_i)^2 + \frac{1}{2}(\partial\sigma)^2 - \frac{1}{144\alpha} \left[ \frac{\Phi^2}{4} - \left( \sigma + \frac{\Phi}{2} \right)^2 - \frac{6\xi + 1}{2}\phi_i^2 \right]^2 - \frac{\lambda}{4}\phi_i^4.$$

- It is identified as the scalaron:

$$\mathcal{L} = \frac{M_P^2}{2} \left( 1 + \frac{\xi\phi_i^2}{M_P^2} \right) R + \alpha R^2 + \frac{1}{2}(\partial\phi_i)^2 - \frac{\lambda}{4}\phi_i^4.$$

Auxiliary field introduction + Weyl transformation

$$\mathcal{L} = \frac{M_P^2}{2} \left( 1 - \frac{\sigma^2}{6M_P^2} - \frac{\phi_i^2}{6M_P^2} \right) R + \frac{1}{2} [(\partial\phi_i)^2 + (\partial\sigma)^2] - \frac{\lambda}{4}\phi_i^4 - \frac{1}{144\alpha} \left[ \frac{3M_P^2}{2} - \left( \sigma + \sqrt{\frac{3}{2}}M_P \right)^2 - \frac{6\xi + 1}{2}\phi_i^2 \right]^2.$$

Flat kinetic term in the conformal frame!

$$g_{\mu\nu} = e^{2\varphi}\eta_{\mu\nu} + \text{rescaling fields}$$

$$\mathcal{L} = -\frac{1}{2}(\partial\Phi)^2 + \frac{1}{2}(\partial\phi_i)^2 + \frac{1}{2}(\partial\sigma)^2 - \frac{1}{144\alpha} \left[ \frac{\Phi^2}{4} - \left( \sigma + \frac{\Phi}{2} \right)^2 - \frac{6\xi + 1}{2}\phi_i^2 \right]^2 - \frac{\lambda}{4}\phi_i^4.$$

\* Remember this identification is frame-independent.

# Why no ghost with $R^2$ ?

- Higher derivative in general involves ghost:

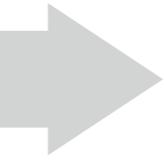
$$\pm \frac{1}{p^2(1 - p^2/M^2)} = \pm \left( \frac{1}{p^2} - \frac{1}{p^2 - M^2} \right).$$

two choices  $\begin{cases} + : \text{high energy additional pole is ghost-like,} \\ - : \text{low energy pole is ghost-like.} \end{cases}$

- In the case of  $R^2$ , it is the low-energy pole that is ghost

This mode is unphysical, thanks to gauge (BRST) symmetry.

c.f. photon propagator in Feynman gauge:  $-\frac{\eta_{\mu\nu}}{p^2} = \begin{cases} -\frac{1}{p^2} & (\mu, \nu) = (0,0), \\ +\frac{1}{p^2} & (\mu, \nu) = (i,i). \end{cases}$

- In the case of  $R_{\mu\nu}R^{\mu\nu}$ , low-energy pole is physical  spin-2 ghost problem.