

# EW Baryogenesis from dynamical Yukawa couplings

Sebastian Bruggisser

In collaboration with:  
T. Konstandin & G. Servant



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Sebastian Bruggisser

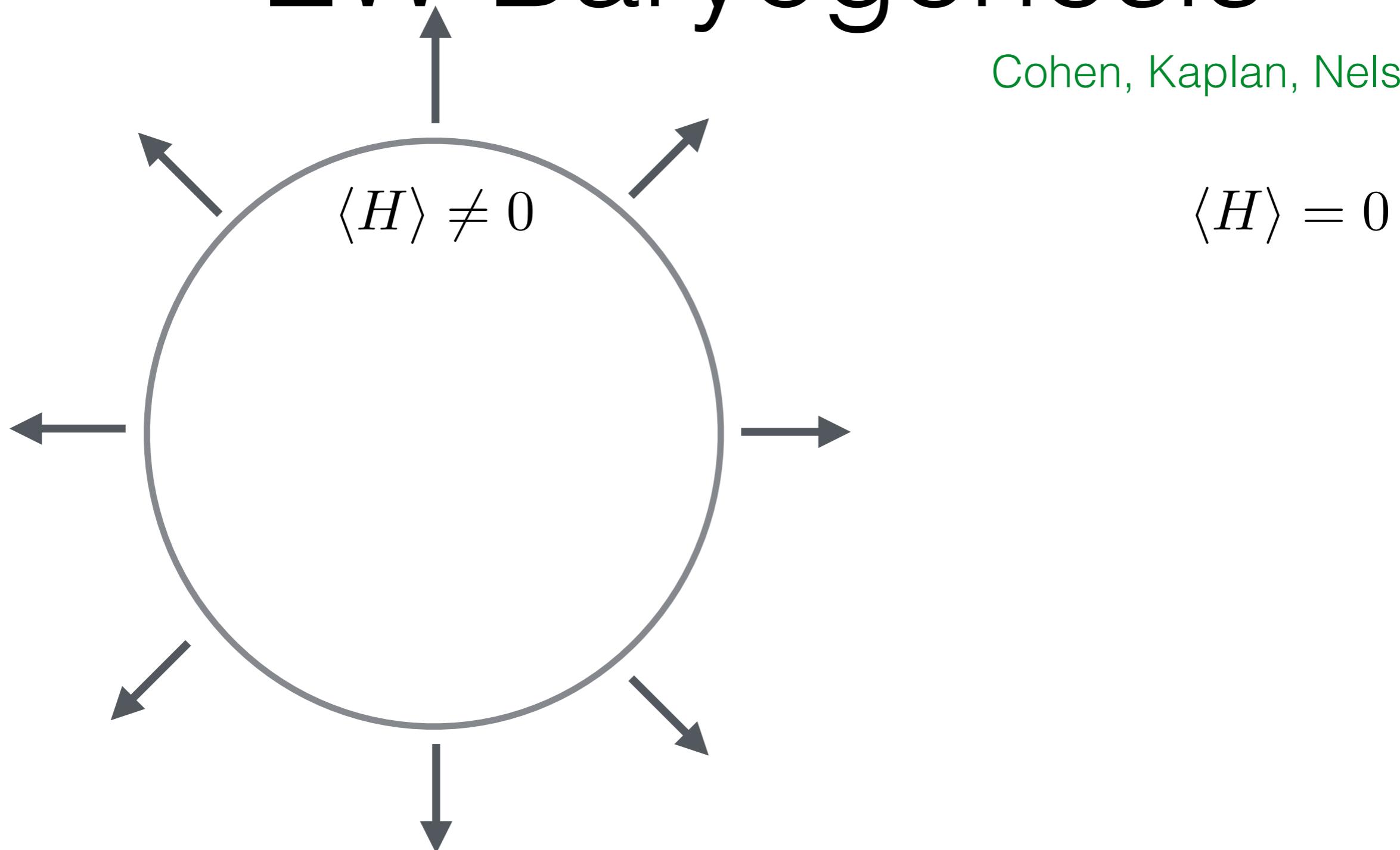
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See talk tomorrow  
morning



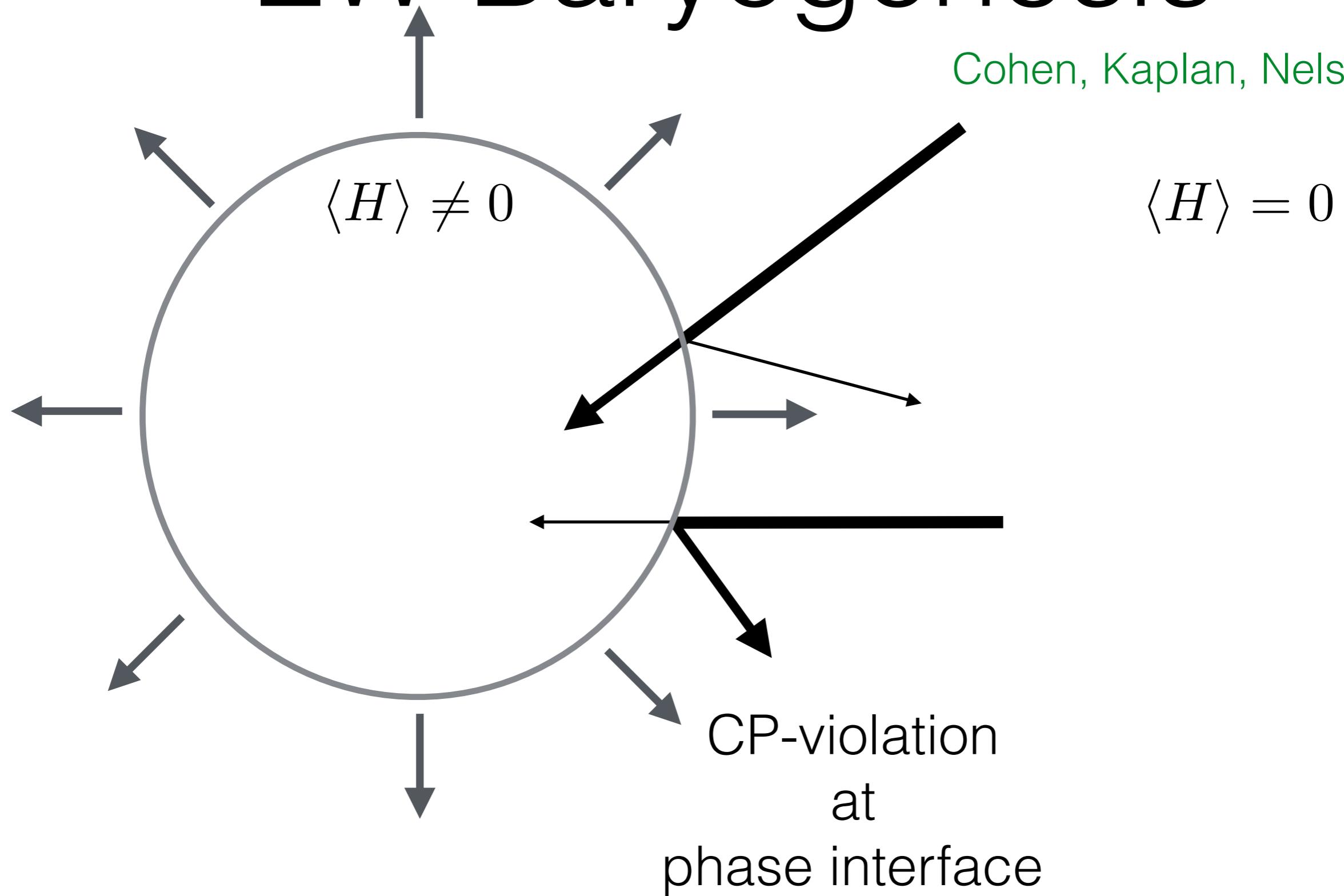
# EW Baryogenesis

Cohen, Kaplan, Nelson '91



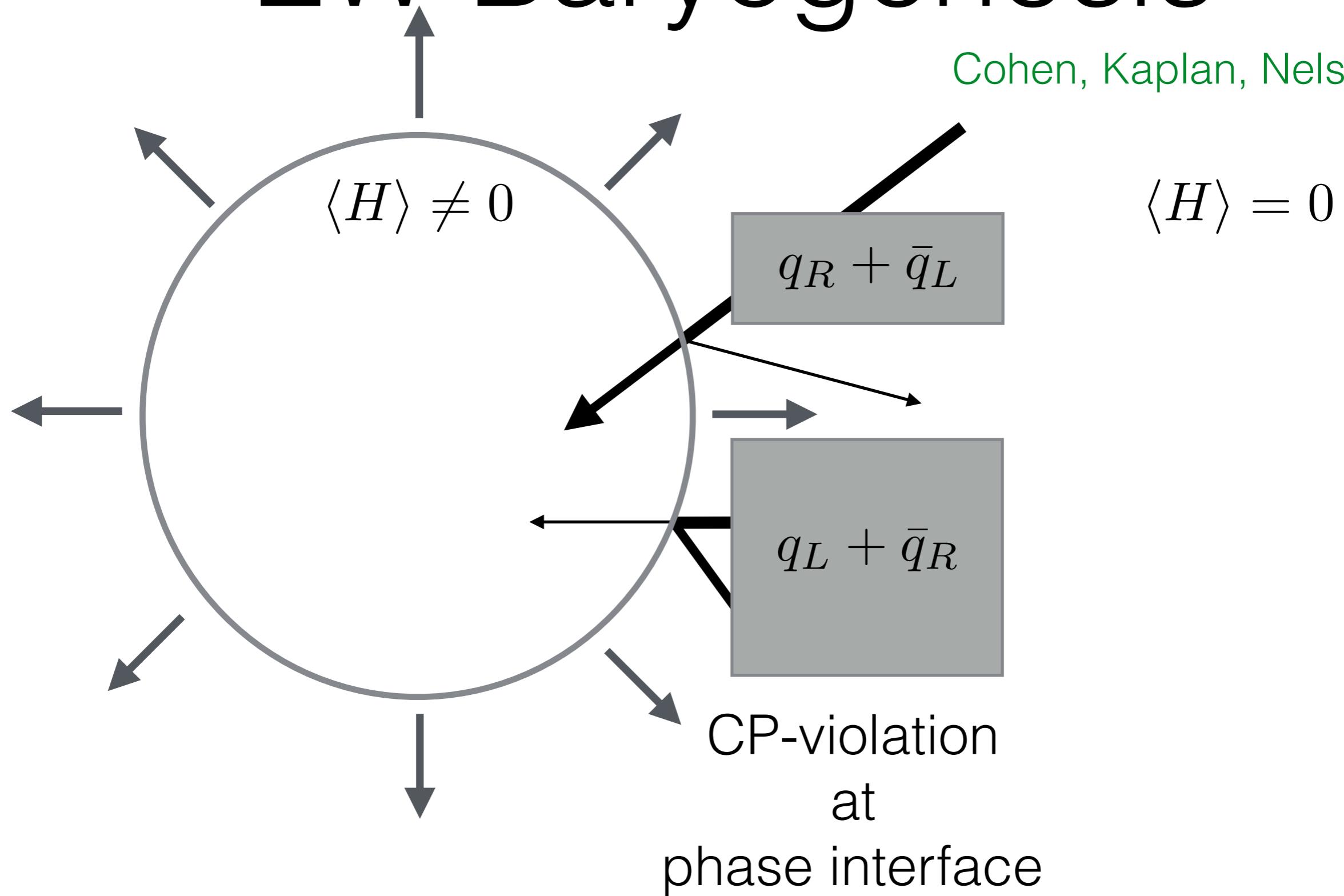
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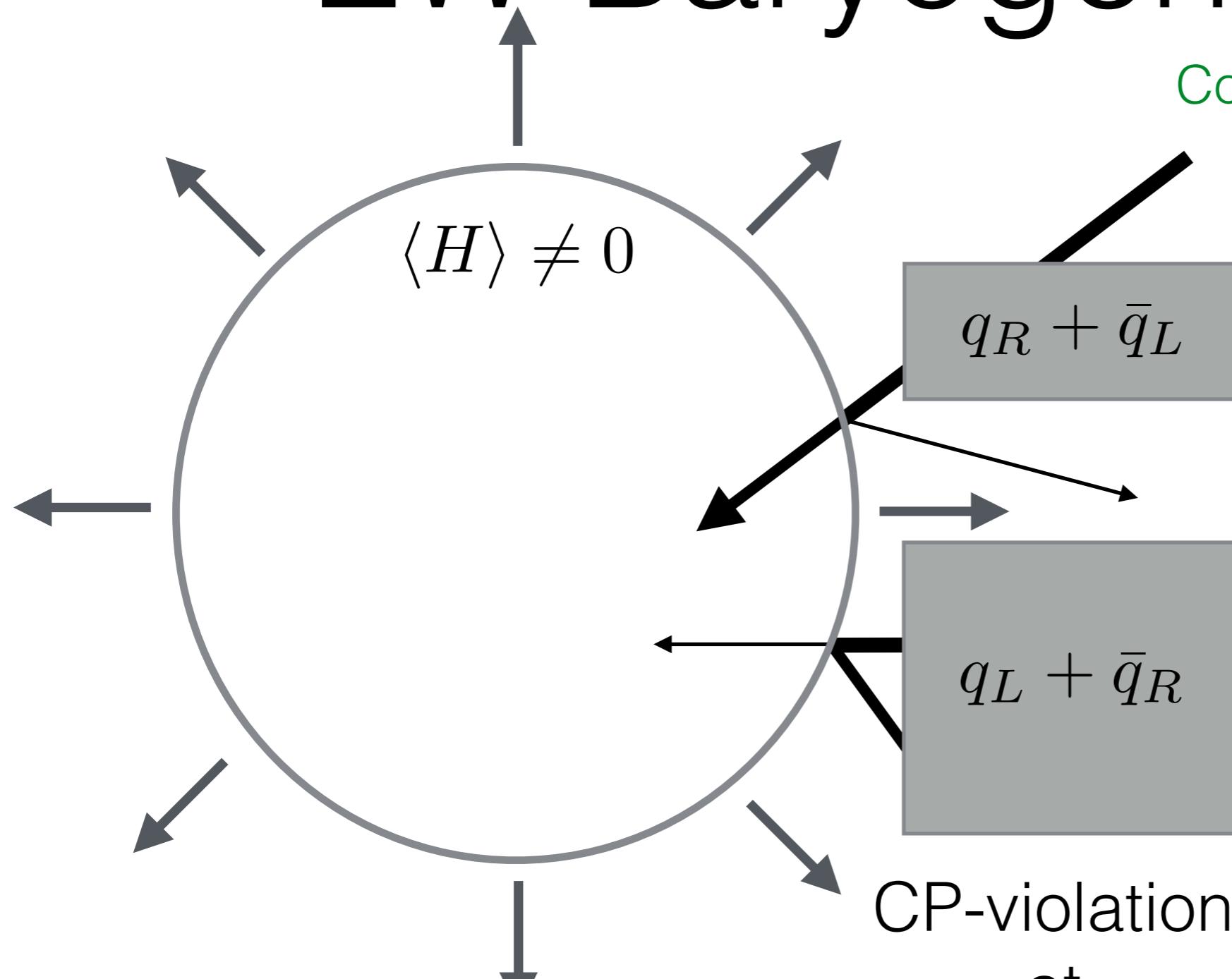
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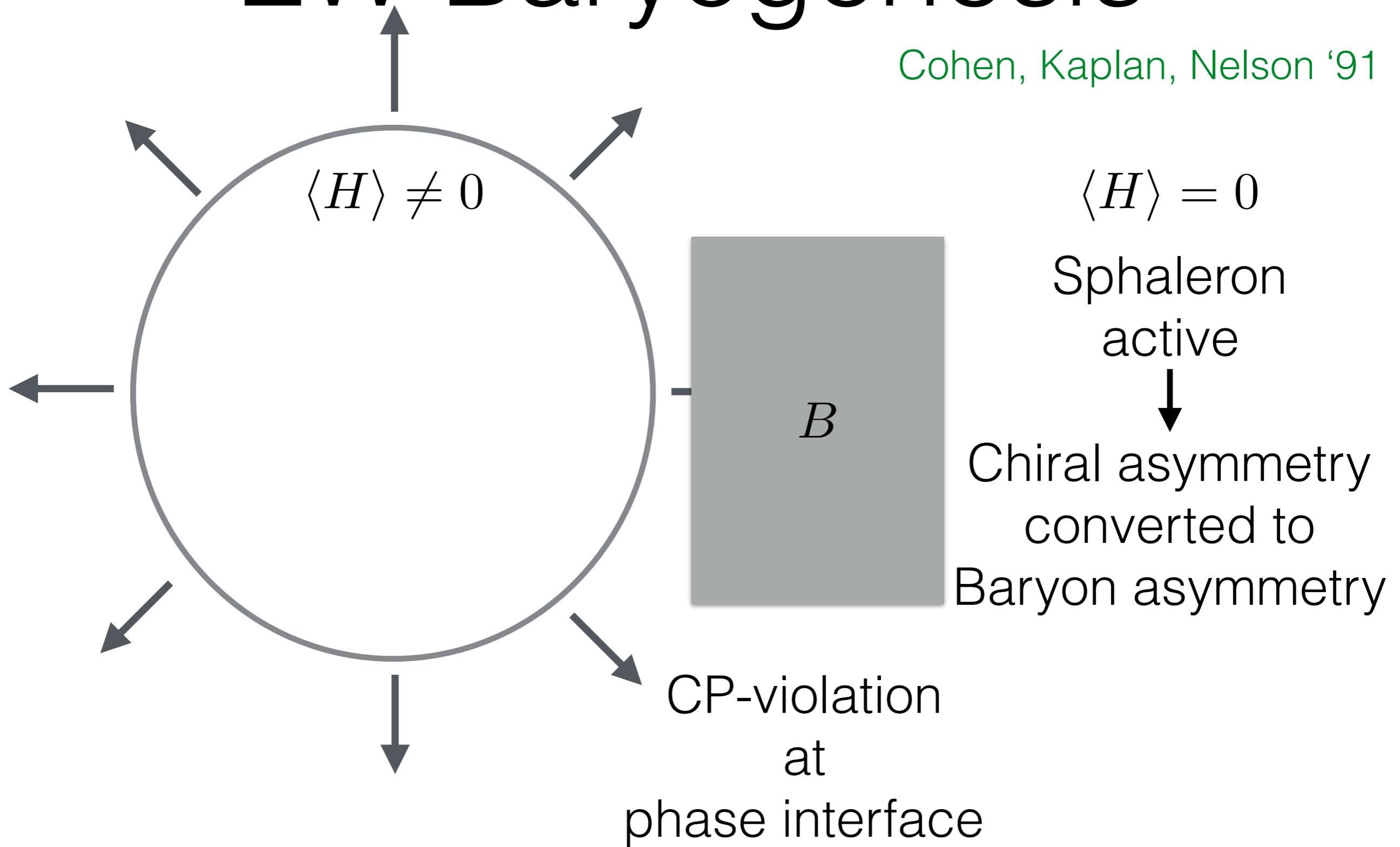


CP-violation  
at  
phase interface

$\langle H \rangle = 0$   
Sphaleron  
active  
↓  
Chiral asymmetry  
converted to  
Baryon asymmetry

# EW Baryogenesis

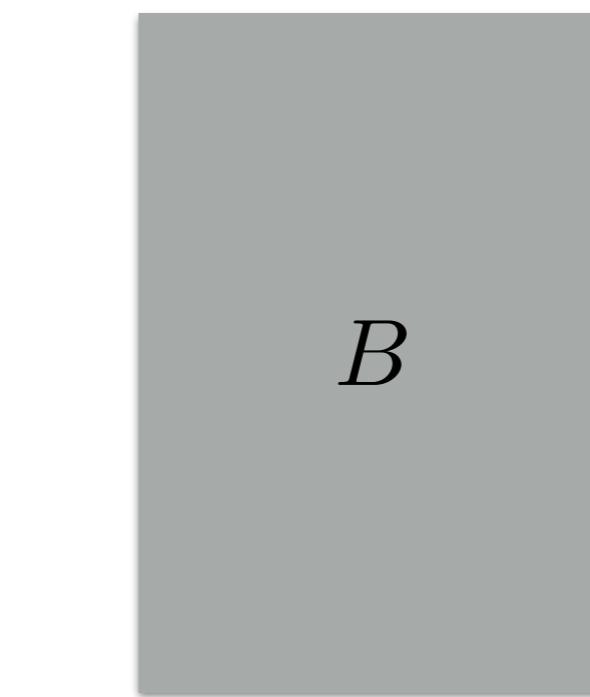
Cohen, Kaplan, Nelson '91



# EW Baryogenesis

Cohen, Kaplan, Nelson '91

$\langle H \rangle \neq 0$   
Sphaleron  
inactive  
↓  
Baryon number  
frozen

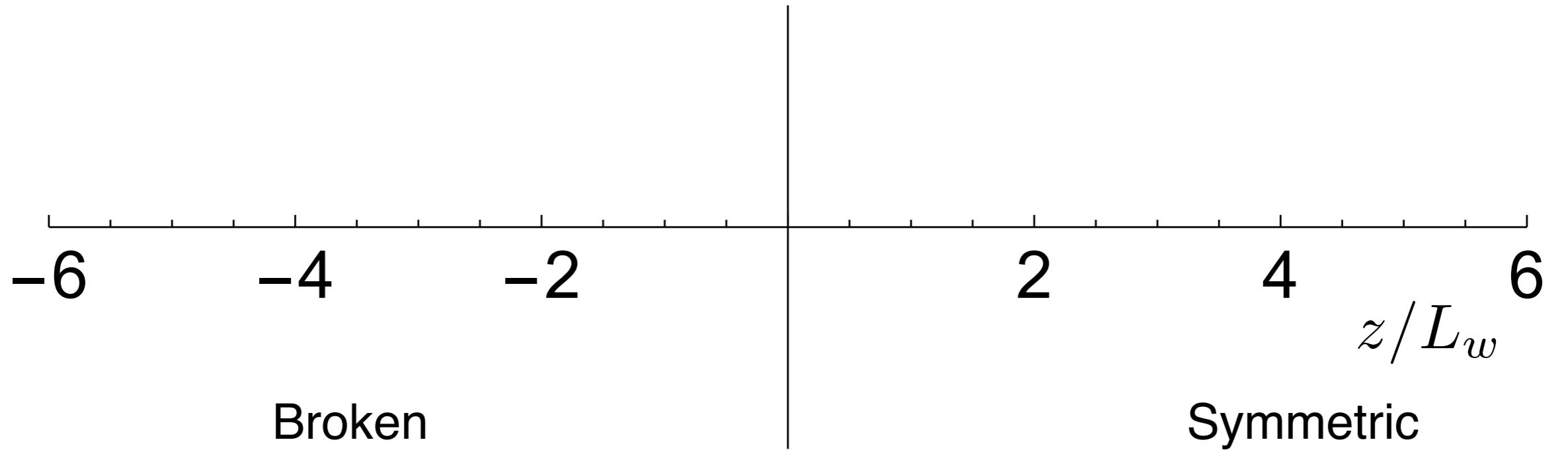


CP-violation  
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$\langle H \rangle = 0$   
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↓  
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# Baryon asymmetry

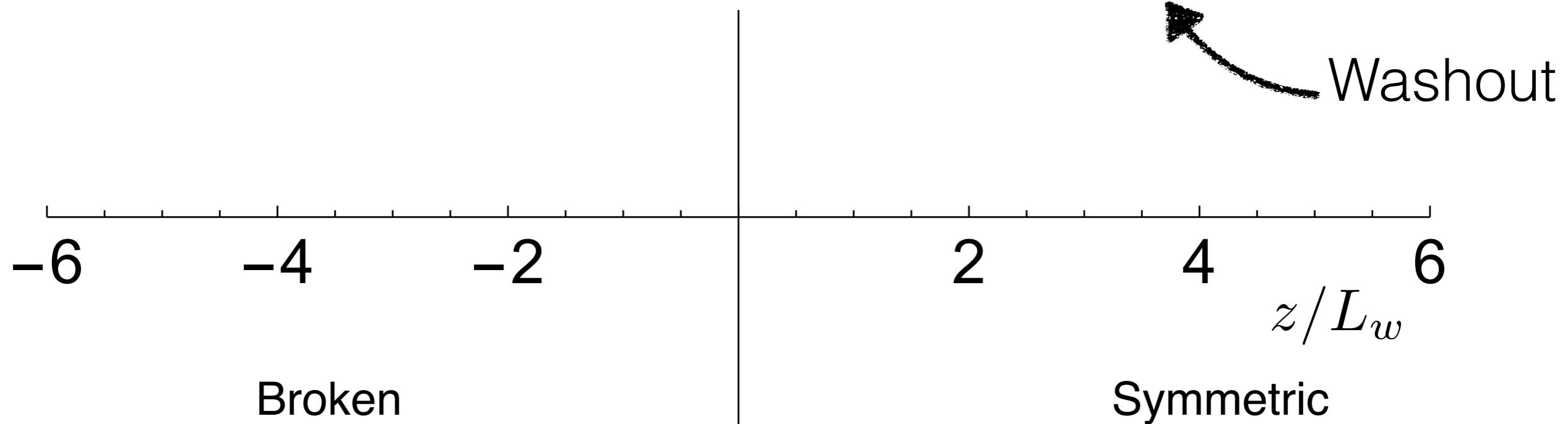
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1. Sakharov condition (B-violation)
2. Sakharov condition (CP-violation)
3. Sakharov condition (out of equilibrium)

# Baryon asymmetry

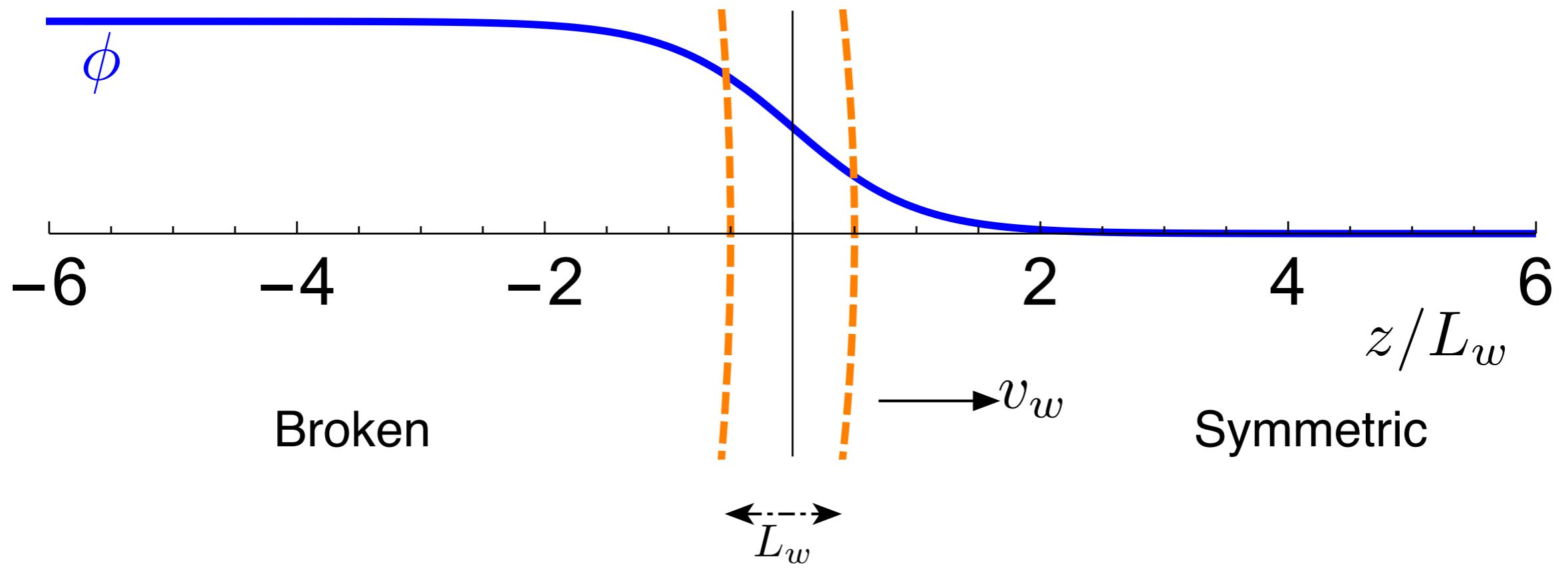
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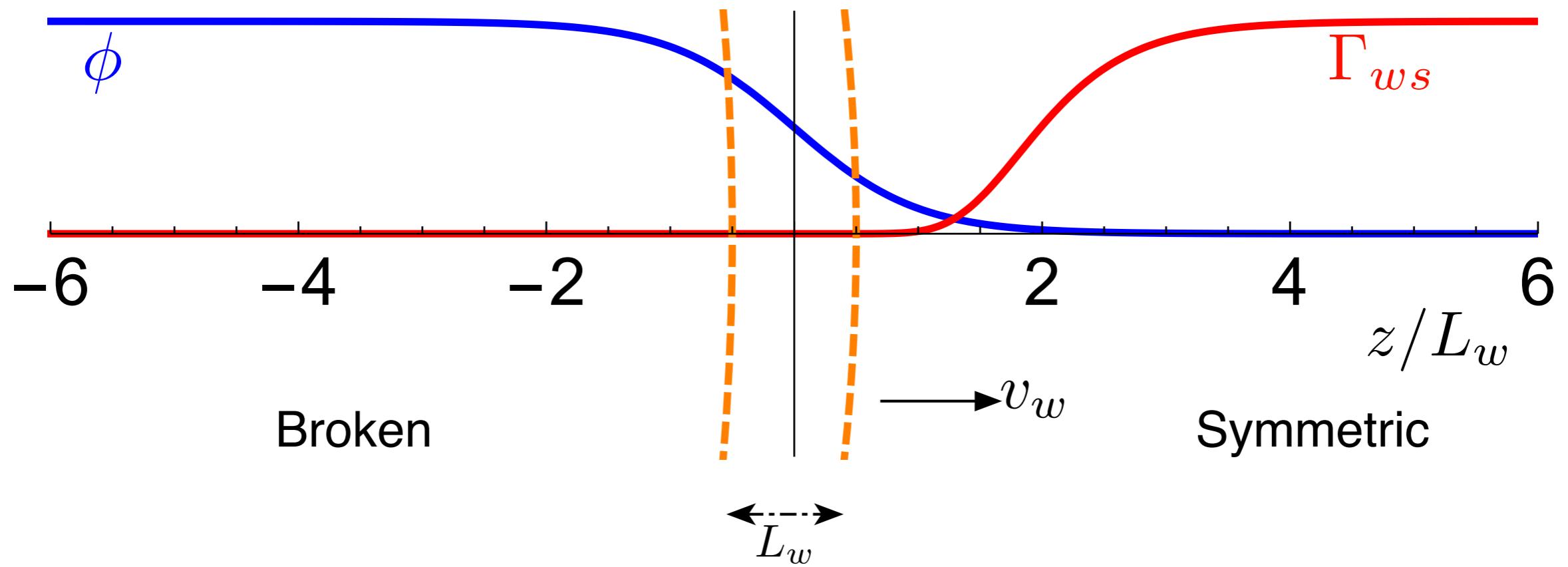
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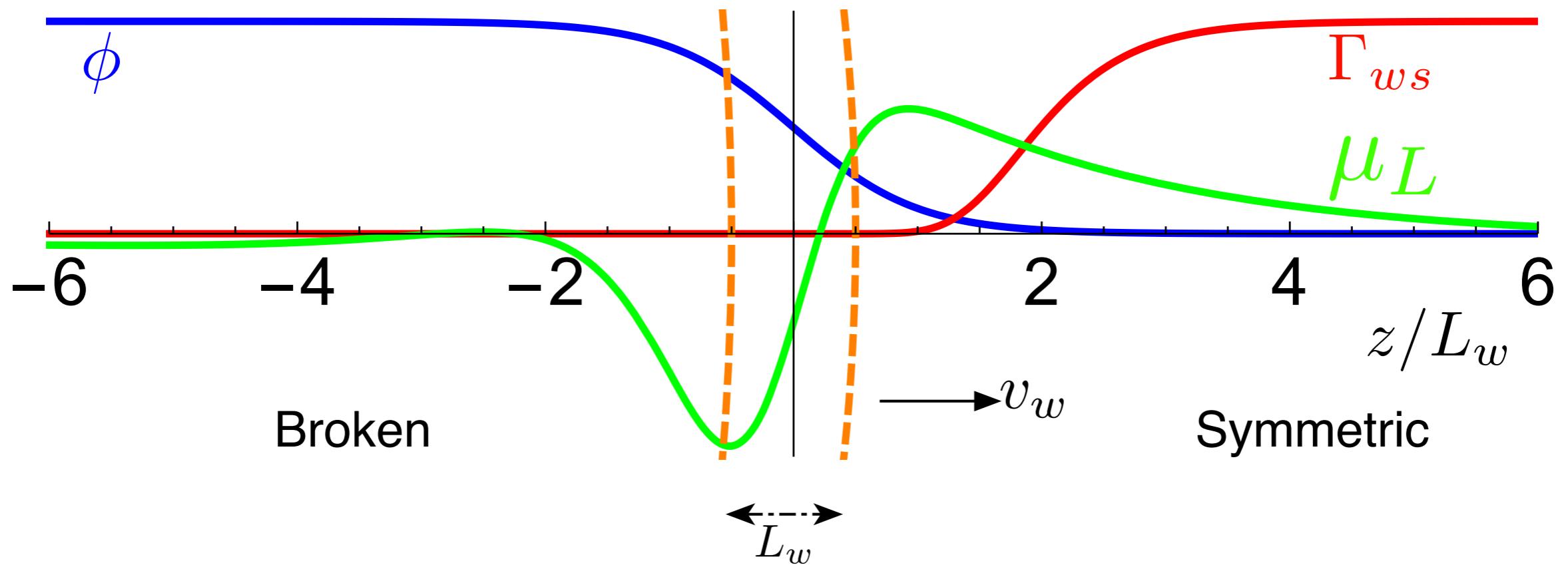
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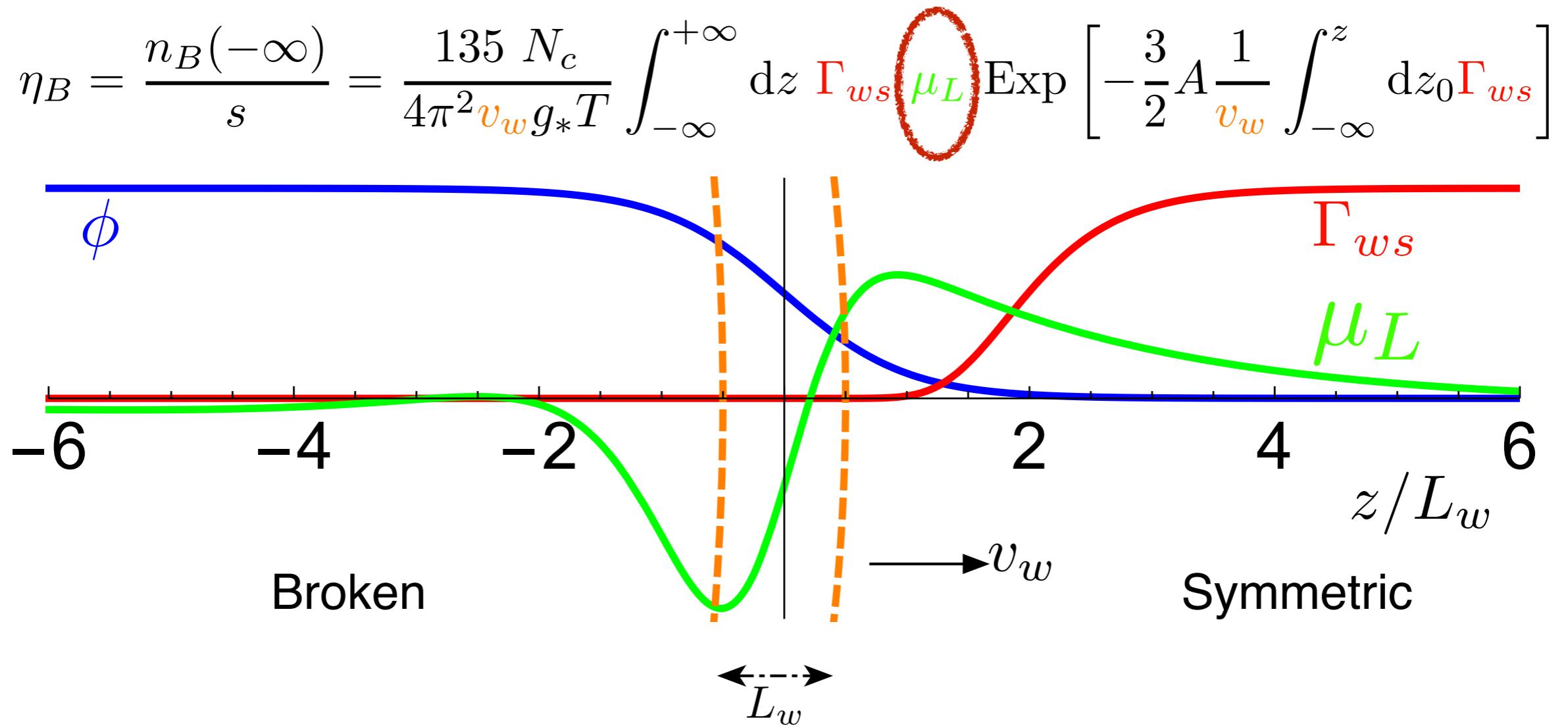


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# Baryon asymmetry



1. Sakharov condition (B-violation)
2. Sakharov condition (CP-violation)
3. Sakharov condition (out of equilibrium)

# CP-violation in the SM and beyond

In the SM:  $\eta_B \lesssim 10^{-2} \Delta_{CP}$

Farrar, Shaposhnikov '93

$$\Delta_{CP} \sim (M_W^6 T_c^6)^{-1} \prod_{\substack{i>j \\ u,c,t}} (m_i^2 - m_j^2) \prod_{\substack{i>j \\ d,s,b}} (m_i^2 - m_j^2) J_{CP}$$

Gavela, *et al.* '93  
Huet, Sather '94

Jarlskog constant

Based solely on  
reflection coefficients

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**Much too small!**  
(measured:  $\eta_B \sim 8.9 \cdot 10^{-11}$ )

Popovshnikov '93

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Models with diffusion

2 Higgs doublet Cohen, Kaplan, Nelson '94

MSSM

Calculate CP-violating source, inject to Boltzmann equation

Cline, Joyce, Kainulainen '97

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MSSM

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Calculate CP-  
violating source,  
inject to Boltzmann  
equation

CP-violation and diffusion equation  
from first principles (Kadanoff-Baym)

Prokopec, Schmidt,  
Weinstock '03

# Source for $\mu$ in SM

$$S \sim \text{Im} \left[ V_{CKM}^\dagger m^{\dagger''} m V_{CKM} \right]$$

$$m = y(z) \cdot \frac{\phi(z)}{\sqrt{2}}$$

# Source for $\mu$ in SM

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For constant  $y$ :

$$S \sim \underbrace{\text{Im} \left[ V_{CKM}^\dagger y^\dagger y V_{CKM} \right]}_{=0} \phi'' \phi$$

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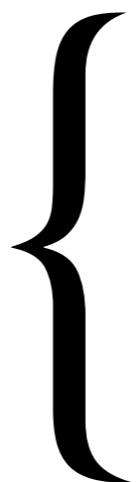
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EW scale flavour physics

$\Rightarrow z$  dependent Yukawas

  
Froggatt-Nielsen  
Randall-Sundrum  
...

# Network equations

Fluide type Ansatz:

$$f_i = \frac{1}{e^{\beta(\omega_i + v_w k_z - \mu_i)} \pm 1} + \delta f_i \quad u \equiv \left\langle \frac{k_z}{\omega_0} \delta f \right\rangle$$

CP-odd Energy-Momentum average, linear in:  $\mu_i, u_i$  and  $v_w$ :

$$v_w K_1 \mu' + v_w (m^2)' K_2 \mu + u' - \langle \mathbf{C} \rangle = 0$$

$$-K_4 \mu' + v_w \tilde{K}_5 u' + v_w (m^2)' \tilde{K}_6 u - \left\langle \frac{k_z}{\omega_{0i}} \mathbf{C} \right\rangle = \pm v_w K_8 \text{Im} [V^\dagger m^{\dagger''} m V]$$

**Interactions**

**Source**

# System and Kernel

$$A(z) v'(z) + B(z) v(z) = S(z)$$

Unknowns



$$(\mu_{t_{R/L}}, \mu_{b_{R/L}}, \mu_{s_{R/L}}, \mu_{c_{R/L}}, \mu_h \\ u_{t_{R/L}}, u_{b_{R/L}}, u_{s_{R/L}}, u_{c_{R/L}}, u_h)$$

# System and Kernel

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$$\mu(z) = \int_{-\infty}^{+\infty} dz_0 G(z, z_0) S(z_0)$$

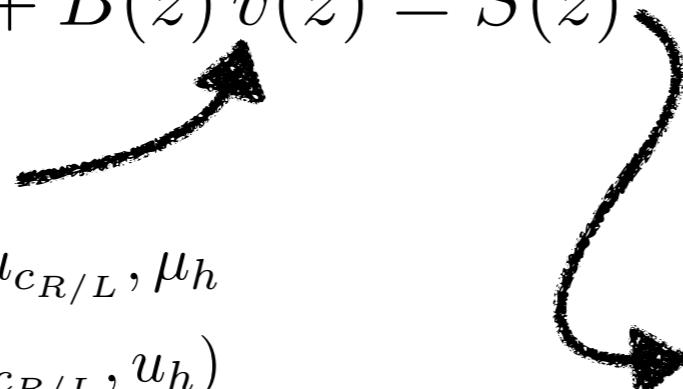
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$$\eta_B = \int_{-\infty}^{+\infty} dz \# \Gamma_{ws}(z) e^{-\# z} \mu_L(z)$$



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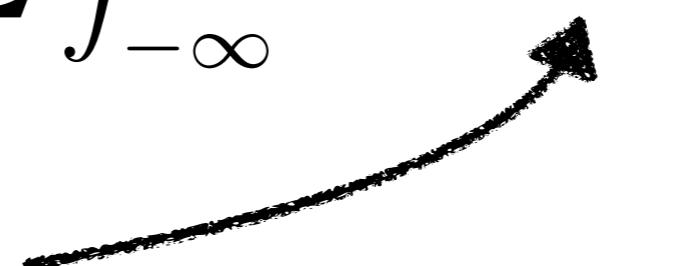
$$\eta_B = \sum_i \int_{-\infty}^{+\infty} dz_0 K_i(z_0) S_i(z_0)$$

Kernel

- Weak Sphaleron
- Interactions (GF)
- Numerical factors



$$\mu(z) = \int_{-\infty}^{+\infty} dz_0 G(z, z_0) S(z_0)$$



Source

- CP-violation

# Varying Yukawas across the wall

Effective description following from Flavon-Higgs coupling

Broken phase

$$y(y_0, y_1, \phi, n) = (y_0 - y_1) \left[ 1 - \left( \frac{\phi}{v} \right)^n \right] + y_1$$

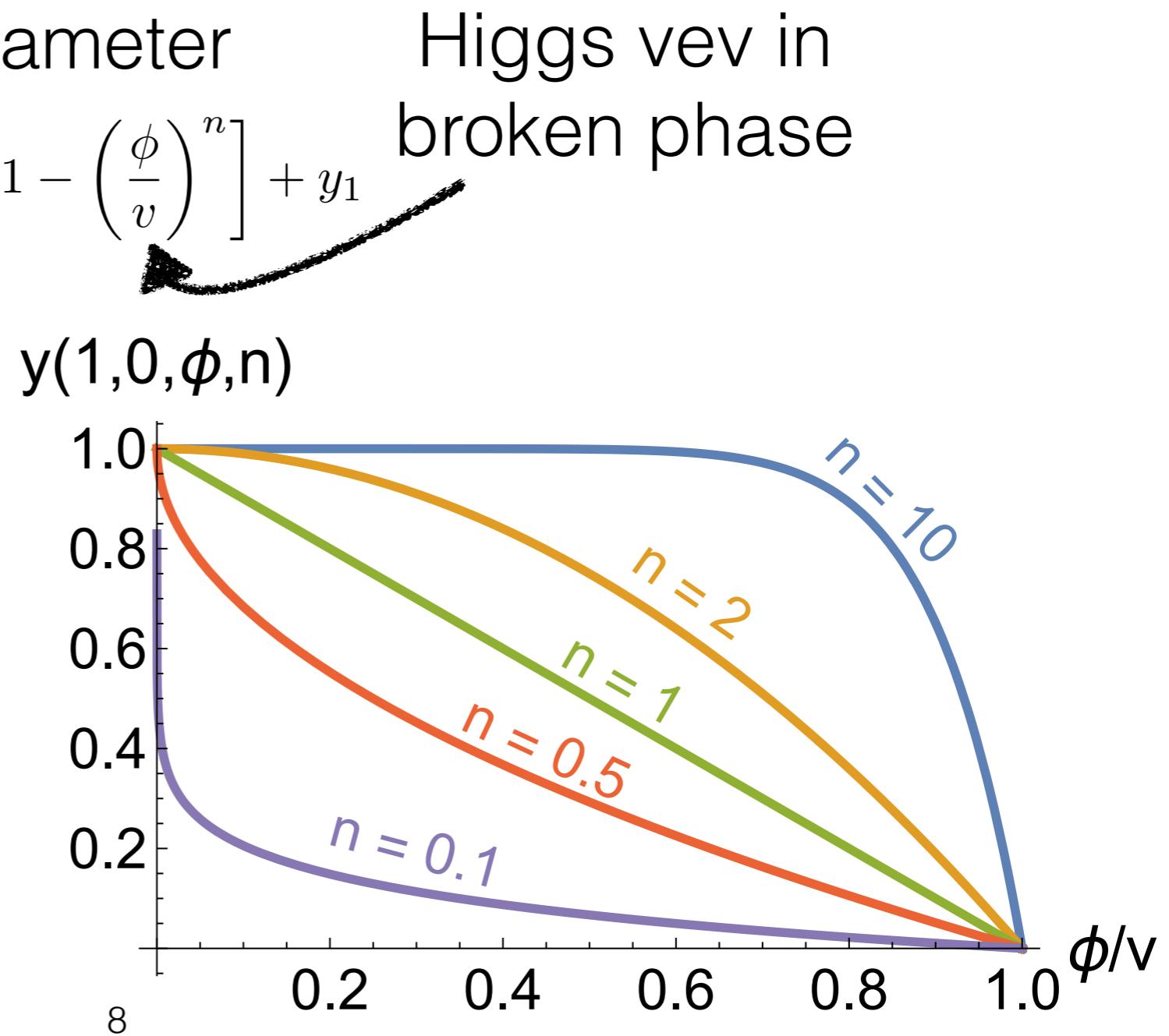
↑  
Symmetric phase  
Higgs vev

Free parameter

Higgs vev in  
broken phase

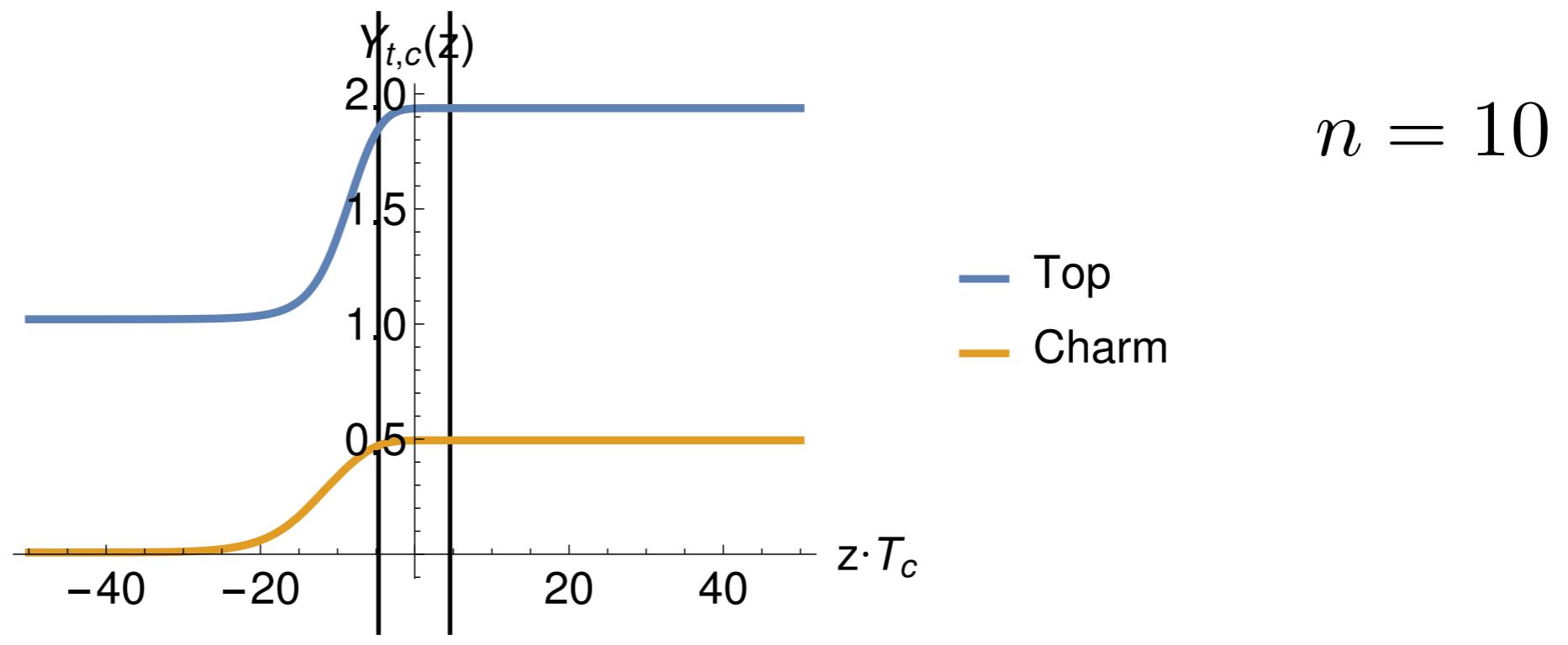
Baldes, Konstandin,  
Servant '16

1608.03254 & 1604.04526



# Yukawas

$$Y_{tc}(z, n) = \begin{pmatrix} e^i y(1, 0.008, \phi(z), n) & y(1, 0.04, \phi(z), n) \\ y(1, 0.2, \phi(z), n) & y(1, 1, \phi(z), n) \end{pmatrix}$$

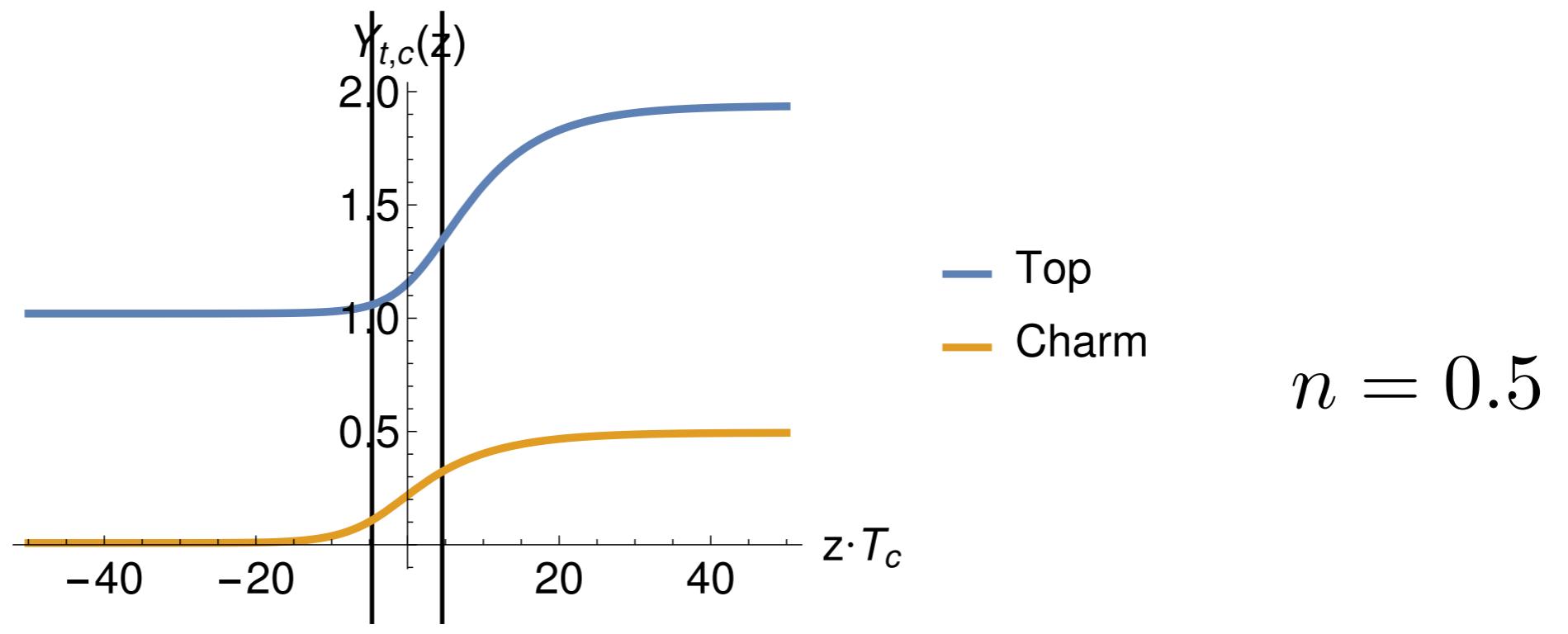


← Broken phase

Symmetric phase →

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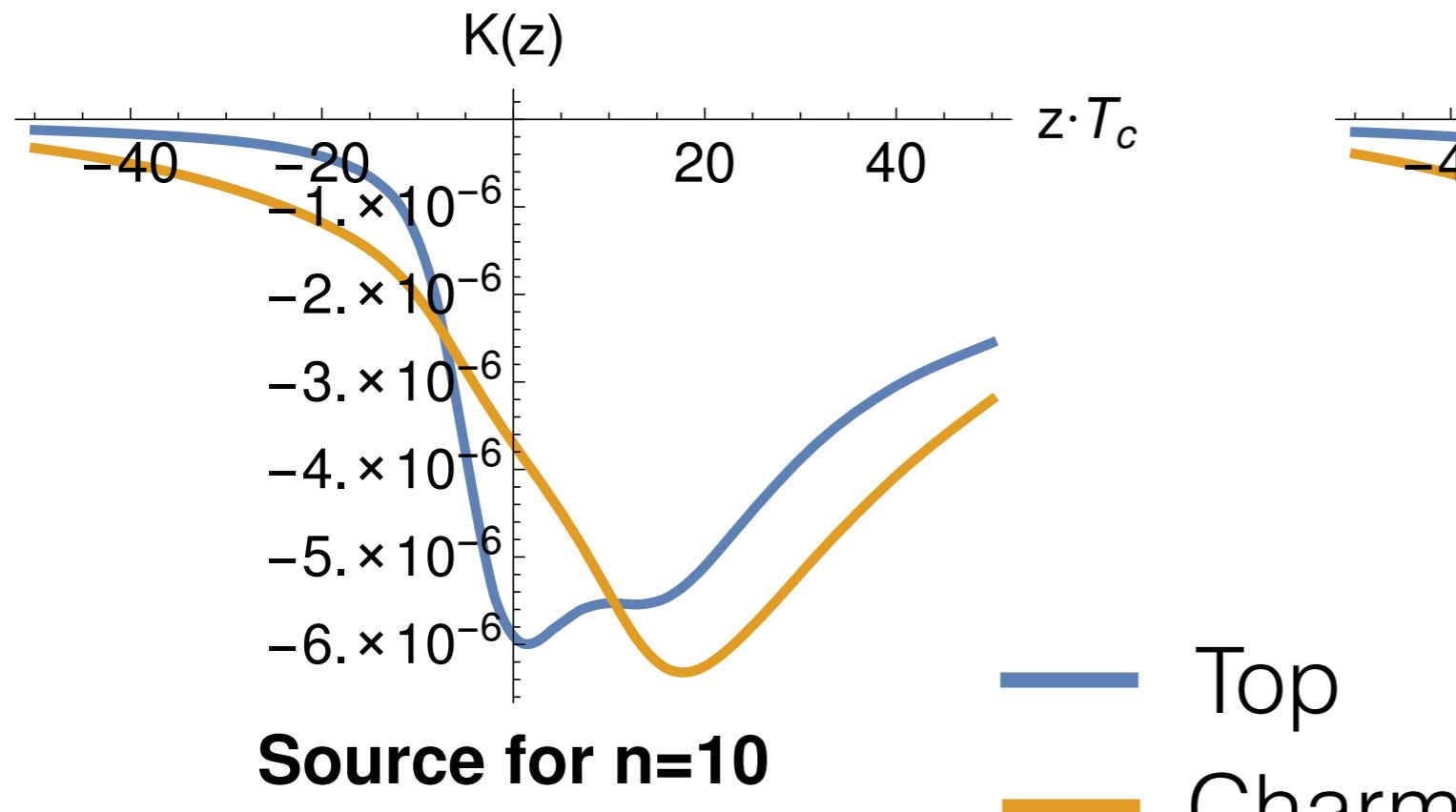
Symmetric phase →

$$\eta_B = \sum_i \int_{-\infty}^{+\infty} dz_0 K_i(z_0) S_i(z_0)$$

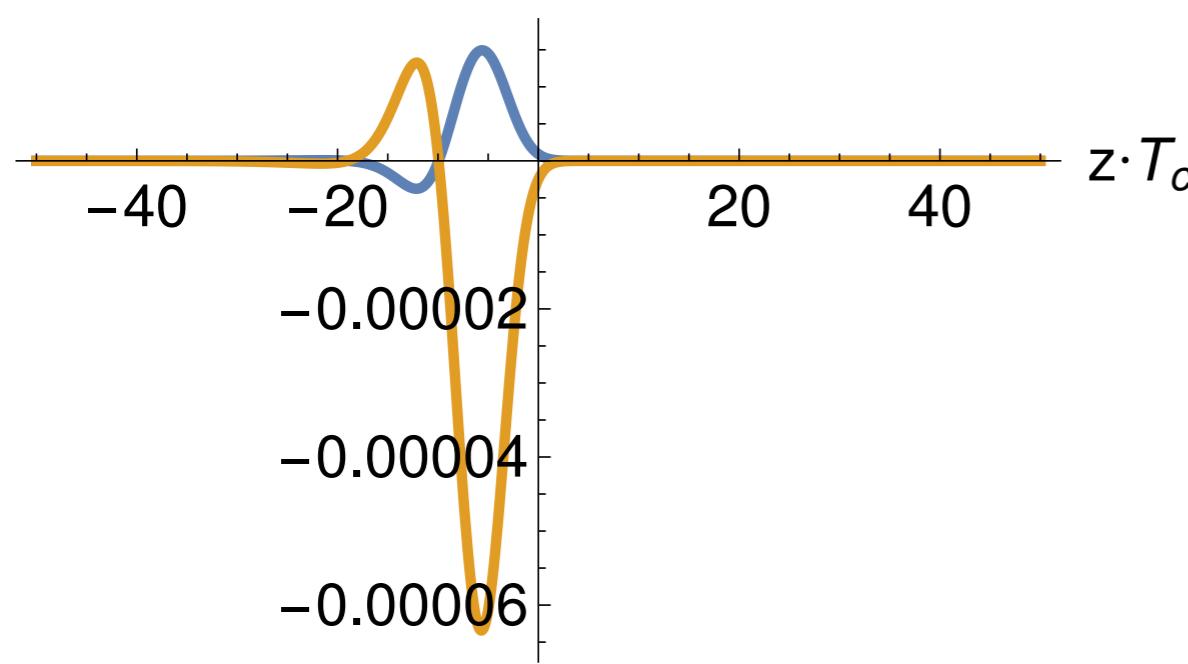
$$\eta_B \approx 5.5 \cdot 10^{-10}$$

$$\eta_B \approx 2.1 \cdot 10^{-12}$$

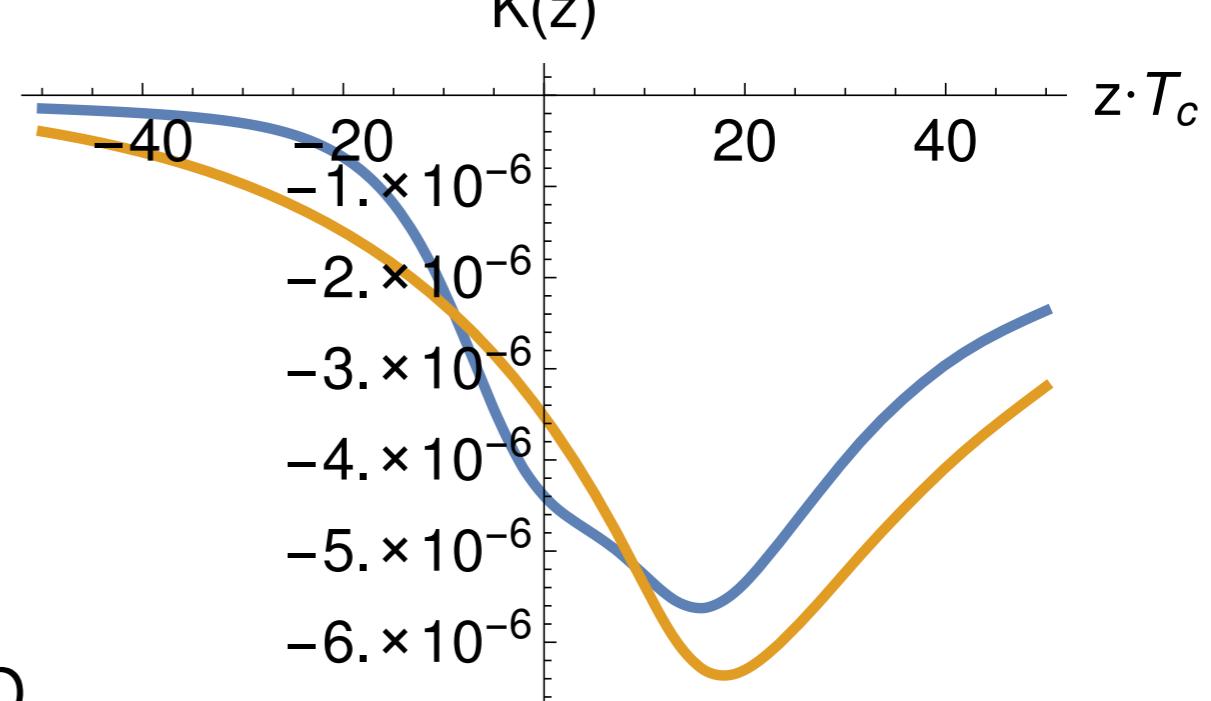
### Kernel for n=10



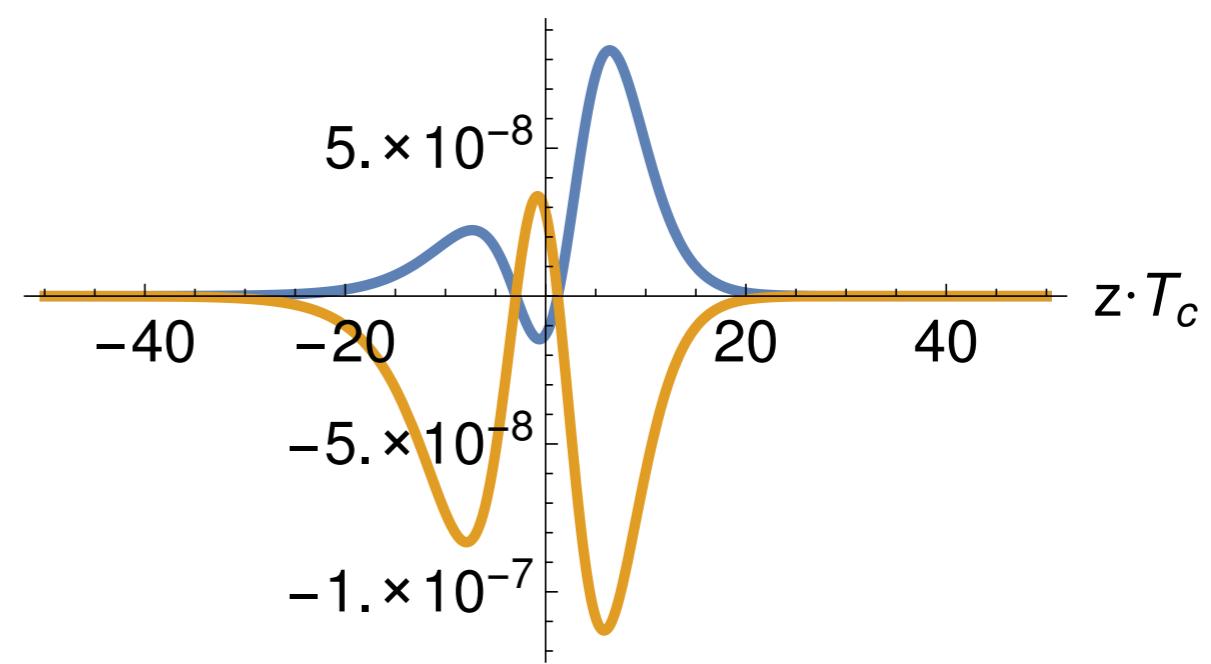
### Source for n=10



### Kernel for n=0.1



### Source for n=0.1

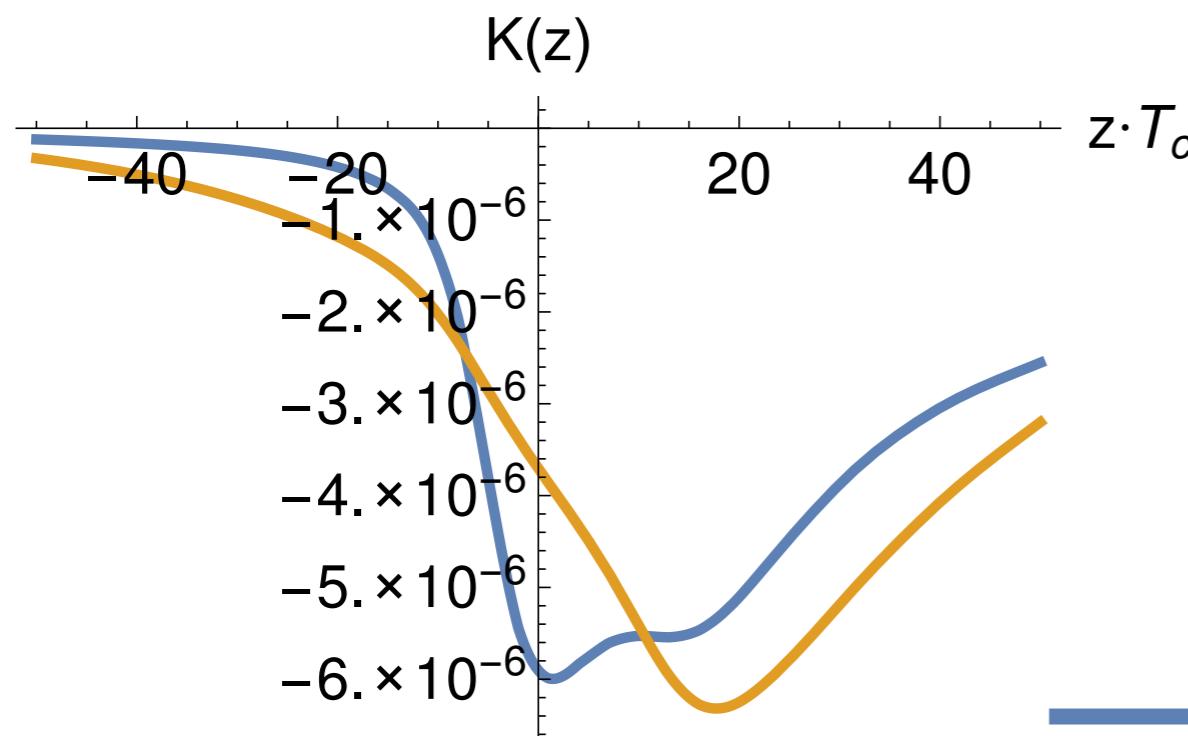


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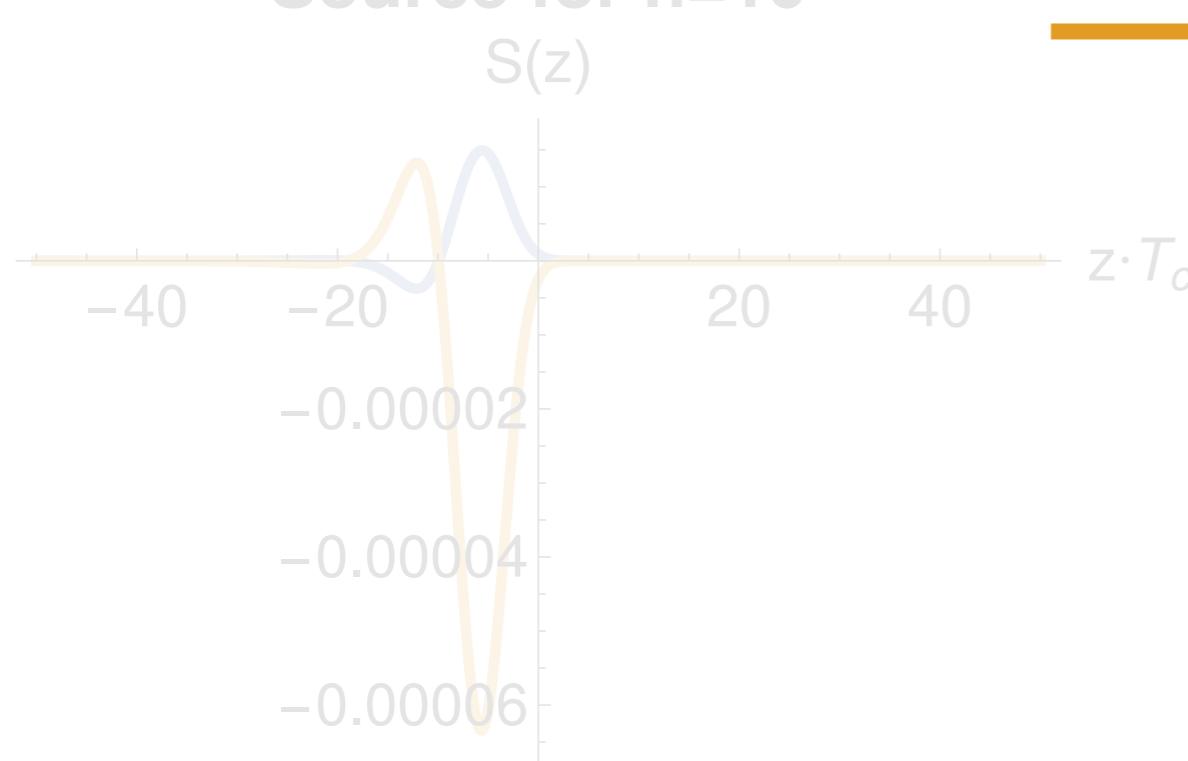
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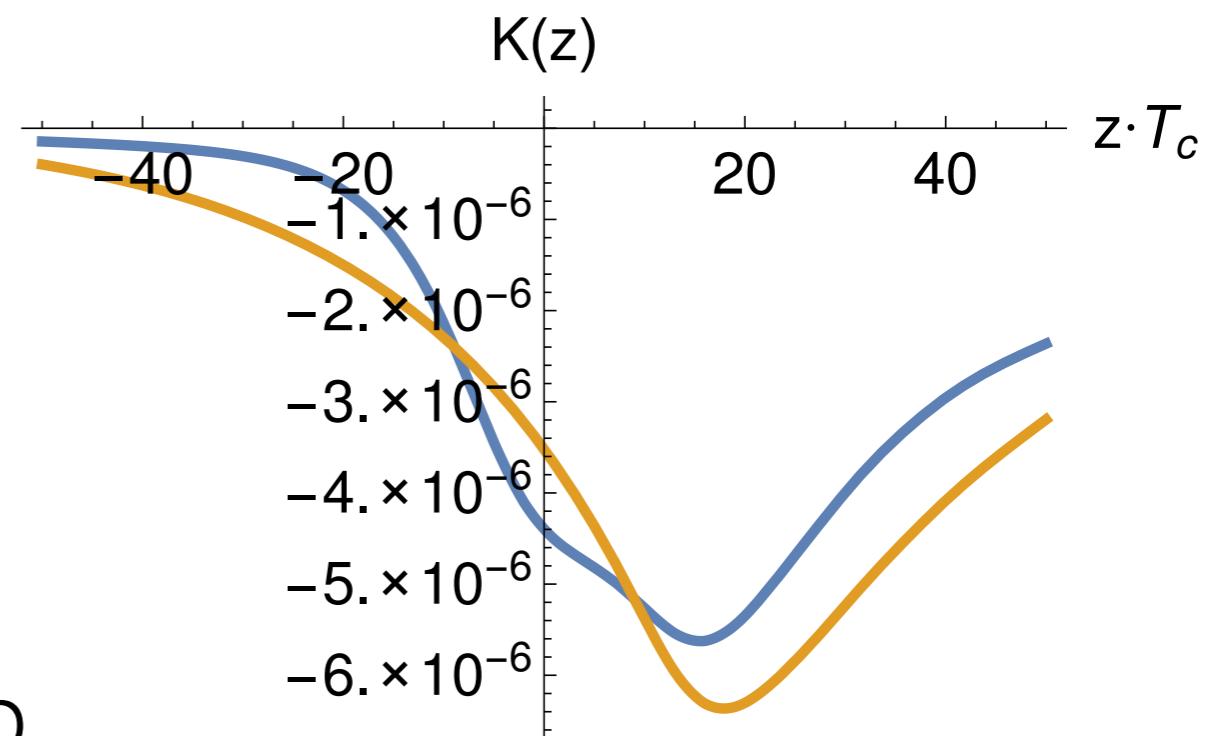
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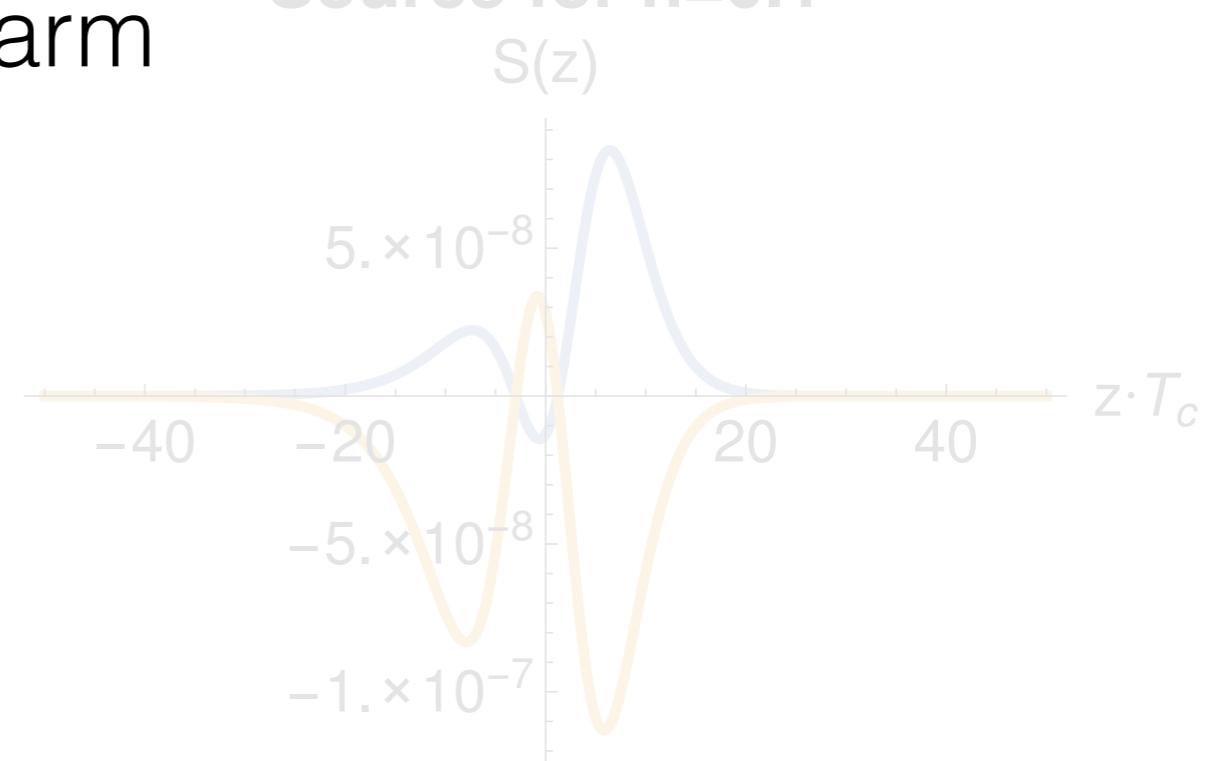
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## Kernel for n=0.1



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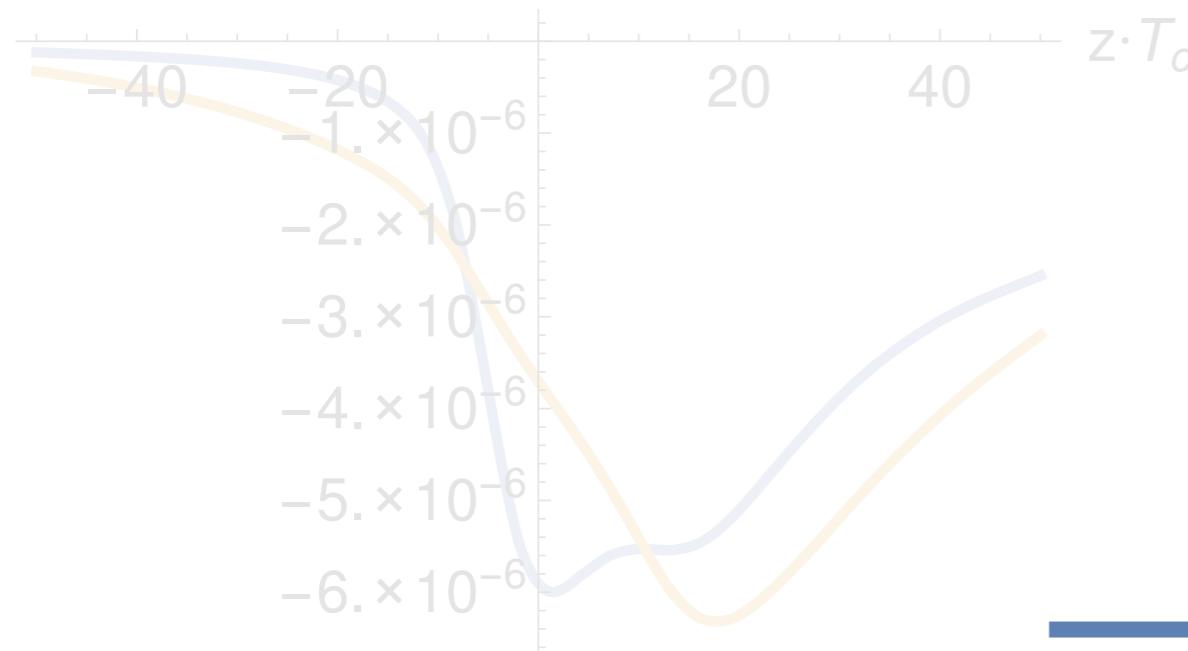


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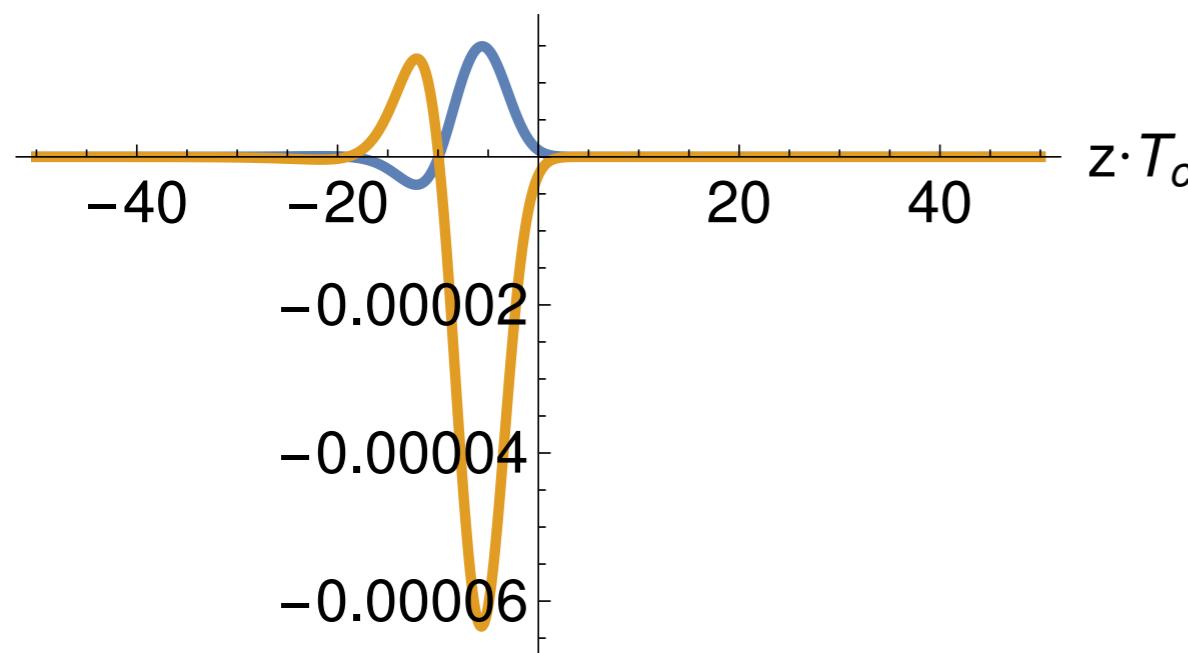
**Kernel for n=10**

K(z)



**Source for n=10**

S(z)

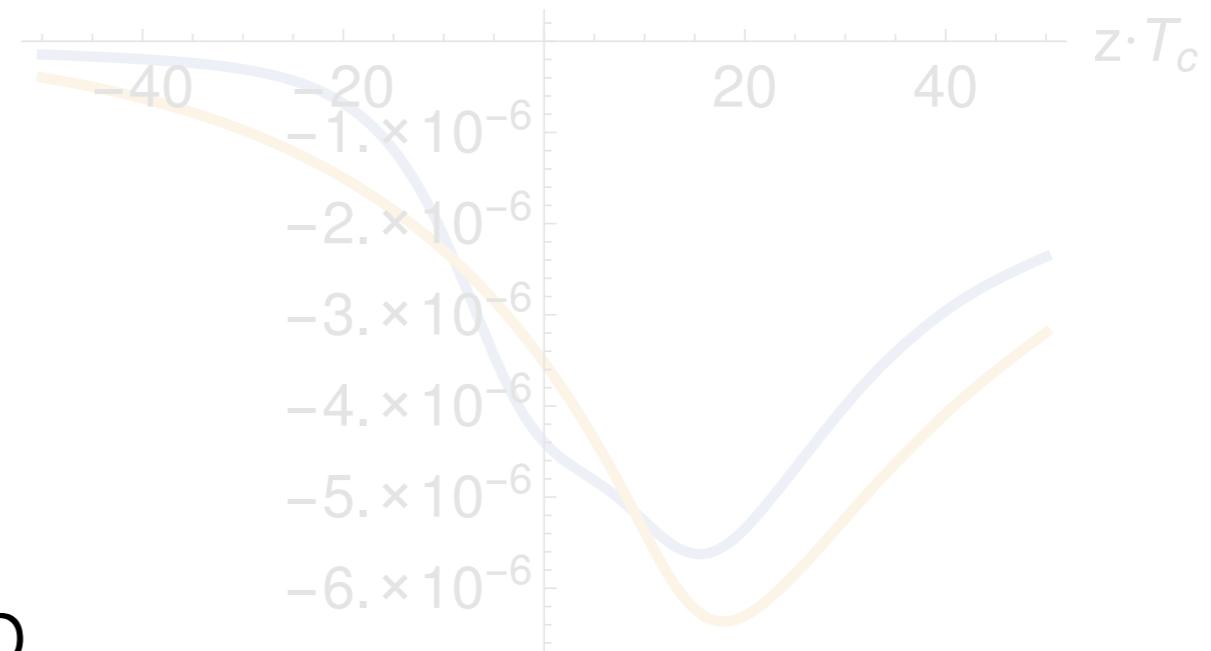


— Top  
— Charm

$$\eta_B \approx 2.1 \cdot 10^{-12}$$

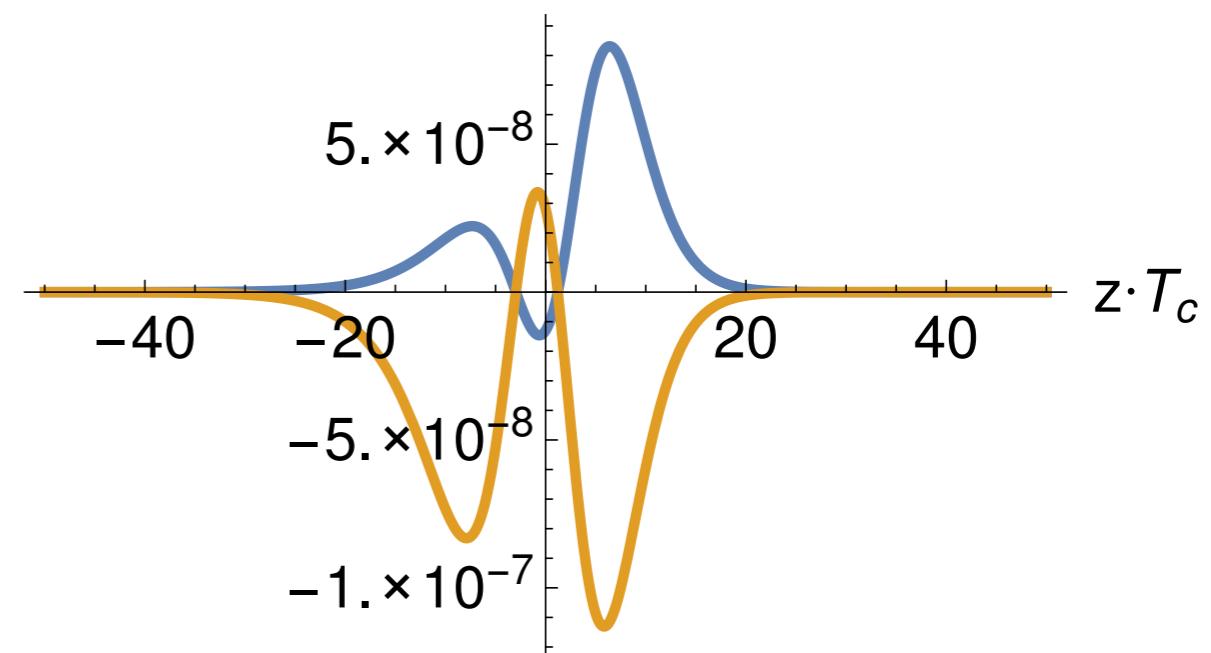
**Kernel for n=0.1**

K(z)



**Source for n=0.1**

S(z)

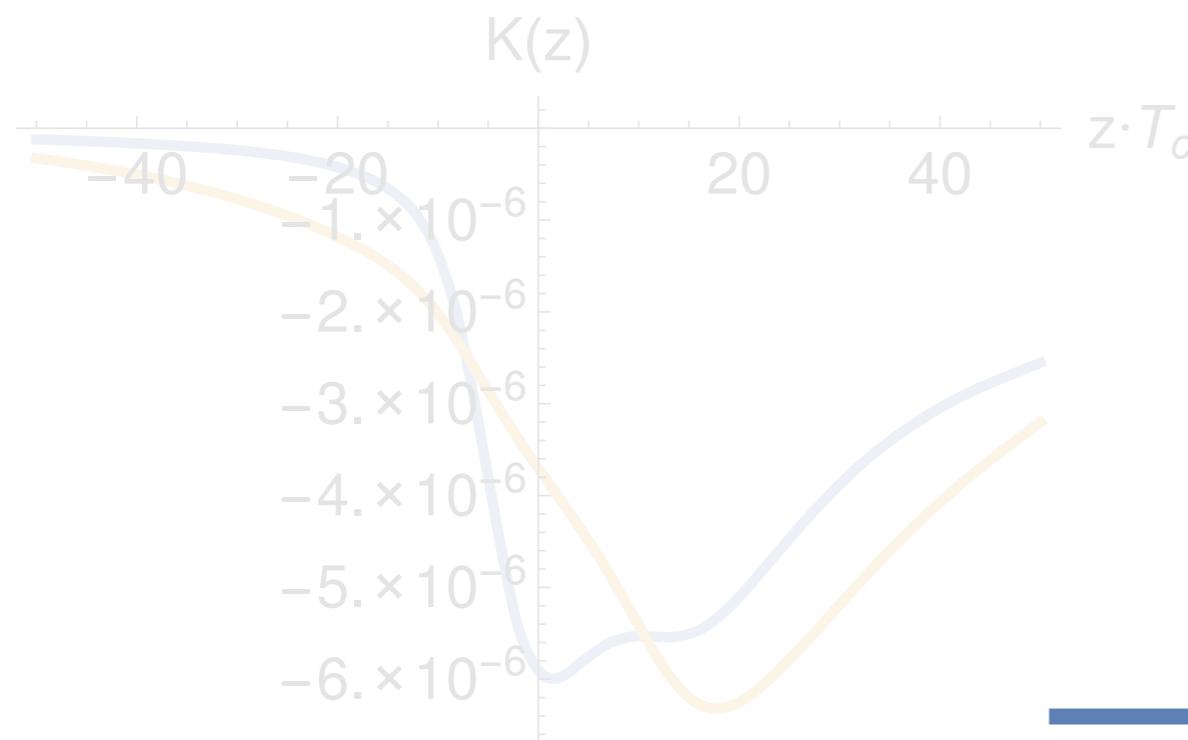


10

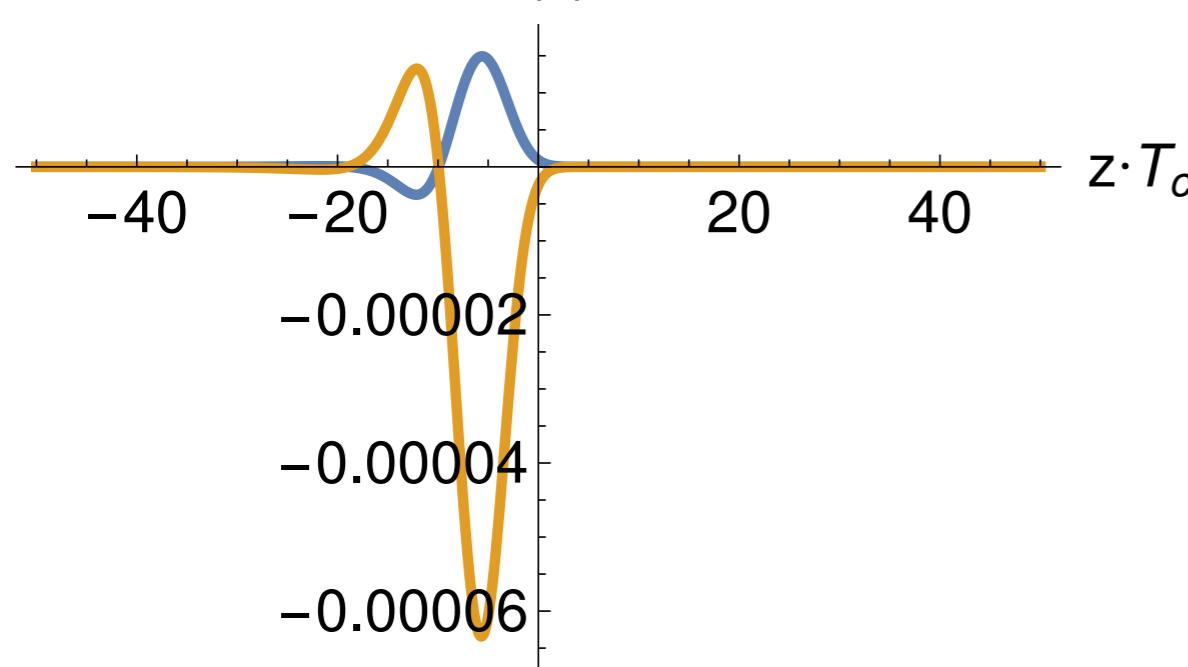
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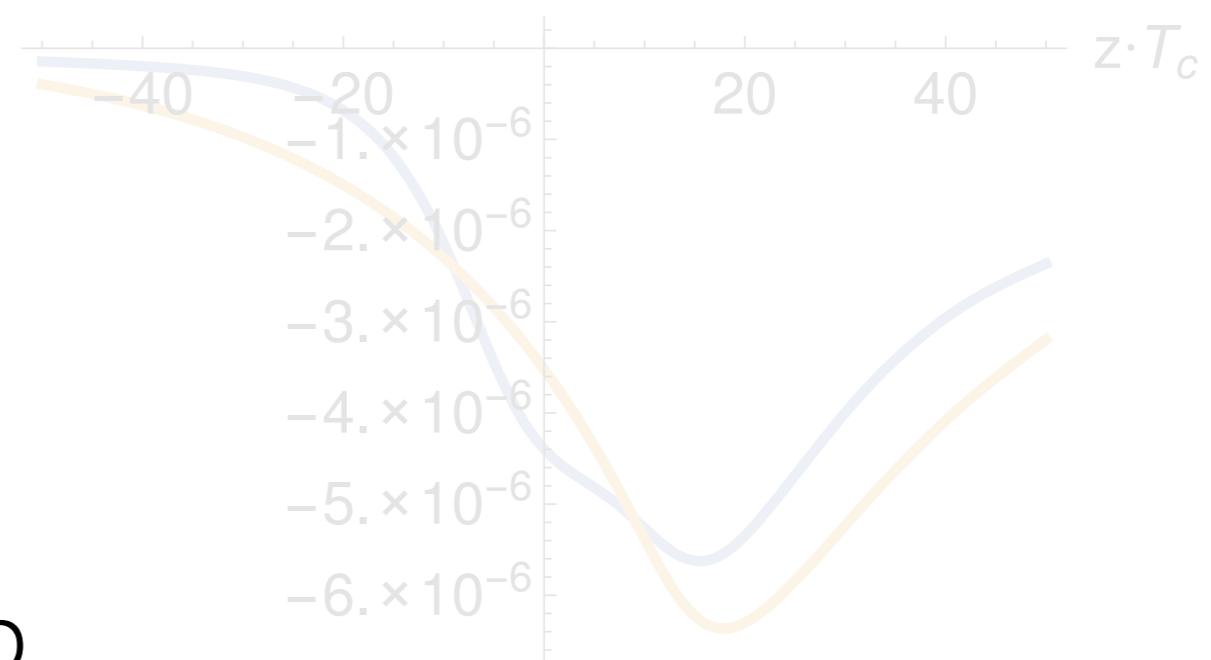


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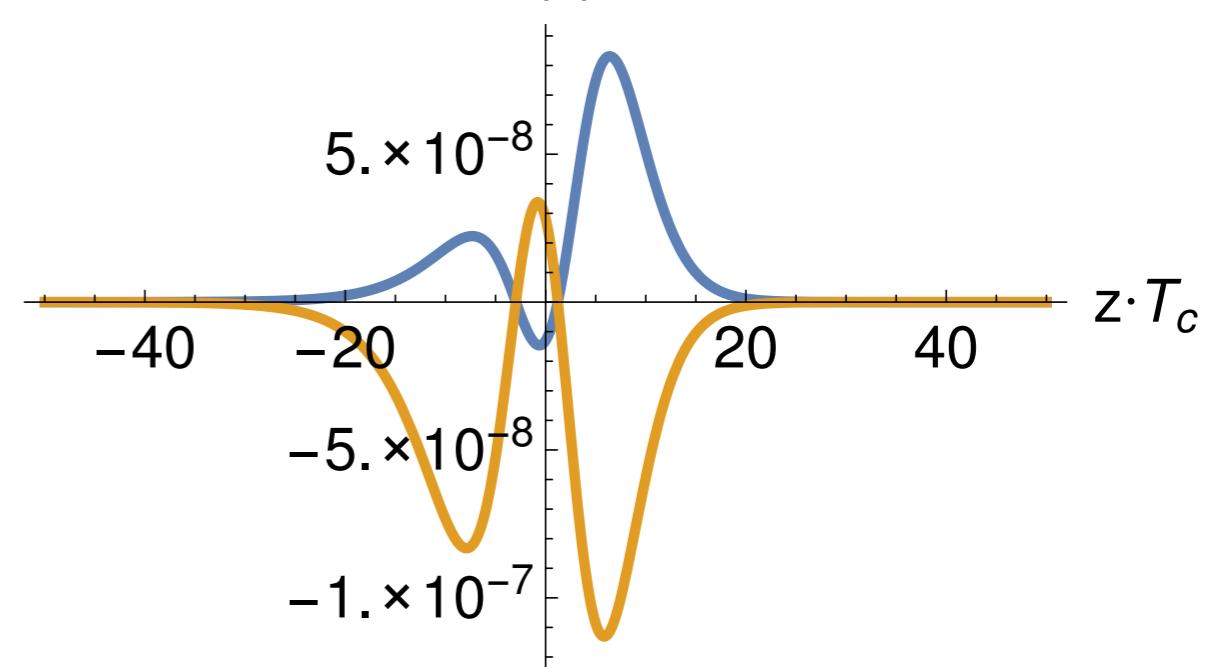


$$\eta_B \approx 2.1 \cdot 10^{-12}$$

**Kernel for n=0.1**



**Source for n=0.1**



# Summary

- Framework for CP-violation and diffusion for z-dependent Yukawas.
- Fully consistent and general formalism (diffusion and CP-violation from first principle).
- Application possible to low-scale flavour physics (Froggatt-Nielsen, Randall-Sundrum, etc.)

Baldes, Konstandin,  
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1604.04526 & 1608.03254

Von Harling, Servant '16  
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1612.02447

See talk by B. von Harling  
after the break in the  
theory session

# Special case: 1 flavour

$$m = |m| e^{i\theta}$$

$$S \propto \text{Im} [V^\dagger m^\dagger'' m V] = (|m|^2 \theta')'$$

$\theta$  has to be space dependent!



Agrees with semi-classical treatment

This is not the case for two mixing flavours.

# Froggat-Nielsen

$U(1)_{FN}$  with two FN fields  $\chi, \sigma$

Yukawa type interactions after SSB

$$\mathcal{L} \supset \tilde{y}_{ij} \left( \frac{\langle \chi \rangle}{\Lambda_\chi} \right)^{\tilde{n}_{ij}} \bar{Q}_i \tilde{\phi} U_j + y_{ij} \left( \frac{\langle \chi \rangle}{\Lambda_\chi} \right)^{n_{ij}} \bar{Q}_i \phi D_j$$

$$+ \tilde{Y}_{ij} \left( \frac{\langle \sigma \rangle}{\Lambda_\sigma} \right)^{\tilde{n}_{ij}} \bar{Q}_i \tilde{\phi} U_j + Y_{ij} \left( \frac{\langle \sigma \rangle}{\Lambda_\sigma} \right)^{n_{ij}} \bar{Q}_i \phi D_j$$

VEVs during EWSB

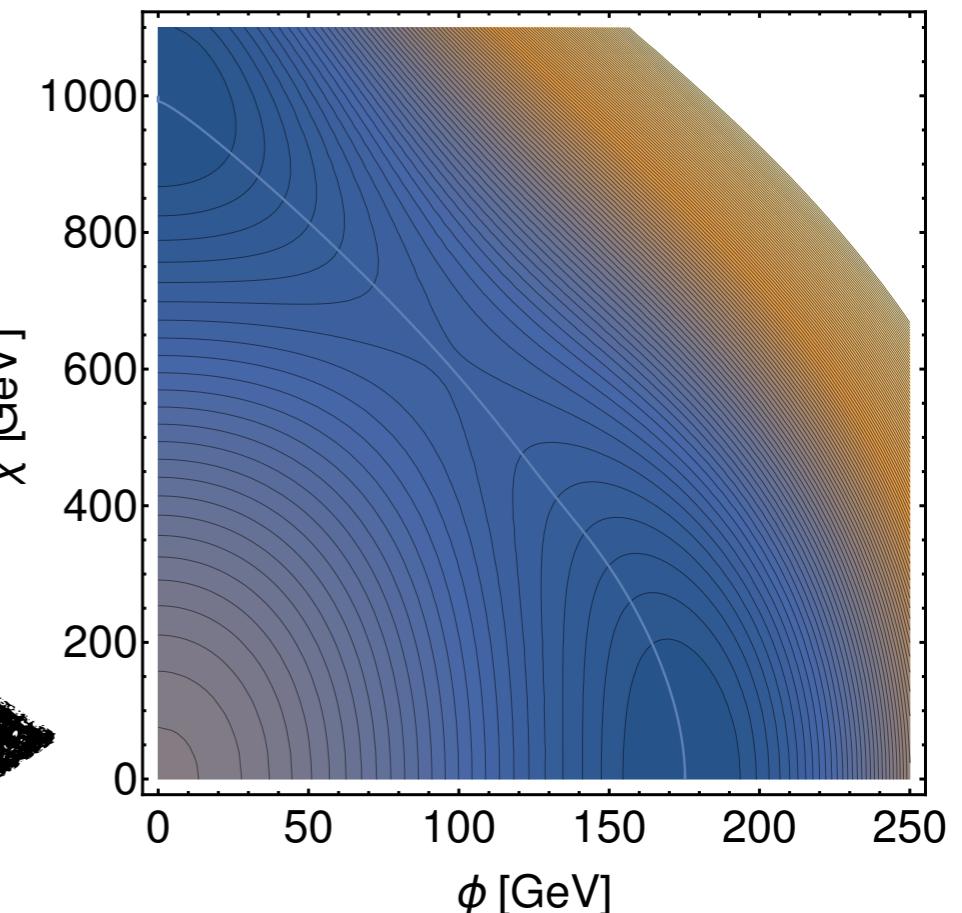
$$\phi : 0 \rightarrow v_\phi \quad \sigma : \Lambda_\sigma/5 \rightarrow \Lambda_\sigma/5 \quad \chi : \Lambda_\chi \rightarrow 0$$

$\chi - \phi$  -Potential

Charge assignment

$$Q_{FN}(\sigma) = Q_{FN}(\chi) = -1$$

$\bar{Q}_3$	(0)	$\bar{Q}_2$	(+2)	$\bar{Q}_1$	(+3)
$U_3$	(0)	$U_2$	(+1)	$U_1$	(+4)
$D_3$	(+2)	$D_2$	(+2)	$D_1$	(+3)



# Froggat-Nielsen

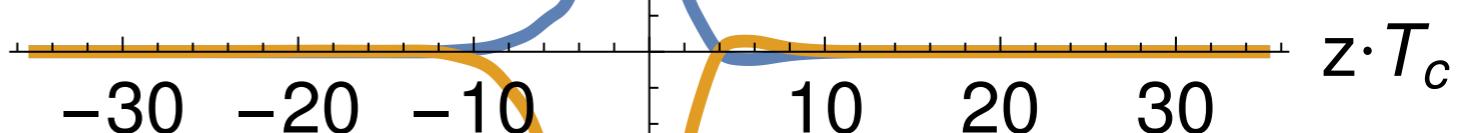
$$Y_{t-c} = \begin{pmatrix} \tilde{y}_{cc}\epsilon_\chi^3 & \tilde{y}_{ct}\epsilon_\chi^2 \\ \tilde{y}_{tc}\epsilon_\chi^1 & \tilde{y}_{tt}\epsilon_\chi^0 \end{pmatrix} + \begin{pmatrix} \tilde{Y}_{cc}\epsilon_\sigma^3 & \tilde{Y}_{ct}\epsilon_\sigma^2 \\ \tilde{Y}_{tc}\epsilon_\sigma^1 & \tilde{Y}_{tt}\epsilon_\sigma^0 \end{pmatrix}$$

$$\tilde{y}_{i \neq j} = \tilde{Y}_{i \neq j} = 1$$

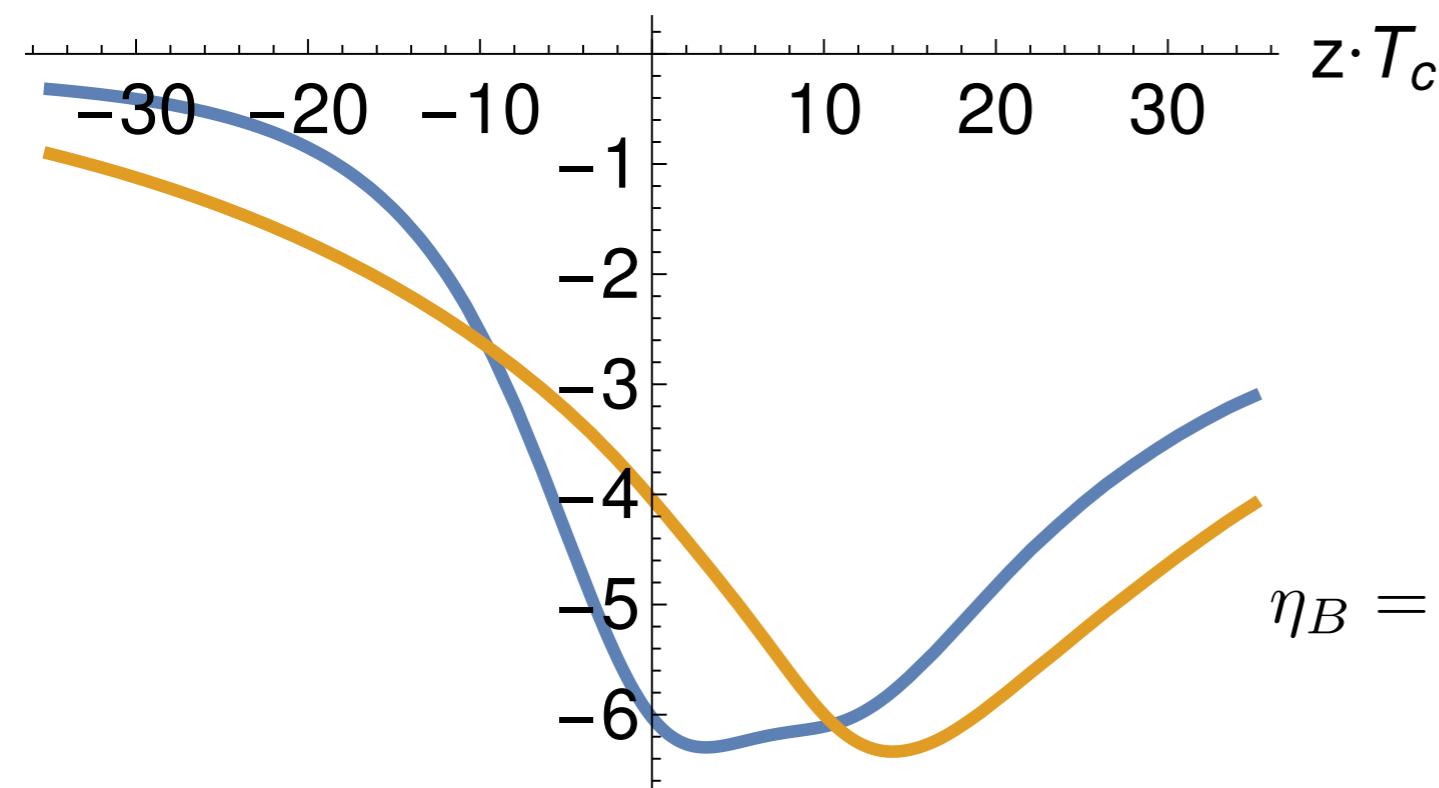
$$\tilde{y}_{tt} = \tilde{Y}_{tt} = 1/2$$

$$\tilde{y}_{cc} = \tilde{Y}_{cc} = e^{i\theta}$$

$$S(z) \cdot 10^6$$

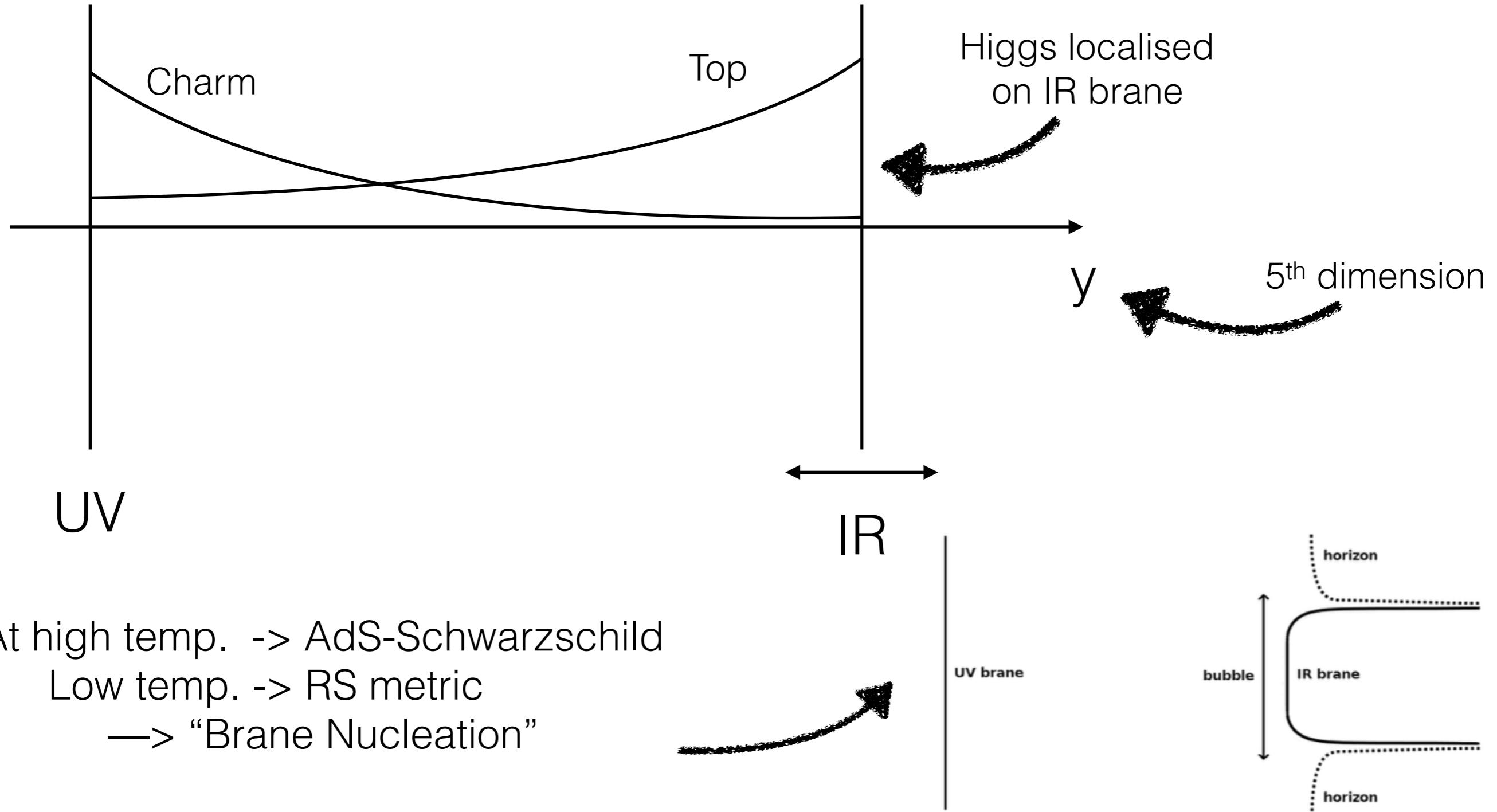


$$K(z) \cdot 10^6$$



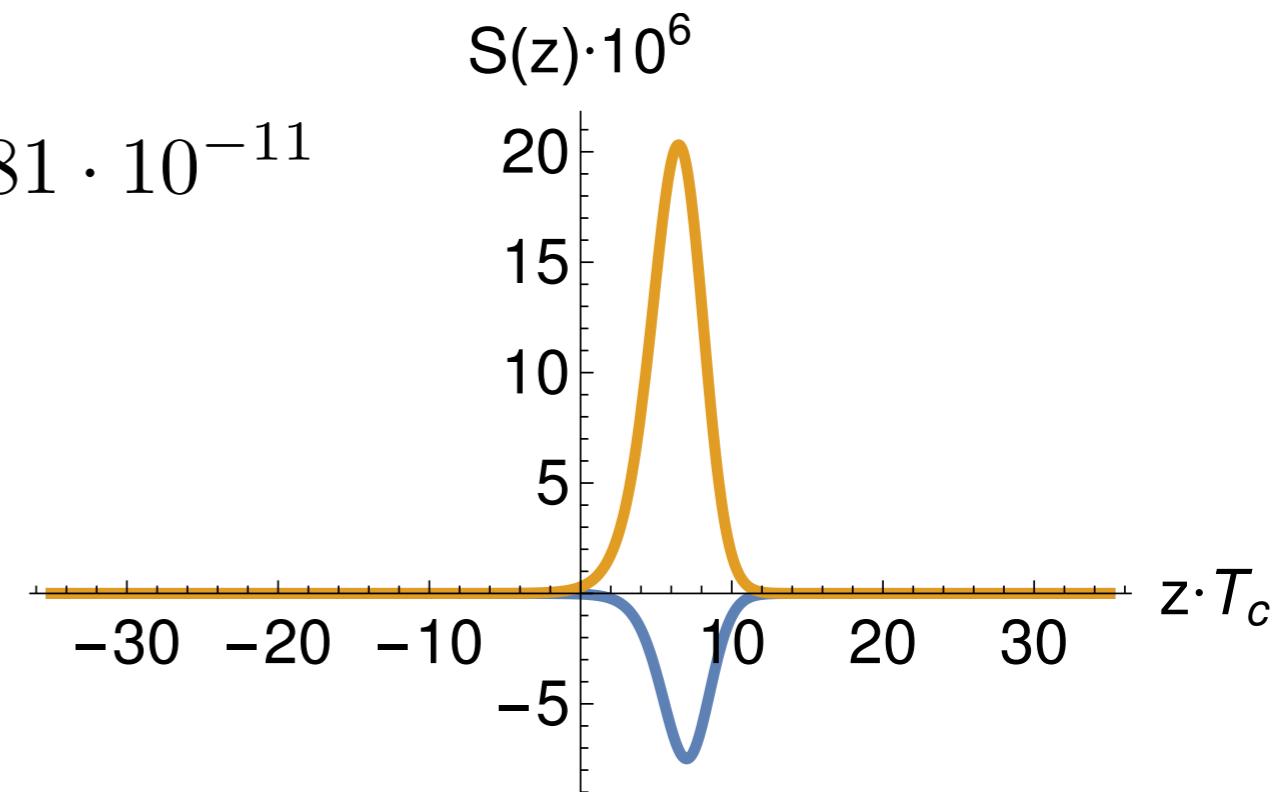
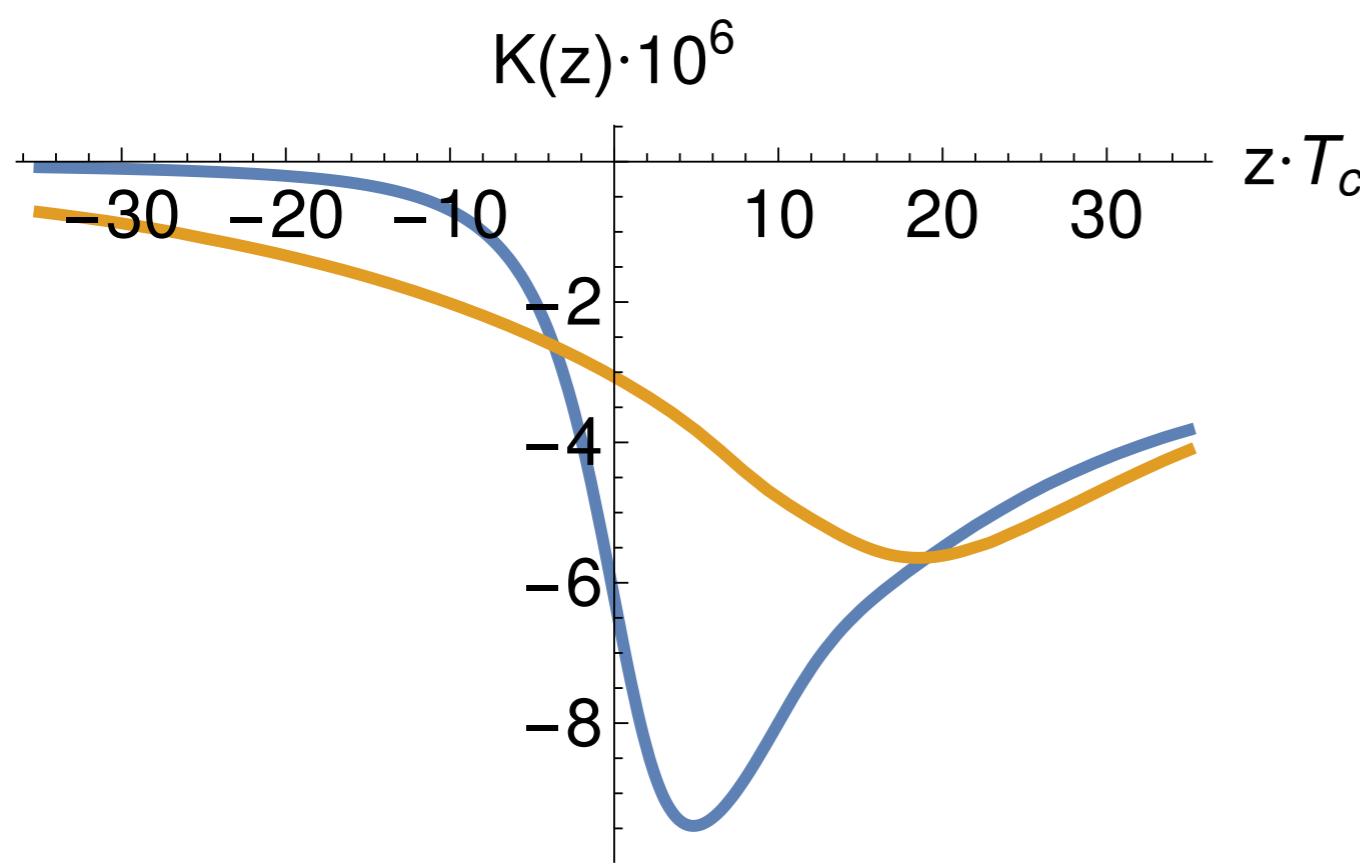
$$\eta_B = \sum_i \int_{-\infty}^{+\infty} dz_0 K_i(z_0) S_i(z_0) \simeq 1.15 \cdot 10^{-10}$$

# Randall-Sundrum



# Randall-Sundrum

$$\eta_B = \sum_i \int_{-\infty}^{+\infty} dz_0 K_i(z_0) S_i(z_0) \simeq 9.81 \cdot 10^{-11}$$



# Deriving the equations

- Hermitian part of the Kadanoff-Baym equations
- Expand to second order in gradients (smooth background) and at tree level
- Neglect off-diagonals (fast flavour oscillations)
- Fluid type Ansatz for particle densities:

$$f_i = \frac{1}{e^{\beta(\omega_i + v_w k_z - \mu_i)} \pm 1} + \delta f_i$$

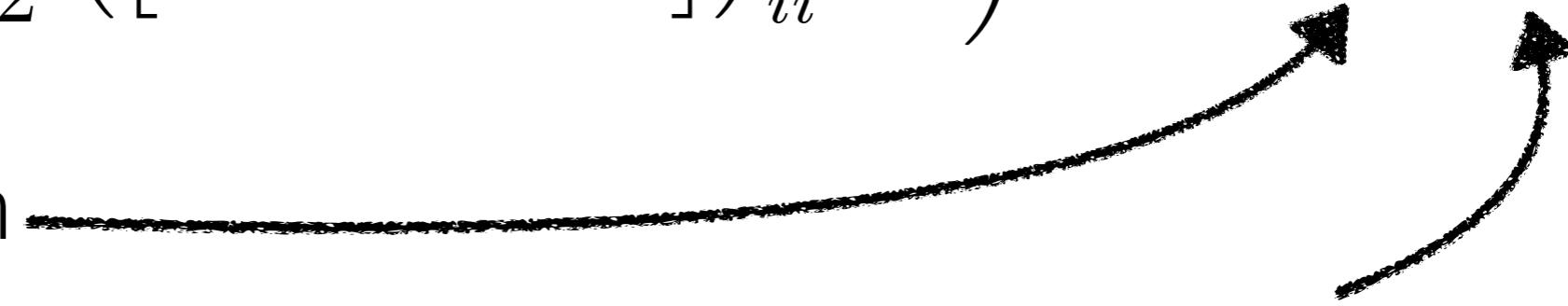
- Take different momenta and average over energy and momentum

# Kinetic equations

$$\left( k_z \partial_z - \frac{1}{2} \left( [V^\dagger (m^\dagger m)' V] \right)_{ii} \partial_{k_z} \right) f_{L,i} \approx \mathbf{C} + \mathcal{S}$$

$$\left( k_z \partial_z - \frac{1}{2} \left( [V^\dagger (m^\dagger m)' V] \right)_{ii} \partial_{k_z} \right) f_{R,i} \approx \mathbf{C} - \mathcal{S}$$

Collision term



Source depends on  $m$



link to

Yukawa couplings

CP-violating source term:

$$\mathcal{S} \equiv \frac{\text{sign}[k_z]}{2\tilde{k}} \text{Im} \left[ V^\dagger m^{\dagger''} m V \right]_{ii} \partial_{k_z} f_{L/R,i}$$

( $V$  are the Eigenvectors of  $m^\dagger m$ )

# Collision term

$$\langle \mathbf{C} \rangle = \Gamma^{\text{inel}} \sum_i \mu_i, \quad \left\langle \frac{k_z}{\omega_{0i}} \mathbf{C} \right\rangle = -\Gamma^{\text{tot}} u$$

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- Yukawa interactions    e.g.  $t_L \leftrightarrow t_R + h$      $\Gamma_{y,q} = 4.2 \times 10^{-3} y_q^2 T$

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- W-scattering e.g.  $t_L \leftrightarrow b_L$   $\Gamma_W = \frac{T}{60}$

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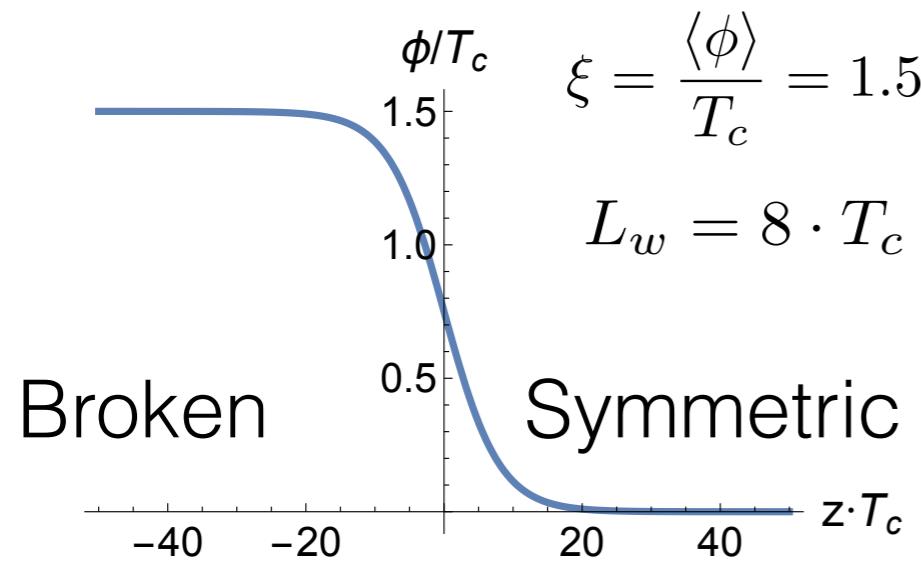
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- Higgs number violation  $h \leftrightarrow 0$   $\Gamma_h = \frac{m_W^2}{50T}$
- Strong sphaleron all L  $\leftrightarrow$  all R  $\Gamma_{ss} = 4.9 \times 10^{-4} T$

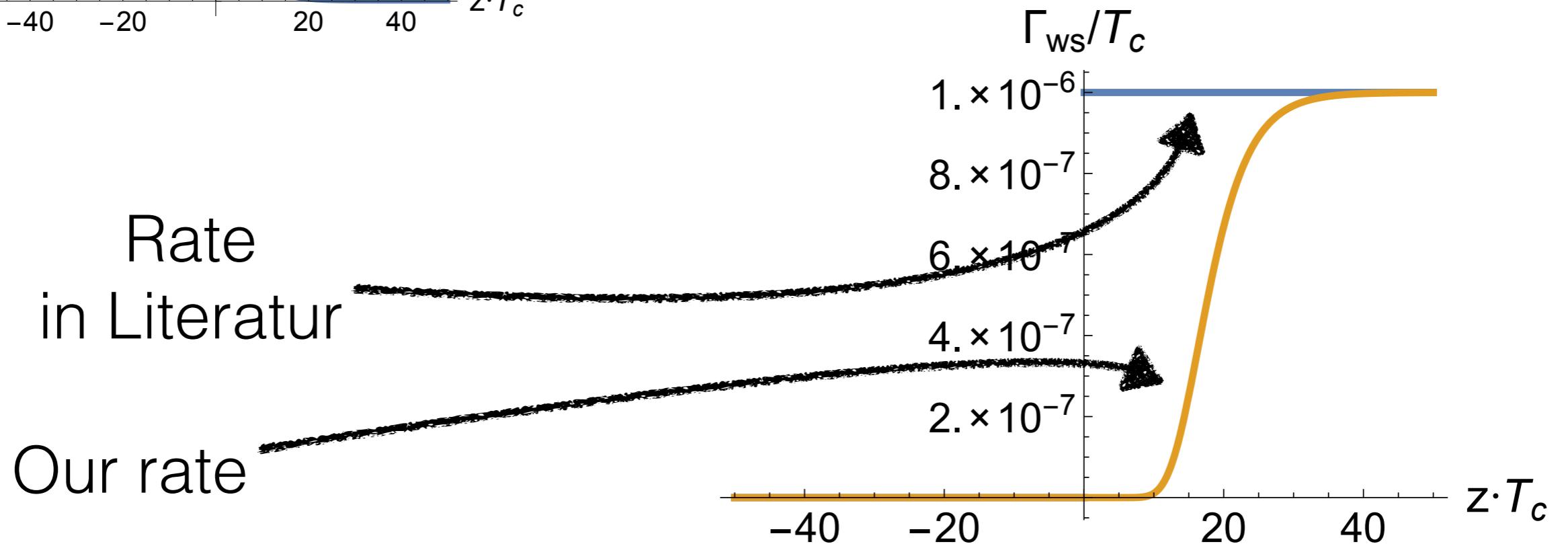
$$\phi(z) = \frac{1}{2} \left( 1 - \text{Tanh} \frac{z}{L_w} \right)$$



$$\Gamma_{ws} = 10^{-6} T \exp(-a\phi(z)/T)$$

$a \approx 40$

**NEW**



$$v_w\,K_1\,\mu' + v_w(m^2)'\,K_2\,\mu + u' - \Gamma^{\rm inel}\sum_i\mu_i = 0$$

$$-K_4\,\mu' + v_w\,\tilde{K}_5\,u' + v_w(m^2)'\,\tilde{K}_6\,u + \Gamma^{\rm tot}u = \pm v_w K_8\,{\rm Im}\left[V^\dagger {m^\dagger}'' m V\right]$$

$$v_w K_1 \mu' + v_w (m^2)' K_2 \mu + u' - \Gamma^{\text{inel}} \sum_i \mu_i = 0$$

$$-K_4 \mu' + v_w \tilde{K}_5 u' + v_w (m^2)' \tilde{K}_6 u + \Gamma^{\text{tot}} u = \pm v_w K_8 \text{Im} \left[ V^\dagger m^{\dagger''} m V \right]$$

Source 

$$m = y(z) \cdot \frac{\phi(z)}{\sqrt{2}}$$

$$v_w K_1 \mu' + v_w (m^2)' K_2 \mu + u' - \Gamma^{\text{inel}} \sum_i \mu_i = 0$$

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Source 

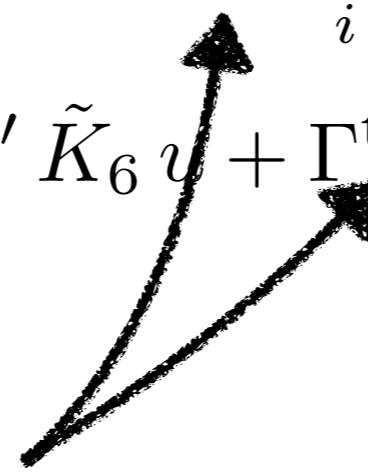
$$m = \circled{y(z)} \cdot \frac{\phi(z)}{\sqrt{2}}$$

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$$-K_4 \mu' + v_w \tilde{K}_5 u' + v_w (m^2)' \tilde{K}_6 u + \Gamma^{\text{tot}} u = \pm v_w K_8 \text{Im} [V^\dagger m^{\dagger''} m V]$$

e.g. Fromme, Huber '06  
hep-ph/0604159

Interactions:



Source

$$m = y(z) \cdot \frac{\phi(z)}{\sqrt{2}}$$

Couple different particle species together

- Yukawa interactions e.g.  $t_L \leftrightarrow t_R + h$

$$\Gamma_{y,q} = 4.2 \times 10^{-3} y_q^2 T$$

- Helicity flip e.g.  $t_L \leftrightarrow t_R$

$$\Gamma_{m,q} = \frac{m_q^2}{63T}$$

- W-scattering e.g.  $t_L \leftrightarrow b_L$

$$\Gamma_W = \frac{T}{60}$$

- Higgs number violation  $h \leftrightarrow 0$

$$\Gamma_h = \frac{m_W^2}{50T}$$

- Strong sphaleron all L  $\leftrightarrow$  all R

$$\Gamma_{ss} = 4.9 \times 10^{-4} T$$